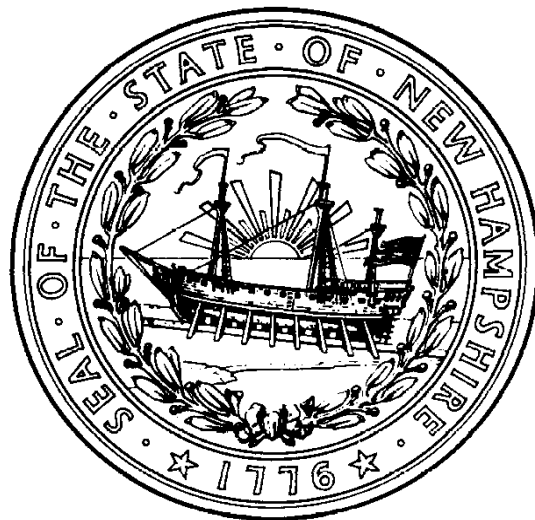
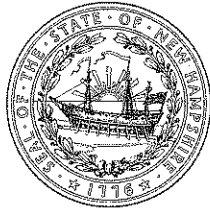


FISCAL COMMITTEE



**Meeting of August 26, 2015
10:00 a.m.
Room 210-211, LOB**



JEFFRY A. PATTISON
Legislative Budget Assistant
(603) 271-3161

MICHAEL W. KANE, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

August 18, 2015

To the Members of the Fiscal Committee
of the General Court

The Chairman of the Fiscal Committee of the General Court, as established by RSA 14:30-a, of which you are a member, has requested that you be notified that the Fiscal Committee will hold a regular business meeting on Wednesday, August 26, 2015, at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Please find attached information to be discussed at that meeting.

Sincerely,

A handwritten signature in black ink that reads "Jeffrey A. Pattison".

Jeffrey A. Pattison
Legislative Budget Assistant

JAP/pe
Attachments

FISCAL COMMITTEE
AGENDA

Wednesday, August 26, 2015 in Room 210-211 of the Legislative Office Building

10:00 a.m.

- (1) Acceptance of Minutes of the July 29, 2015 meeting

NOTE: ALL ITEMS LISTED ON THE AGENDA UNDER CONSENT CALENDAR ARE IN BOLD.

- (2) Old Business:

- (A) RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:

FIS 15-122 Department of Health and Human Services – authorization to accept and expend \$219,342 in federal funds retroactive to July 1, 2015 through December 31, 2015. **Tabled 7/29/15**

FIS 15-124 Department of Health and Human Services – authorization to accept and expend \$127,089 in federal funds retroactive to July 1, 2015 through December 31, 2015. **Tabled 7/29/15**

FIS 15-125 Department of Health and Human Services – authorization to accept and expend \$158,196 in federal funds retroactive to July 1, 2015 through December 31, 2015. **Tabled 7/29/15**

FIS 15-145 Department of Transportation – authorization to budget and expend \$659,000 in federal funds through December 31, 2015. **Tabled 7/29/15**

- (B) RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source and RSA 124:15, Positions Authorized:

FIS 15-126 Department of Health and Human Services – authorization to; 1) accept and expend \$590,182 in federal funds retroactive to July 1, 2015 through December 31, 2015 and contingent upon approval of #1, further authorization to 2) establish five (5) full-time temporary positions through December 31, 2015 consisting of; a Toxicologist IV (LG 29), a Toxicologist II (LG 23), a Public Health Program Manager (LG 26), a Program Specialist IV (LG 25), and a Toxicologist II (LG 23). **Tabled 7/29/15**

FIS 15-132 Department of Safety – authorization to; 1) accept and expend \$870,841 in federal funds through December 31, 2015, and 2) establish class 046 consultant positions through December 31, 2015. **Tabled 7/29/15**

- (C) RSA 124:15, Positions Authorized:

FIS 15-142 Department of Education – authorization to retroactively amend FIS 15-060, approve April 3, 2015, by extending the end date from June 30, 2015 to December 31, 2015 for a class 046 consultant position. **Tabled 7/29/15**

FIS 15-150 and FIS 15-151 Additional Information – letter of intent, dated August 12, 2015, to withdraw request FIS 15-151 Department of Transportation – to establish consultant positions through December 31, 2015. **Tabled 7/29/15**

- (D) Chapter 158, sub-paragraph I, (a), Laws of 2015, making temporary appropriations for the expenses and encumbrances of the state of New Hampshire:

FIS 15-150 and FIS 15-151 Additional Information – letter of intent, dated August 12, 2015, to withdraw request FIS 15-150 Department of Transportation – to exceed the 6/12 limitation, as contained in the continuing resolution, in the amount of \$3,140,000 to the extent shown as projected deficits through December 31, 2015. **Tabled 7/29/15**

- (E) Informational Materials:

Note: Presentation of these reports were postponed at the July 29, 2015 Fiscal Committee meeting.

LBAO Report on Additional Revenues for the Biennium Ending June 30, 2015 – Fiscal Committee Approvals through June 26, 2015 (RSA 14:30-a, VI and Chapter 224:14, II, Laws of 2011)

Letter from Jeffrey A. Pattison, Legislative Budget Assistant relative to a hiring, promotion or step increases granted to LBAO employees

FIS 15-110 Department of Administrative Services – response, dated June 29, 2015, to Fiscal Committee request for information regarding year end accrual entries, made in accordance with Generally Accepted Accounting Principles (GAAP) for the State's Medicaid program

FIS 15-111 Department of Administrative Services – memo, dated July 7, 2015, to all Commissioners, Department Heads, and Agency Budget Managers pertaining to managing their fiscal affairs within the funding authorized by continuing resolution, Chapter 158, Laws of 2015

FIS 15-112 Department of Health and Human Services – response, dated June 30, 2015, to Fiscal Committee request for a letter clarifying testimony made June 26, 2015 around the Monthly Dashboard, status of DHHS lapse, and the expected Medicaid liability

FIS 15-119 Department of Revenue Administration – RSA 21-J:45 – Quarterly Refund Report for the months of April through June 2015

FIS 15-127 Department of Health and Human Services – response, dated June 30, 2015, to Fiscal Committee request for information detailing the 2014 and 2015 Medicaid Enhanced Tax (MET) revenue amounts

FIS 15-135 Department of Environmental Services – Chapter 280, Laws of 2012 – report, dated June 30, 2015, for expenses associated with the participation of department staff in regional discussions regarding a low carbon fuel standard for the period including January 1, 2015 to June 30, 2015

AUDITS:

Note: Presentation of this report was postponed at the July 29, 2015 Fiscal Committee meeting.

State Of New Hampshire
Department of Health and Human Services
Food Protection Section
Performance Audit Report
July 2015

CONSENT CALENDAR

(3) **RSA 9:16-c, I, Transfer of Federal Grant Funds:**

FIS 15-168 Insurance Department – authorization to reduce appropriated funds down by (\$90,406) in federal funds and realign the remaining appropriation for FY 2016 through December 31, 2015

(4) **RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:**

FIS 15-164 Department of Safety – authorization to accept and expend \$4,439,989 in federal funds through December 31, 2015

FIS 15-165 Department of Safety – authorization to accept and expend \$2,237,568 in federal funds through December 31, 2015

FIS 15-166 Department of Safety – authorization to accept and expend \$563,117 in federal funds through December 31, 2015

FIS 15-169 Department of Health and Human Services – authorization to accept and expend \$1,724,196 in federal funds through December 31, 2015

FIS 15-170 Department of Health and Human Services – authorization to accept and expend \$103,396 in federal funds through December 31, 2015

FIS 15-171 Department of Health and Human Services – authorization accept and expend \$1,067,592 in federal funds retroactive to July 1, 2015 through December 31, 2015

FIS 15-178 Department of Resources and Economic Development – authorization to accept and expend \$109,020 in transfer funds from NH Fish and Game Department through December 31, 2015

FIS 15-179 Department of Resources and Economic Development – authorization to budget and expend \$189,276 in transfer funds through December 31, 2015

(5) **RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source and RSA 124:15, Positions Authorized:**

FIS 15-172 Department of Health and Human Services – authorization to; 1) accept and expend \$257,214 in federal funds retroactive to July 1, 2015 through December 31, 2015, and 2) retroactively amend FIS 15-002, approved January 23, 2015, by extending the end date from June 30, 2015 to December 31, 2015 for three (3) full-time temporary positions consisting of; a Senior Management Analyst (LG 26) and two (2) Program Planner III (LG 25) positions

FIS 15-181 Department of Resources and Economic Development – authorization to; 1) accept and expend \$319,848 in federal funds through December 31, 2015, and 2) establish a consultant position (class 046) through December 31, 2015

(6) **RSA 124:15, Positions Authorized:**

FIS 15-156 Department of Safety – authorization to establish four (4) part-time temporary positions consisting of; a Program Specialist III (LG 21), two (2) Field Representative II (LG 21), and a Program Assistant II (LG 15) through December 31, 2015

FIS 15-167 Department of Cultural Resources – authorization to retroactively amend FIS 14-028, approved March 21, 2014, by extending the end date from June 30, 2015 to December 31, 2015

FIS 15-173 Department of Transportation – authorization to establish a consultant position through December 31, 2015

FIS 15-184 Department of Health and Human Services – authorization to establish one (1) part-time temporary Program Specialist III (LG 23) position retroactive to July 1, 2015 through December 31, 2015

(7) **RSA 14:30-a, VI, Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source, and RSA 228:69, I. (b), Appropriation and Use of Special Railroad Fund:**

FIS 15-177 Department of Transportation – authorization to; 1) budget and expend \$750,000 in prior year carry forward Special Railroad funds through December 31, 2015, and 2) authorization to expend an amount not to exceed \$750,000 from the Special Railroad Fund for the maintenance and repair of State-owned railroad lines and bridges through December 31, 2015

(8) **Chapter 158, sub-paragraph I, (a), Laws of 2015, making temporary appropriations for the expenses and encumbrances of the state of New Hampshire:**

FIS 15-174 Department of Resources and Economic Development – authorization to exceed the 6/12 limitation, as contained in the continuing resolution, in the amount of \$1,135,000 to the extent shown as projected deficits through December 31, 2015

FIS 15-175 Department of Transportation – authorization to exceed the 6/12 limitation, as contained in the continuing resolution, in the amount of \$3,000,000 to the extent shown as projected deficits through December 31, 2015

(9) Chapter 158, sub-paragraph I, (a), Laws of 2015 and Chapter 144:95, Laws of 2013, Department of Transportation; Transfer of Funds:

FIS 15-163 Department of Transportation – authorization to transfer \$95,000 between Highway Fund accounts and classes through December 31, 2015

FIS 15-176 Department of Transportation – authorization to transfer \$84,500 within Turnpike Fund accounting units through December 31, 2015

(10) Miscellaneous:

FIS 15-183 Office of Legislative Budget Assistant – Notification of retirement of the Legislative Budget Assistant effective August 31, 2015

(11) Informational Materials:

LBAO Report on Additional Revenues for the Biennium Ending June 30, 2015 – Fiscal Committee Approvals through July 29, 2015 (RSA 14:30-a, VI and Chapter 224:14, II, Laws of 2011)

Letter from Jeffrey A. Pattison, Legislative Budget Assistant relative to a hiring, promotion or step increases granted to LBAO employees

Joint Legislative Facilities Committee
Legislative Branch
Detail of Balance of Funds Available
Fiscal Year 2015
As of 06/30/15

Joint Legislative Facilities Committee
Legislative Branch
Detail of Balance of Funds Available
Fiscal Year 2016
As of 07/31/15

FIS 15-157 Department of Justice – RSA 21-M:5, VI – Annual Report of Litigation Expenditures for the period of July 1, 2014 through June 30, 2015

FIS 15-158 Department of Justice – RSA 318-B:17-c, II – Annual Report of the State Drug Forfeiture Fund from July 1, 2014 through June 30, 2015

FIS 15-159 New Hampshire Lottery Commission – RSA 284:21-f – Report of 100% Lottery fund transfers made in FY 2015

FIS 15-160 New Hampshire Lottery Commission – Chapter 144:15, Laws of 2013 – Quarterly report on the status of the incentive employee recognition program for the sale of instant tickets for the fourth quarter of FY 2015

FIS 15-161 Department of Resources and Economic Development – RSA 162-N:9 – Annual report, dated July 27, 2015, detailing activity for the New Hampshire Economic Revitalization Zone Tax Credit Program

FIS 15-162 State Treasury – RSA 6-B:2, VII – Quarterly report of the New Hampshire State Treasury for the period ended June 30, 2015

FIS 15-182 Department of Administrative Services – Chapter 319:32, Laws of 2003 – State Employee Health Insurance - report regarding the self-funded health benefits program from July 1, 2015 through July 31, 2015

(12) Date of Next Meeting and Adjournment

The next meeting was previously set for 10:00 a.m. on Friday, September 25, 2015

FISCAL COMMITTEE OF THE GENERAL COURT
MINUTES
July 29, 2015

The Fiscal Committee of the General Court met on Wednesday, July 29, 2015 at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Members in attendance were as follows:

Representative Neal Kurk, Chair
Representative Ken Weyler
Representative Lynne Ober
Representative Mary Jane Wallner
Representative Daniel Eaton
Representative Richard Barry (Alternate)
Senator Jeanie Forrester
Senate President Chuck Morse
Senator Gerald Little
Senator Andy Sanborn
Senator Lou D'Allesandro

Chairman Kurk opened the meeting at 10:06 a.m.

Chairman Kurk announced that the State of New Hampshire, Department of Health and Human Services, Food Protection Section, Performance Audit Report, July 2015 would be postponed until the August 26, 2015 meeting, and further announced that all items on the Consent Calendar would be removed and voted on individually.

ACCEPTANCE OF MINUTES:

On a motion by Senator Sanborn, seconded by Representative Ober, that the minutes of the June 26, 2015 meeting be accepted as written. MOTION ADOPTED.

NEW BUSINESS:

RSA 9:16-a, TRANSFERS AUTHORIZED:

CONSENT CALENDAR

FIS 15-136 Department of Resources and Economic Development – On a motion by Representative Ober, seconded by Senator Forrester, that the Committee approve the request of the Department of Resources and Economic Development to transfer \$375,000 in general funds through December 31, 2015. MOTION ADOPTED.

RSA 9:16-a, TRANSFERS AUTHORIZED AND RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:

CONSENT CALENDAR

FIS 15-120 Department of Health and Human Services – Nicholas Toumpas, Commissioner, Department of Health and Human Services, responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Senator Sanborn, that the Committee approve the request of the Department of Health and Human Services to transfer \$67,977,420 in general funds, increase related federal revenues in the amount of \$124,150 and increase related other revenues in the amount of \$34,328 through December 31, 2015. MOTION ADOPTED.

The Department will follow-up for the August meeting with exact numbers for Medicaid Managed Care in response to questions of the Committee.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:

CONSENT CALENDAR

FIS 15-113 Department of Justice – On a motion by Representative Eaton, seconded by Senator Sanborn, that the Committee approve the request of the Department of Justice to retroactively amend FIS 14-176, approved November 10, 2014, by extending the end date from June 30, 2015 to December 31, 2015, with no increase in funding. MOTION ADOPTED

FIS 15-115 Department of Justice – On a motion by Senator D’Allesandro, seconded by Representative Eaton, that the Committee approve the request of the Department of Justice to retroactively amend FIS 14-186, approved November 10, 2014, by extending the end date from June 30, 2015 to December 31, 2015, with no increase in funding. MOTION ADOPTED.

FIS 15-116 Department of Justice – On a motion by Representative Weyler, seconded by Senator Sanborn, that the Committee approve the request of the Department of Justice to retroactively amend FIS 14-143, approved September 26, 2014, by extending the end date from June 30, 2015 to December 31, 2015, with no increase in funding. MOTION ADOPTED.

FIS 15-121 Department of Health and Human Services – Nicholas Toumpas, Commissioner, Department of Health and Human Services, presented the request and responded to questions of the Committee. Jeffrey Pattison, Legislative Budget Assistant, was also present to clarify the request and respond to questions of the Committee.

A recess was called at 10:55 a.m., and the Committee reconvened at 11:14 a.m.

On a motion by Senator Morse, seconded by Representative Eaton, that the Committee approve the request of the Department of Health and Human Services to retroactively amend FIS

13-294, approved January 10, 2014, by reallocating \$105,963 in federal funds and extending the end date from June 30, 2015 to December 31, 2015, with no increase in funding. MOTION ADOPTED.

FIS 15-122 Department of Health and Human Services – Nicholas Toumpas, Commissioner, Department of Health and Human Services, responded to questions of the Committee.

On a motion by Senator Sanborn, seconded by Senator Morse, that the Committee table the request of the Department of Health and Human Services to accept and expend \$219,342 in federal funds retroactive to July 1, 2015 through December 31, 2015. MOTION ADOPTED.

FIS 15-123 Department of Health and Human Services – Nicholas Toumpas, Commissioner, Department of Health and Human Services, responded to questions of the Committee.

On a motion by Senator Forrester, seconded by Senator Morse, that the Committee approve the request of the Department of Health and Human Services to accept and expend \$1,636,364 in federal funds retroactive to July 1, 2015 through December 31, 2015. MOTION ADOPTED. (9-Yes, 1-No)

FIS 15-124 Department of Health and Human Services – Nicholas Toumpas, Commissioner, Department of Health and Human Services, responded to questions of the Committee.

On a motion by Senator Sanborn, seconded by Representative Ober, that the Committee table the request of the Department of Health and Human Services to accept and expend \$127,089 in federal funds retroactive to July 1, 2015 through December 31, 2015. MOTION ADOPTED.

FIS 15-125 Department of Health and Human Services – Nicholas Toumpas, Commissioner, Department of Health and Human Services, responded to questions of the Committee.

On a motion by Senator Sanborn, seconded by Representative Weyler, that the Committee table the request of the Department of Health and Human Services to accept and expend \$158,196 in federal funds retroactive to July 1, 2015 through December 31, 2015. MOTION ADOPTED.

FIS 15-129 Department of Justice – On a motion by Representative Ober, seconded by Senator Sanborn, that the Committee approve the request of the Department of Justice to retroactively amend FIS 14-177, approved November 10, 2014, by extending the end date from June 30, 2015 to December 31, 2015, with no increase in funding. MOTION ADOPTED.

FIS 15-131 Department of Safety – On a motion by Senator D’Allesandro, seconded by Senator Sanborn, that the Committee approve the request of the Department of Safety to retroactively amend FIS 13-236, approved October 18, 2013, by extending the end date from June 30, 2015 to September 30, 2015, with no increase in funding. MOTION ADOPTED.

FIS 15-139 Department of Health and Human Services – Nicholas Toumpas, Commissioner, Department of Health and Human Services presented the request and responded to questions of the Committee.

On a motion by Senator Forrester, seconded by Representative Eaton, that the Committee approve the request of the Department of Health and Human Services to accept and expend \$2,500,000 in federal funds through September 30, 2015. MOTION ADOPTED.

The Department will provide an informational item as requested by the Committee listing the expected outcomes by program for the requested expenditure.

FIS 15-145 Department of Transportation – Patrick McKenna, Deputy Commissioner, Department of Transportation, responded to questions of the Committee.

Committee withheld action on the request, to budget and expend \$659,000 in federal funds through December 31, 2015, in order to allow verification of additional information.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE AND RSA 124:15, POSITIONS AUTHORIZED:

CONSENT CALENDAR

FIS 15-114 Department of Justice – Ann Rice, Deputy Attorney General, and Kathleen Carr, Director of Administration, Department of Justice, responded to questions of the Committee.

On a motion by Representative Ober, seconded by Senator Forrester, that the Committee approve the request of the Department of Justice to accept and expend \$106,177 in federal funds through December 31, 2015 and further authorization to establish a class 046 consultant position through December 31, 2015. MOTION ADOPTED.

FIS 15-117 Department of Environmental Services – On a motion by Representative Ober, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Environmental Services to retroactively amend FIS 13-246, approved November 22, 2013, by; 1) extending the end date from June 30, 2015 to December 31, 2015, with no increase in funding, for the purpose of implementing the MTBE Settlement Agreements, and 2) retroactively extend the end date for five (5) existing full-time temporary positions consisting of; an Administrator IV (LG 33), an Administrator III (LG 31), a Civil Engineer VI (LG 32), and two (2) Environmentalist IV (LG 27) positions from June 30, 2015 to December 31, 2015, and further

authorization to 3) reallocate \$1,579,195 in MTBE Settlement funds through December 31, 2015. MOTION ADOPTED.

FIS 15-126 Department of Health and Human Services – Nicholas Toumpas, Commissioner, Department of Health and Human Services responded to questions of the Committee.

On a motion by Senator Forrester, seconded by Senator Sanborn, that the Committee table the request of the Department of Health and Human Services to; 1) accept and expend \$590,182 in federal funds retroactive to July 1, 2015 through December 31, 2015 and contingent upon approval of #1, further authorization to 2) establish five (5) full-time temporary positions through December 31, 2015 consisting of; a Toxicologist IV (LG 29), a Toxicologist II (LG 23), a Public Health Program Manager (LG 26), a Program Specialist IV (LG 25), and a Toxicologist II (LG 23). MOTION ADOPTED. (8-Yes, 2-No)

FIS 15-132 Department of Safety – Elizabeth Bielecki, Director of Administration, and John Stevens, Statewide Interoperability Coordinator, Department of Safety, responded to questions of the Committee.

On a motion by Senator Forrester, seconded by Senator Sanborn, that the Committee table the request of the Department of Safety to; 1) accept and expend \$870,841 in federal funds through December 31, 2015, and 2) establish class 046 consultant positions through December 31, 2015. MOTION ADOPTED. (7-Yes, 3-No)

FIS 15-133 Department of Safety – Elizabeth Bielecki, Director of Administration, Department of Safety, responded to questions of the Committee.

On a motion by Senator Forrester, seconded by Representative Eaton, that the Committee approve the request of the Department of Safety to retroactively amend FIS 14-203, approved January 23, 2015, by; 1) extending the end date from June 30, 2015 to September 30, 2015, with no increase in funding, and 2) extending the end date from June 30, 2015 to September 30, 2015, with no increase in funding, for a consultant position. MOTION ADOPTED.

FIS 15-134 Department of Safety – On a motion by Senator Forrester, seconded by Representative Eaton, that the Committee approve the request of the Department of Safety to retroactively amend FIS 15-032, approved April 3, 2015, by; 1) extending the end date from June 30, 2015 to September 30, 2015, with no increase in funding, and 2) extending the end date from June 30, 2015 to September 30, 2015, with no increase in funding, for consultant positions. MOTION ADOPTED.

FIS 15-137 Board of Pharmacy – On a motion by Senator Forrester, seconded by Senator Sanborn, that the Committee approve the request of the Board of Pharmacy to retroactively amend FIS 14-015, approved February 14, 2014, and subsequently amended on April 3, 2015 (FIS 15-045), by; 1) extending the end date from June 30, 2015 to December 31, 2015, with no increase in funding, and 2) retroactively extend the end date for a full-time temporary

Administrator I (LG 27) position from June 30, 2015 to December 31, 2015, and 3) retroactively extend the end date for a part-time temporary Administrator I (LG 27) position from June 30, 2015 to December 31, 2015. MOTION ADOPTED.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:

CONSENT CALENDAR

FIS 15-145 Department of Transportation – Jeffrey Pattison, Legislative Budget Assistant, presented the additional information requested by the Committee.

On a motion by Senator Little, seconded by Senator Sanborn, that the Committee table the request of the Department of Transportation to budget and expend \$659,000 in federal funds through December 31, 2015. MOTION ADOPTED. (7-Yes, 3-No)

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE AND RSA 124:15, POSITIONS AUTHORIZED:

CONSENT CALENDAR

FIS 15-138 Department of Cultural Resources – Kathleen Stanick, Administrator, Department of Cultural Resources, responded to questions of the Committee.

On a motion by Representative Ober, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Cultural Resources to 1) accept and expend \$594,691 in federal funds retroactive to July 1, 2015 through December 31, 2015, and 2) retroactively amend FIS 14-029, approved March 21, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for one (1) part-time temporary Grants Program Coordinator (LG 23) and two (2) part-time temporary Program Specialists I (LG 19) positions. No Action Taken.

On a motion by Senator Sanborn, seconded by Senator Little, that the Committee table the request of the Department of Cultural Resources to 1) accept and expend \$594,691 in federal funds retroactive to July 1, 2015 through December 31, 2015, and 2) retroactively amend FIS 14-029, approved March 21, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for one (1) part-time temporary Grants Program Coordinator (LG 23) and two (2) part-time temporary Program Specialists I (LG 19) positions. MOTION FAILED. (3-Yes, 7-No)

On a motion by Representative Ober, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Cultural Resources to 1) accept and expend \$594,691 in federal funds retroactive to July 1, 2015 through December 31, 2015, and 2) retroactively amend FIS 14-029, approved March 21, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for one (1) part-time temporary Grants Program Coordinator (LG 23) and two (2) part-time temporary Program Specialists I (LG 19) positions. MOTION ADOPTED. (7-Yes, 3-No)

FIS 15-141 Department of Education – Shanthi Venkatesan, Executive Project Manager, and Mary Steady, Administrator, Department of Education, presented the request and responded to questions of the Committee. Vicki Quiram, Commissioner, Department of Administrative Services was also present to respond to questions of the Committee.

The Committee withheld action on the request, to 1) accept and expend \$1,084,997 in federal funds retroactive to July 1, 2015 through December 31, 2015, and 2) retroactively amend FIS 15-033, approved April 3, 2015, by extending the end date from June 30, 2015 to December 31, 2015 for two (2) full-time temporary positions consisting of: a Program Specialist III (LG 23) position and a Program Assistant II (LG 15) position, in order to allow the Department of Administrative Services to verify when the requested positions had been filled.

FIS 15-143 Department of Education – Shanthi Venkatesan, Executive Project Manager, Department of Education, responded to questions of the Committee.

On a motion by Representative Eaton, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Education to; 1) accept and expend \$1,586,127 in federal funds retroactive to July 1, 2015 through December 31, 2015, and 2) retroactively amend FIS 14-026, approved March 21, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for three (3) full-time temporary positions consisting of; an Administrator II (LG 29), a Program Specialist III (LG 23), and a Program Assistant II (LG 15), and further authorization to 3) retroactively amend FIS 14-026, approved March 21, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for one (1) part-time temporary Program Specialist III (LG 23) position. No Action Taken.

On a motion by Senator Sanborn, seconded by Representative Weyler, that the Committee table the request of the Department of Education to; 1) accept and expend \$1,586,127 in federal funds retroactive to July 1, 2015 through December 31, 2015, and 2) retroactively amend FIS 14-026, approved March 21, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for three (3) full-time temporary positions consisting of; an Administrator II (LG 29), a Program Specialist III (LG 23), and a Program Assistant II (LG 15), and further authorization to 3) retroactively amend FIS 14-026, approved March 21, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for one (1) part-time temporary Program Specialist III (LG 23) position. MOTION FAILED. (3-Yes, 7-No)

On a motion by Representative Eaton, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Education to; 1) accept and expend \$1,586,127 in federal funds retroactive to July 1, 2015 through December 31, 2015, and 2) retroactively amend FIS 14-026, approved March 21, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for three (3) full-time temporary positions consisting of; an Administrator II (LG 29), a Program Specialist III (LG 23), and a Program Assistant II (LG 15), and further authorization to 3) retroactively amend FIS 14-026, approved March 21, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for one (1) part-time temporary Program Specialist III (LG 23) position. MOTION ADOPTED. (7-Yes, 3-No)

FIS 15-144 Department of Safety – On a motion by Representative Eaton, seconded by Senator Sanborn, that the Committee approve the request of the Department of Safety to retroactively amend FIS 14-152, approved September 26, 2014, by; 1) extending the end date from June 30, 2015 to December 31, 2015, with no increase in funding, and 2) extending the end date from June 30, 2015 to December 31, 2015, with no increase in funding, for a class 046 consultant position. MOTION ADOPTED.

FIS 15-152 Office of The Governor – Meredith Telus, Budget Director, and John Wozmak, Senior Director for Substance Misuse and Behavioral Health, Office of the Governor, responded to questions of the Committee. Nicholas Toumpas, Commissioner, Department of Health and Human Services was also present to respond to questions of the Committee.

On a motion by Senator Forrester, seconded by Senator D’Allesandro, that the Committee approve the request of the Office of The Governor to; 1) accept and expend \$112,500 in other funds retroactive to July 1, 2015 through December 31, 2015, and contingent upon approval of #1, further authorization to 2) retroactively amend FIS 14-196, approved November 24, 2014, by extending the end date from June 30, 2015 to December 31, 2015 to continue a non-classified Senior Behavioral Health Coordinator position. MOTION ADOPTED. (9-Yes, 1-No)

The Committee requested the Senior Director for Substance Misuse and Behavioral Health provide prioritized recommendations, including dollar amounts and specified outcomes.

A recess was called at 1:44 p.m. and the Committee reconvened at 1:56 p.m.

FIS 15-153, FIS 15-154, and FIS 15-155 New Hampshire Insurance Department – Committee action was withheld on the three (3) New Hampshire Insurance Department requests to allow a representative from the agency to be present to respond to questions of the Committee.

RSA 124:15, POSITIONS AUTHORIZED:

CONSENT CALENDAR

FIS 15-118 Department of Justice – Ann Rice, Deputy Attorney General, and Kathleen Carr, Director of Administration, Department of Justice, responded to questions of the Committee.

On a motion by Representative Ober, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Justice to retroactively amend FIS 15-006, approved January 23, 2015, by extending the end date from June 30, 2015 to December 31, 2015 for a part-time temporary Program Specialist IV (LG 24) position. MOTION ADOPTED.

FIS 15-128 Department of Justice – Ann Rice, Deputy Attorney General, and Kathleen Carr, Director of Administration, Department of Justice, responded to questions of the Committee.

On a motion by Representative Ober, seconded by Representative Eaton, that the Committee approve the request of the Department of Justice to retroactively amend FIS 14-027, approved March 21, 2015, by extending the end date from June 30, 2015 to December 31, 2015 for a full-time temporary Paralegal II (LG 19) position. No Action Taken.

On a motion by Senator Sanborn, seconded by Representative Weyler, that the Committee table the request of the Department of Justice to retroactively amend FIS 14-027, approved March 21, 2015, by extending the end date from June 30, 2015 to December 31, 2015 for a full-time temporary Paralegal II (LG 19) position. MOTION FAILED. (2-Yes, 8-No)

On a motion by Representative Ober, seconded by Representative Eaton, that the Committee approve the request of the Department of Justice to retroactively amend FIS 14-027, approved March 21, 2015, by extending the end date from June 30, 2015 to December 31, 2015 for a full-time temporary Paralegal II (LG 19) position. MOTION ADOPTED. (8-Yes, 2-No)

FIS 15-130 Department of Justice – Ann Rice, Deputy Attorney General, and Kathleen Carr, Director of Administration, Department of Justice, responded to questions of the Committee.

On a motion by Representative Eaton, seconded by Senator Sanborn, that the Committee approve the request of the Department of Justice to retroactively amend FIS 14-057, approved April 25, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for a full-time temporary Attorney II (LG 28) position. MOTION ADOPTED.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE AND RSA 124:15, POSITIONS AUTHORIZED:

CONSENT CALENDAR

FIS 15-141 Department of Education – Shanthi Venkatesan, Executive Project Manager, Department of Education, responded to questions of the Committee and reported the position hire dates verified by Vicki Quiram, Commissioner, Department of Administrative Services.

On a motion by Representative Eaton, seconded by Senator D'Allesandro, that the Committee approve the request of the Department of Education to 1) accept and expend \$1,084,997 in federal funds retroactive to July 1, 2015 through December 31, 2015, and 2) retroactively amend FIS 15-033, approved April 3, 2015, by extending the end date from June 30, 2015 to December 31, 2015 for two (2) full-time temporary positions consisting of: a Program Specialist III (LG 23) position and a Program Assistant II (LG 15) position. MOTION ADOPTED. (7-Yes, 3-No)

RSA 124:15, POSITIONS AUTHORIZED:

CONSENT CALENDAR

FIS 15-142 Department of Education – Shanthi Venkatesan, Executive Project Manager, Department of Education, responded to questions of the Committee.

On a motion by Senator Forrester, seconded by Senator Sanborn, that the Committee table the request of the Department of Education to retroactively amend FIS 15-060, approve April 3, 2015, by extending the end date from June 30, 2015 to December 31, 2015 for a class 046 consultant position. MOTION ADOPTED. (7-Yes, 3-No)

FIS 15-151 Department of Transportation – On a motion by Senator Sanborn, seconded by Representative Weyler, that the Committee table the request of the Department of Transportation to establish consultant positions through December 31, 2015. MOTION ADOPTED. (7-Yes, 3-No)

CHAPTER 158, SUB-PARAGRAPH I, (a), LAWS OF 2015, MAKING TEMPORARY APPROPRIATIONS FOR THE EXPENSES AND ENCUMBRANCES OF THE STATE OF NEW HAMPSHIRE:

FIS 15-149 Department of Transportation – Patrick McKenna, Deputy Commissioner, Marie Mullen, Director of Finance, and William Janelle, Director of Operations, Department of Transportation presented the request and responded to questions of the Committee.

On a motion by Senator Little, seconded by Representative Weyler, that the Committee deny the request of the Department of Transportation to exceed the 6/12 limitation, as contained in the continuing resolution, in the amount of \$674,000 to the extent shown as projected deficits through December 31, 2015. MOTION ADOPTED. (7-Yes, 3-No)

FIS 15-150 Department of Transportation – Patrick McKenna, Deputy Commissioner, Department of Transportation responded to questions of the Committee.

On a motion by Senator Sanborn, seconded by Representative Weyler, that the Committee deny the request of the Department of Transportation to exceed the 6/12 limitation, as contained in the continuing resolution, in the amount of \$3,140,000 to the extent shown as projected deficits through December 31, 2015. No Action Taken.

On a motion by Senator Sanborn, seconded by Representative Weyler, that the Committee table the request of the Department of Transportation to exceed the 6/12 limitation, as contained in the continuing resolution, in the amount of \$3,140,000 to the extent shown as projected deficits through December 31, 2015. MOTION ADOPTED. (7-Yes, 3-No)

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE AND RSA 124:15, POSITIONS AUTHORIZED:

CONSENT CALENDAR

FIS 15-153, FIS 15-154, and FIS 15-155 New Hampshire Insurance Department – Roger Sevigny, Commissioner, Theodore Perkins, Business Administrator, and Alain Couture, Health Reform Coordinator, New Hampshire Insurance Department were present to respond to questions of the Committee.

On a motion by Representative Eaton, seconded by Representative Weyler, that the Committee approve as a block the three (3) requests of the New Hampshire Insurance Department. MOTION ADOPTED. [FIS 15-153 New Hampshire Insurance Department – authorization to 1) accept and expend \$444,179 in federal funds retroactive to July 1, 2015 through December 31, 2015, and further authorization to 2) retroactively amend FIS 14-174, approved November 10, 2014, by extending the end date from June 30, 2015 to December 31, 2015 for class 046 consultant positions, FIS 15-154 New Hampshire Insurance Department – authorization to 1) accept and expend \$1,055,985 in federal funds retroactive to July 1, 2015 through December 31, 2015, and further authorization to 2) retroactively amend FIS 13-247, approved November 22, 2013, by extending the end date from June 30, 2015 to December 31, 2015 for class 046 consultant positions, and FIS 15-155 New Hampshire Insurance Department – authorization to 1) accept and expend \$529,678 in federal funds retroactive to July 1, 2015 through December 31, 2015, and further authorization to 2) retroactively establish a class 046 consultant positions from July 1, 2015 through December 31, 2015]

CHAPTER 158, SUB-PARAGRAPH I, (a), LAWS OF 2015, AND CHAPTER 144:31, LAWS OF 2013, DEPARTMENT OF ADMINISTRATIVE SERVICES; TRANSFER AMONG ACCOUNTS AND CLASSES:

FIS 15-148 Department of Administrative Services – On a motion by Representative Ober, seconded by Senator Forrester, that the Committee approve the request of the Department of Administrative Services to transfer \$128,743 in and among accounting units through December 31, 2015. MOTION ADOPTED.

CHAPTER 158, SUB-PARAGRAPH I, (a), LAWS OF 2015 AND CHAPTER 144:95, LAWS OF 2013, DEPARTMENT OF TRANSPORTATION; TRANSFER OF FUNDS:

FIS 15-146 Department of Transportation – On a motion by Senator Forrester, seconded by Representative Ober, that the Committee approve the request of the Department of Transportation to transfer \$508,200 in and among accounting units through December 31, 2015. MOTION ADOPTED.

FIS 15-147 Department of Transportation – On a motion by Senator Forrester, seconded by Representative Ober, that the Committee approve the request of the Department of Transportation to establish a non-budgeted class and transfer \$2,366,534 in federal funds in and among accounting units through December 31, 2015. MOTION ADOPTED. (9-Yes, 1-No)

MISCELLANEOUS:

Jeffry Pattison, Legislative Budget Assistant, requested approval to fill one (1) vacant staff auditor position in the Office of Legislative Budget Assistant, Audit Division.

On a motion by Representative Weyler, seconded by Senator Sanborn, that the Committee approve the request of the Office of Legislative Budget Assistant to fill one (1) vacant staff auditor position in the Office of Legislative Budget Assistant, Audit Division.
MOTION ADOPTED.

INFORMATIONAL MATERIALS:

Chairman Kurk announced that discussion on the informational items would be postponed until the August 26, 2015 meeting. [LBAO Report on Additional Revenues for the Biennium Ending June 30, 2015 – Fiscal Committee Approvals through June 26, 2015 (RSA 14:30-a, VI and Chapter 224:14, II, Laws of 2011), Letter from Jeffry A. Pattison, Legislative Budget Assistant relative to a hiring, promotion or step increases granted to LBAO employees, FIS 15-110 Department of Administrative Services – response, dated June 29, 2015, to Fiscal Committee request for information regarding year end accrual entries, made in accordance with Generally Accepted Accounting Principles (GAAP) for the State's Medicaid program, FIS 15-111 Department of Administrative Services – memo, dated July 7, 2015, to all Commissioners, Department Heads, and Agency Budget Managers pertaining to managing their fiscal affairs within the funding authorized by continuing resolution, Chapter 158, Laws of 2015, FIS 15-112 Department of Health and Human Services – response, dated June 30, 2015, to Fiscal Committee request for a letter clarifying testimony made June 26, 2015 around the Monthly Dashboard, status of DHHS lapse, and the expected Medicaid liability, FIS 15-119 Department of Revenue Administration – RSA 21-J:45 – Quarterly Refund Report for the months of April through June 2015, FIS 15-127 Department of Health and Human Services – response, dated June 30, 2015, to Fiscal Committee request for information detailing the 2014 and 2015 Medicaid Enhanced Tax (MET) revenue amounts, and FIS 15-135 Department of Environmental Services – Chapter 280, Laws of 2012 – report, dated June 30, 2015, for expenses associated with the participation of department staff in regional discussions regarding a low carbon fuel standard for the period including January 1, 2015 to June 30, 2015]

DATE OF NEXT MEETING AND ADJOURNMENT

The next regular meetings of the Fiscal Committee were previously set for 10:00 a.m. Wednesday, August 26, 2015, and Friday, September 25, 2015. (Whereupon the meeting adjourned at 2:58 p.m.)

Representative Ken Weyler, Clerk



Nicholas A. Toumpas
Commissioner

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-4688 FAX: 603-271-4912 TDD Access: 1-800-735-2964

July 8, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Acceptance of Non-General Funds, authorize the Department of Health and Human Services, Division for Children, Youth and Families, to accept and expend federal funds in the amount of \$219,342.00 effective **retroactive** July 1, 2015 through December 31, 2015, and further authorize the allocation of these funds in the following account(s) for SFY 2016 upon the availability and continued appropriation of funds:

05-95-42-421010-89030000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: CHILDREN AND YOUTH, CHILD PROTECTION, ADOPTION TRAUMA GRANT

Class/Object SFY 2016	Class Title	Current Authorized	Increase/(Decrease) Amount	Revised Authorized
000-403506	Federal Funds	\$120,827.93	\$219,342.00	\$340,169.93
	General Funds	\$ 0.00	\$ 0.00	\$ 0.00
Total Revenue		\$120,827.93	\$219,342.00	\$340,169.93

Class/Object	Class Title	Current Authorized	Increase/(Decrease) Amount	Revised Authorized
041-500801	Audit Set Aside	\$ 0.00	\$ 220.00	\$ 220.00
102-500731	Contracts for Program Serv	\$120,827.93	\$219,122.00	\$339,949.93
Total Expense		\$120,827.93	\$219,342.00	\$340,169.93

EXPLANATION

This request is being submitted **retroactively** because this grant was originally submitted on August 5, 2013 for review by the Children's Bureau in the US Department of Health and Human Services. The Division was notified of our grant approval on October 1, 2013. The Division began work immediately to put the necessary documentation together to begin the contracting process. The Notice of Award was signed on November 6, 2013 with a grant budget period beginning September 30, 2013 through September 29, 2018. Therefore, the funds were not available to be included in the SFY14-15 budget as signed into law.

The Department of Health and Human Services, Division for Children, Youth and Families seeks approval to accept and expend in SFY 2016 Adoption Opportunities grant funds in the amount of \$219,342.00 from the federal Administration for Children and Families. This request represents the third year of a multi-year grant award (September 30, 2013 – September 29, 2018). A copy of the grant award is attached.

The federal Administration for Children and Families requires that these funds must be used to improve the social and emotional well-being and restore the developmentally appropriate functioning of targeted children and youth in child welfare systems that have mental and behavioral health needs. In particular, these grants, in the form of cooperative agreements, are designed to:

- Assist public welfare agencies, through interagency collaboration, in improving child well-being, adoption and post-adoption outcomes by creating a flexible service array that provides early access to effective mental and behavioral health services that match the needs of the children, youth and families in the service population;
- Support the implementation of a comprehensive and integrated approach to evidence-based or evidence-informed screening and assessment of mental health and behavioral health needs and the use of functional outcome orientation case planning to ensure those needs are met;
- Support service array reconfiguration approaches at the child and system level that are aligned and responsive to the screening and functional assessment data while simultaneously targeting and de-scaling practices and services that are not effective; and/or do not meet the assessed needs of the target population;
- Identify factors and strategies associated with successful installation, implementation, and sustainability of service system changes; and
- Evaluate the effect of implemented system changes on safety, permanency, well-being, adoption, and cost outcomes for children overall and for particular subgroups.

Through a cooperative agreement New Hampshire will improve the mental and behavioral health needs of children and youth in the child welfare system. This in turn should decrease the amount of time that children and youth spend in the child welfare system, and it should help stabilize adoptions.

Class 041 The funds will be used to pay audit set aside expenses.
Class 102 The funds will be used to contract with an agency to supply the services listed above.

Should the Fiscal Committee and Governor and Executive Council determine not to approve this request, it will result in the loss of much needed resources to meet the needs of our pre and post adoptive population. Children who are in need of adoptive placements from our child welfare system have experienced significant trauma, typically complex trauma prior to entering state care. The uncertainties of foster care can exacerbate the trauma children have experienced through abuse and neglect and create poor wellbeing outcomes. Children with abuse and neglect histories need specific evidenced based treatment to overcome their past experiences and adoptive parents need special interventions to address the family systems changes which must be in place in order to successfully parent children with trauma histories. NH currently has more children who are waiting for adoptive placements than we have families who can accommodate these children. Without this contract NH will continue to have a lack of pre adoptive families that can meet the needs of the waiting children. NH will continue to have placement disruptions in foster homes and a decline in the well-being out comes of adopted children. If this request is not approved NH will miss the opportunity to improve the well-being out comes of children adopted from the child welfare system, increase the number of adoptive homes in our state, increase the numbers of children adopted from foster care and will lose out on the opportunity to impact the lives of some of the most vulnerable children in our system.

In response to the anticipated two-part question, 'Can these funds be used to offset general funds?' and 'What is the compelling reason for not offsetting general funds?' DCYF offers the following information: these federal funds cannot be used to offset general funds, as they are restricted to the activities referenced herein. Should this request be, denied, the funds in question must be returned to the federal government.

Geographic are served: Statewide.

Source of Funds: 100% Federal.

If the federal funds become no longer available, general funds will not be requested to support the program expenditures.

Respectfully submitted:



Mary Ann Cooney
Associate Commissioner

Approved By:



Nicholas A. Toumpas
Commissioner

Enclosures

DEPARTMENT OF HEALTH AND HUMAN SERVICES
 ADMINISTRATION FOR CHILDREN AND FAMILIES
 NOTICE OF AWARD

SAI NUMBER:
 PMS DOCUMENT NUMBER:

1. AWARDING OFFICE: ACYF - Children's Bureau		2. ASSISTANCE TYPE: Coop Agreement		3. AWARD NO.: 90CO1115-02-00		4. AMEND. NO. 0	
5. TYPE OF AWARD: Other			6. TYPE OF ACTION: Non-Competing Continuation			7. AWARD AUTHORITY: 42 USC 6101 ET SEQ	
8. BUDGET PERIOD: 09/30/2014 THRU 09/28/2015			9. PROJECT PERIOD: 09/30/2013 THRU 09/29/2018			10. CAT NO.: 93.652	
11. RECIPIENT ORGANIZATION: New Hampshire Dept of Health & Human Services 129 Pleasant St Concord, NH 03301-3852 Grantee Authorizing Official: John Harrington					12. PROJECT / PROGRAM TITLE: New Hampshire Adoption, Preparation and Preservation Project		

13. COUNTY: Merrimack		14. CONGR. DIST: 02		16. PRINCIPAL INVESTIGATOR OR PROGRAM DIRECTOR: Valerie Brown	
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16. APPROVED BUDGET:		17. AWARD COMPUTATION:		
Personnel.....	\$ 0.00	A. NON-FEDERAL SHARE.....	\$ 0.00	0%
Fringe Benefits.....	\$ 0.00	B. FEDERAL SHARE.....	\$ 445,069.00	100%
Travel.....	\$ 0.00	18. FEDERAL SHARE COMPUTATION:		
Equipment.....	\$ 0.00	A. TOTAL FEDERAL SHARE.....	\$ 445,069.00	
Supplies.....	\$ 0.00	B. UNOBLIGATED BALANCE FEDERAL SHARE.....	\$ 0.00	
Contractual.....	\$ 445,069.00	C. FED. SHARE AWARDED THIS BUDGET PERIOD...\$	\$ 0.00	
Facilities/Construction.....	\$ 0.00	19. AMOUNT AWARDED THIS ACTION:		
Other.....	\$ 0.00	\$ 445,069.00		
Direct Costs.....	\$ 445,069.00	20. FEDERAL \$ AWARDED THIS PROJECT PERIOD:		
Indirect Costs.....	\$ 0.00	\$ 867,318.00		
At % of \$		21. AUTHORIZED TREATMENT OF PROGRAM INCOME:		
In Kind Contributions.....	\$ 0.00	Additional Costs		
Total Approved Budget.....	\$ 445,069.00	22. APPLICANT EIN:	23. PAYEE EIN:	24. OBJECT CLASS:
		026000618	1026000616B3	41.45

25. FINANCIAL INFORMATION:						DUNS 011040545
ORGN	DOCUMENT NO.	APPROPRIATION	CAN NO.	NEW AMT.	UNOBLIG.	NONFED %
	90CO111502	75141536	4-G998016	\$445,069.00		

26. REMARKS: (Continued on separate sheets)
 Grantee assumes complete responsibility for the administration and accountability for all funds received under this award. Grantee has not listed any Federal funds under personnel line item.

27. SIGNATURE - ACF GRANTS OFFICER Daphne Weeden		DATE: 07/26/2014	28. SIGNATURE(S) CERTIFYING FUND AVAILABILITY Rosa Treni		DATE: 07/17/2014
29. SIGNATURE AND TITLE - PROGRAM OFFICIAL(S) Mr. Mark H Greenberg - Acting Commissioner			DATE: 07/24/2014		

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES
NOTICE OF AWARD

SAI NUMBER:

PMS DOCUMENT NUMBER:

1. AWARDING OFFICE: ACYF - Children's Bureau		2. ASSISTANCE TYPE: Coop Agreement	3. AWARD NO.: 90CO1115-02-00	4. AMEND. NO. 0
5. TYPE OF AWARD: Other		6. TYPE OF ACTION: Non-Competing Continuation		7. AWARD AUTHORITY: 42 USC 5101 ET SEQ
8. BUDGET PERIOD: 09/30/2014 THRU 09/29/2015		9. PROJECT PERIOD: 09/30/2013 THRU 09/29/2013		10. CAT NO.: 93.852
11. RECIPIENT ORGANIZATION: New Hampshire Dept of Health & Human Services				

Reporting Requirements

- Starting with awards issued in fiscal year 2013, ACF will require use of the SF-428 (Tangible Personnel Property Form) and the SF-429 (Real Property Status Report). The reporting frequency will be on an annual basis at the end of each fiscal year. If the report is not applicable, submission is not required. Fillable forms are available at <http://www.acf.hhs.gov/grants/forms>

STANDARD TERMS

- Paid by DHHS Payment Management System (PMS). This award is subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable to you based on your recipient type and the purpose of this award. This includes requirement in Parts I and II of the HHS GPS. Although consistent with the HHS GPS, any applicable statutory or regulatory requirements, including 45 CFR Part 74 or 92, directly apply to this award apart from any coverage in the HHS GPS. This award is subject to requirements or limitations in any applicable Appropriations Act. This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award terms, go to the <http://www.acf.hhs.gov/grants/discretionary-competitive-grants>. This grant is subject to the requirements set forth in 45 CFR Part 87. This award is subject to the Federal Financial Accountability and Transparency Act (FFATA or Transparency) of 2006 subaward and executive compensation reporting requirements. Initial expenditure of funds by the grantee constitutes acceptance of this award.

Line Item Budget and Budget Justification

**New Hampshire Adoption Preparation and Preservation Project (NNHAPP)
Budget Narrative**

6.a Personnel	Salary \$	Yr 1 FTE	Yr 2 FTE	Yr 3 FTE	Yr 4 FTE	Yr 5 FTE	Year 1	Year 2	Year 3	Year 4	Year 5
Kay Jankowski	86,549.00	30%	30%	30%	30%	30%	34,923.00	35,970.00	37,049.00	38,161.00	39,306.00
Erin Barnett	72,540.00	30%	30%	30%	30%	30%	29,270.00	30,148.00	31,052.00	31,984.00	32,944.00
Cathleen Yackley	72,540.00	30%	30%	30%	30%	30%	29,270.00	30,148.00	31,052.00	31,984.00	32,944.00
TBD	52,000.00	100%	100%	100%	100%	100%	69,940.00	72,038.00	74,199.00	76,425.00	78,718.00
CPDE-TBD (Center for Program, Design and Evaluation at Dartmouth)	65,439.00	40%	40%	40%	40%	40%	35,206.00	36,262.00	37,350.00	38,471.00	39,625.00
Karen Schifferdecker	96,428.00	15%	15%	15%	15%	15%	19,454.00	20,038.00	20,639.00	21,258.00	21,896.00
Budget total for Personnel							218,063.00	224,604.00	231,341.00	238,283.00	245,433.00
Description:											
All staff listed are committed to project 12 months/year x 5 years											

6.c - Travel	Year 1	Year 2	Year 3	Year 4	Year 5
Budget total for Travel	65,130.00	27,336.00	27,549.00	27,766.00	27,992.00
Description:					
1. Annual Meeting: (Each Year) Trip to Washington, DC for 6 people @ \$3,000.00/person/year: 3 days, 2 nights stay in hotel, plane flight, per diem - \$89.00 No mileage allowances, No private vehicles to travel out of town.					
2. Entrance Conference: (First Year Only) Trip to Washington, DC for 6 people @ \$3,000.00/person/year:					

- 3 days, 2 nights stay in hotel, plane flight, per diem - \$89.00
 No mileage allowances, No private vehicles to travel out of town.
 3. Travel for Staff to run Focus Groups: (4 Groups meeting 2 times/year) average 160 miles/trip at .565/mile
 4. State Meetings: (4 meetings/year with State to review evaluators)
 7. Staff travel to State offices, avg. 160 miles/roundtrip * .565/mile for approximately 35 trips per year.
 8. Consumer Participation, avg. 160 miles/roundtrip * .565/mile for approximately 30 consumers.

6.d - Equipment	Year 1	Year 2	Year 3	Year 4	Year 5
Budget total for Equipment	23,000.00	15,450.00	15,914.00	16,391.00	16,883.00
Description:					
1. Computer for Coordinator - Year 1 only: \$2,400.00					
2. Data Collection: Tablets, 10 Tablets at \$560.00/tablet, Year 1 only: \$5,600.00					
3. Data Collection: Programming of Tablets & General Data Instructions, 300 hours/year at \$50.00/hour: \$15,000.00					

6.e - Supplies	Year 1	Year 2	Year 3	Year 4	Year 5
Budget total for Supplies	900.00	927.00	955.00	983.00	1,013.00
Description:					
Project materials, supplies and duplication, \$75.00/month					

6.f - Contractual	Year 1	Year 2	Year 3	Year 4	Year 5
Budget for Contractual	2,650.00	2,650.00	2,650.00	2,650.00	2,650.00
Description:					
1. Consultants: (TBD) Provide consultation on cost analysis, \$2,000.00/year					
2. Intervention Evaluators: Dept. of Psychiatry's Data Safety & Monitoring Board requires intervention studies to be evaluated by outside consultants, \$650.00/year					

6.h - Other	Year 1	Year 2	Year 3	Year 4	Year 5
Budget for Other	43,468.00	49,240.00	50,785.00	31,852.00	32,811.00

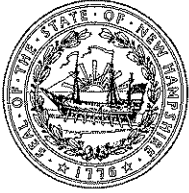
Description:

1. Training Meetings: (6 meetings/year) Meeting room rental, \$300.00
2. Food and beverages, \$500.00, \$20.00/person, 25 people
3. Mileage reimbursement for attendees, \$2,260.00, Avg 160 miles/person roundtrip *.565/mile

Evaluation:

4. Focus Groups Stipends: (4 Groups meeting 2 times/year) 8 participants at \$40.00/participant
5. Food for Focus Groups: (4 Groups meeting 2 times/year) at \$100.00/meeting
6. Phone Charges: Conference Calls, 24-60 minute calls/year with 5 callers/call at .14/minute/caller
7. Stipends: Consumer Participation Payments, \$100.00 stipends for 30 consumers
8. SAFE Training: (Structured Analysis Family Evaluation), 2 day training at \$280.00/person, Supervisor Training at \$125.00/person. Cost per State District Office: 10 at \$685.00/office, 2 at \$965.00/office, total of 36 people trained. *2 Day Training for 8 Foster Care Agencies at \$4,480.00 for staff and \$1,000.00 for Supervisors.*
9. "Train the Trainers" Workshops, Years 2 & 3 only
10. "Train the Trainers" Continuation Workshops, Years 4 & 5 only

	Year 1	Year 2	Year 3	Year 4	Year 5
6.i - Total Direct Charges	353,211.00	320,207.00	329,194.00	317,925.00	326,782.00
6.j - Indirect Charges	95,638.00	104,262.00	107,362.00	103,475.00	106,553.00
6.k - Total (Budget includes inflation at 103.0%)	448,849.00	424,469.00	436,556.00	421,400.00	433,335.00



STATE OF NEW HAMPSHIRE

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6527
603-271-4517 1-800-852-3345 Ext.4517
Fax: 603-271-4519 TDD Access: 1-800-735-2964

FIS 15 124



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

July 7, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Population and Community Health Services, Maternal & Child Health Section, Injury Prevention Program to accept and expend federal funds in the amount of \$127,089 from the Centers for Disease Control and Prevention to fund the New Hampshire implementation of the National Violent Death Reporting System, effective upon Fiscal Committee and Governor and Council approval, for the period retroactive to July 1, 2015 through December 31, 2015, and further authorize the funds to be allocated as follows.

05-95-90-902010-1869 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF POPULATION AND COMMUNITY HEALTH SERVICES, NATIONAL VIOLENT DEATH REPORTING SYSTEM

SFY2016

Table with 5 columns: Class/Object, Class Title, Current Modified Budget, Increase (Decrease) Amount, Revised Modified Amount. Rows include Federal Funds, Current Expense, Equipment, Audit Fund Set Aside, Transfers to Other State Agcy, In State Travel, Out of State Travel, and Total Revenue/Expenses.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
July 7, 2015
Page 2

EXPLANATION

This Requested Action is identified as **retroactive** to July 1, 2015 due to the Continuing Resolution in effect for SFY 2016. These funds were awarded September 2, 2014, and were not included in the SFY 2015 budget as of July 1, 2014. However, an Accept and Expend Request to the Fiscal Committee and Governor and Council was approved January 28, 2015, Item #11. Grants funds awarded for periods after SFY 2015 have been requested in the operating budgets for SFY 2016 - SFY 2017.

The Department of Health and Human Services received funding from the Centers for Disease Control (CDC) to establish the National Violent Death Reporting System (NVDRS) in New Hampshire. This award was given with the understanding that the Injury Prevention Program will work in conjunction with its partner, the New Hampshire Office of Medical Examiner, in the Department of Justice. The goals of the Injury Prevention Program under this award are to:

- collect and link data on violent deaths from various sources,
- analyze this data,
- share aggregated data with the Centers for Disease Control for national level reporting, and
- use this data to inform state-wide stakeholders to help them develop activities around the prevention of suicide, homicide, and firearm-related deaths.

In recent years, 2009-2013, there were on average 223 violent deaths per year in New Hampshire. The vast majority of these are suicides. Participation in the National Violent Death Reporting System with its expanded data set would allow the Injury Prevention Program, the Office of the Medical Examiner and their colleagues to further target prevention efforts. The rich data available through the National Violent Death Reporting System may also uncover previously unknown trends that could identify high risk groups to address in order to reduce both the risk and incidence of these violent deaths.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
July 7, 2015
Page 3

The funds are to be budgeted as follows:

- Funds in class 020 (Current Expense) are needed for the cost of general operating expenses.
- Funds in class 030 (Equipment) are needed to purchase a laptop, docking station and standing screen for the Principal Investigator.
- Funds in class 049 (Transfers to Other State Agency) will be available to the Department of Justice, Office of the Medical Examiner for NVDRS activities, which may include support of the Analyst's salary, office set-up, office supplies, in-state travel for grant-related meetings, and out-of-state travel for a CDC training conference in Atlanta, GA for the NVDRS Analyst.
- Funds in class 070 (In State Travel) are needed for in-state travel by Principal Investigator.
- Funds in class 080 (Out of State Travel) are needed to pay for out-of-state travel by the Principal Investigator to attend an all grantee meeting in Atlanta, GA.

Audit costs are included per state requirements.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

The geographic area to be served is the state of New Hampshire.

Source of funds: These funds are 100% Federal Funds from the Centers for Disease Control and Prevention to fund the New Hampshire implementation of the National Violent Death Reporting System. Attached is the Notice of Grant Award and award history. Notice of these funds was received on September 2, 2014.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
July 7, 2015
Page 4

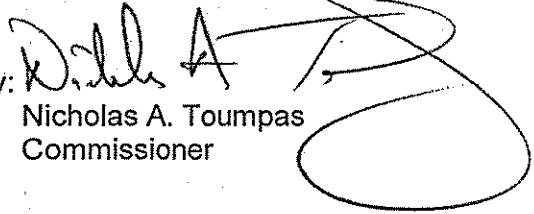
In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella Jordan Bobinsky, MPH
Acting Director

Approved by:



Nicholas A. Toumpas
Commissioner

MJB/cl

Award History
National Violent Death Reporting System NVDR
SFY 2016

Award Ending 8/31//15 <small>award 9/1/14 - 8/31/15</small>	144,606
Anticipated Award Ending 8/31/16 <small>(9/1/15 - 8/31/16 \$144,606/12*10</small>	120,505
Anticipated Expenses Through 6/30/15	<u> -</u>
Available Award Balance 7/1/15	265,111
SFY 16 Appropriations *	-
Anticipated OYR - brought forward on 6/30/15	120,367
Available To Accept	<u>385,478</u>
Amount Requested this Action	<u>127,089</u>

*** SFY 16 Appropriations:**

	Current	OYR	Total	This Action	Revised SFY 16 Budget
010-090-18690000	-	120,367	120,367	127,089	247,456
Total	-	120,367	120,367	127,089	247,456



COOPERATIVE AGREEMENT
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR INJURY PREVENTION AND CONTROL

Notice of Award

Issue Date: 10/23/2014



Grant Number: 1U17CE002610-01 REVISED
FAIN: U17CE002610

Principal Investigator(s):
JoAnne Miles

Project Title: New Hampshire Implementation of the National Violent Death Reporting System

DOLORIS COOPER
FINANCE MGR, DPHS
29 HAZEN DR
CONCORD, NH 03301

Budget Period: 09/01/2014 – 08/31/2015
Project Period: 09/01/2014 – 08/31/2019

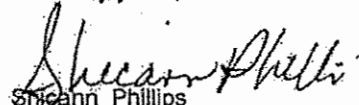
Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPARTMENT OF HEALTH & HUMAN SERVICES in support of the above referenced project. This award is pursuant to the authority of SEC 301,317,&391A 42USC241,247B&280B-B3 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,


Shicann Phillips
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 1U17CE002610-01 REVISED

Award Calculation (U.S. Dollars)

Supplies	\$2,500
Travel Costs	\$1,791
Other Costs	\$144
Consortium/Contractual Cost	\$140,171

Federal Direct Costs	\$144,606
Approved Budget	\$144,606
Federal Share	\$144,606
TOTAL FEDERAL AWARD AMOUNT	\$144,606

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

02	\$144,606
03	\$144,606
04	\$144,606
05	\$144,606

Fiscal Information:

CFDA Number: 93.136
 EIN: 1026000618B3
 Document Number: 002610CE14

IC	CAN	2014	2015	2016	2017	2018
CE	939ZSPT	\$144,606	\$144,606	\$144,606	\$144,606	\$144,606

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
1	\$144,606	\$144,606
2	\$144,606	\$144,606
3	\$144,606	\$144,606
4	\$144,606	\$144,606
5	\$144,606	\$144,606

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

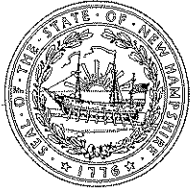
PGC: / OC: 4151 / Processed: ERAAPPS 10/23/2014

SECTION II – PAYMENT/HOTLINE INFORMATION – 1U17CE002610-01 REVISED

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

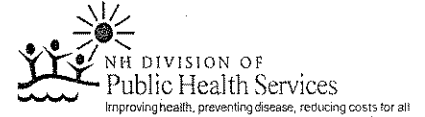
SECTION III – TERMS AND CONDITIONS – 1U17CE002610-01 REVISED



FIS 15 125

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4501 1-800-852-3345 Ext. 4612
Fax: 603-271-4827 TDD Access: 1-800-735-2964



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

July 8, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services, to accept and expend federal funds in the amount of \$158,196 from the Centers of Disease Control and Prevention to fund the New Hampshire Oral Disease Prevention Program, **retroactive** to July 1, 2015 through December 31, 2015, and further authorize the funds to be allocated as follows.

05-95-90-902010-22150000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF POPULATION HEALTH AND COMMUNITY SERVICES, CDC ORAL HEALTH GRANT

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-406776	Federal Funds	\$251,707.00	\$158,196.00	\$409,903.00
Total Revenue		\$251,707.00	\$158,196.00	\$409,903.00
010-500100	Personal Serv - Perm	\$0.00	\$61,425.00	\$61,425.00
020-500200	Current Expenses	\$1,540.00	\$2,178.00	\$3,718.00
026-500300	Organizational Dues	\$0.00	\$75.00	\$75.00
039-500188	Telecommunications Voice	\$0.00	\$2,020.00	\$2,020.00
041-500801	Audit Fund Set Aside	\$110.00	\$158.00	\$268.00
042-500620	Additional Fringe Benefits	\$0.00	\$13,514.00	\$13,514.00
046-500464	Gen Consultants Non-Benefit	\$18,842.00	\$0.00	\$18,842.00
050-500109	Personal Service Part-Time	\$0.00	\$40,576.00	\$40,576.00
060-500601	Benefits	\$0.00	\$32,993.00	\$32,993.00

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court, and
 Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 July 8, 2015
 Page 2

070-500700	In-State Travel Reimbursement	\$100.00	\$2,373.00	\$2,473.00
080-500710	Out Of State Travel	\$862.00	\$2,884.00	\$3,746.00
102-500731	Contracts for Program Services	\$230,253.00	\$0.00	\$230,253.00
Total Expenses		\$251,707.00	\$158,196.00	\$409,903.00

EXPLANATION

This request is **retroactive** to July 1, 2015 due to the Continuing Resolution in effect for SFY 2016. Grant funds awarded for periods after SFY 2015 have been requested in the operating budgets for SFY 2016-SFY 2017.

Grant funds awarded for periods after SFY 2016 will be included in the operating budgets for SFY 2017.

The New Hampshire Division of Public Health Services has received funding from the Centers for Disease Prevention and Control (CDC) to establish a New Hampshire Oral Disease Prevention Program. This award will enable the Division to expand its capacity to address oral disease prevention in New Hampshire. The goals of the New Hampshire Oral Disease Prevention Program are: 1-assess dental workforce mal-distribution in NH that creates barriers to oral health service delivery and causes geographic and socio-economic oral health disparities among vulnerable populations; 2-monitor and evaluate community water fluoridation in ten NH communities with fluoridated public water supplies; 3-develop a five-year plan for the DPHS Oral Health Program using annual SMART objectives that address program priorities and specific barriers to achieving oral health for all NH residents; 4-evaluate progress since 2003 toward the achievement of objectives outlined in the 2003 NH Oral Health Plan: A Framework for Action and, as such, lay the groundwork for the revision of the 2003 NH Oral Health Plan by oral health stakeholders statewide.

No new positions are being requested as these positions will be filled from the Department's current vacant position list.

The funds are to be budgeted as follows:

- Funds in class 010 are needed to fund a full time Program Coordinator (Program Planner III, LG 25) to plan and coordinate Oral Health Program strategies to achieve the goals and objectives of the CDC Cooperative Agreement.
- Funds in class 020 are needed for the cost of general office supplies, duplicating services, and postage.
- Funds in class 026 are needed to pay for an ASTDD Associate Membership.
- Funds in class 039 are needed to pay for telecommunications.
- Funds in class 041 are needed to pay the audit-fee set aside costs

- Funds in class 042 are needed to pay the additional fringe benefits for the full time position.
- Funds in class 050 are needed to fund a part-time Program Evaluator (Program Planner III, LG 25).
- Funds in class 060 are needed to pay for benefits related to the class 10 and class 50.
- Funds in class 070 are needed to pay in-state travel reimbursement.
- Funds in class 080 are needed to pay for out-of-state travel for program staff to attend a Community Water Fluoridation Conference and the ASTDD National Oral Health Conference.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

Source of funds: These funds are 100% Federal from Centers for Disease Control and Prevention (CDC) to fund the New Hampshire Oral Disease Prevention Program.

Attached is the Notice of Grant Award and award history. Notice of these funds was received on August 31, 2013. They were not added to the operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

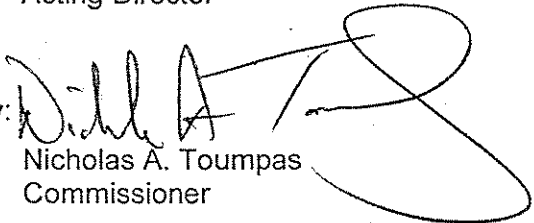
In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella J. Bobinsky, MPH
Acting Director

Approved by:



Nicholas A. Toumpas
Commissioner

MJB/nrm

**Award History
Oral Health
5U58DP004911-02**

Award Ending 8/31/2015	228,795
Anticipated Award Ending 8/31/2016 (250000/12*10)	208,333
Anticipated Expended Through 6/30/15	(90,478)
Available Award Balance 7/1/15	346,650
SFY 16 Appropriations *	-
OYR - brought forward	(47,202)
Available To Accept	299,448
Amount Requested this Action	158,196 *

*** SFY 16 Appropriations:**

CR

	Current	OYR		Total	This Action	Revised Budget
10-090-22150000						
CDC Oral Health		47,202.47		47,202.47	158,196.00	205,398.47
HRSA Oral Hlth	109,681.50	94,823.00		204,504.50	-	204,504.50
	-	-		-	-	-
Total	109,681.50	142,025.47	-	251,706.97	158,196.00	409,902.97

Allocated cost budget other AU's 34,665

*To accept 6 months of the award.

COOPERATIVE AGREEMENTS

Department of Health and Human Services
Centers for Disease Control and Prevention

NATIONAL CENTER FOR CHRONIC DISEASE PREV AND HEALTH PROMO



Grant Number: 5U58DP004911-02 REVISED
FAIN: U58DP004911

Principal Investigator(s):
JOSE THEIR MONTERO, MD

Project Title: NEW HAMPSHIRE ORAL DISEASE PREVENTION PROGRAM

JOSE T MONTERO
DIRECTOR
DIVISION OF PUBLIC HLTH SVCS
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 09/01/2014 – 08/31/2015
Project Period: 09/01/2013 – 08/31/2018

Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPARTMENT OF HEALTH & HUMAN SERVICES in support of the above referenced project. This award is pursuant to the authority of 301A,311BC,317K2(42USC241A,243BG247BK2) and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Roslyn Curington
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 5U58DP004911-02 REVISED

Award Calculation (U.S. Dollars)

Salaries and Wages	\$102,024
Fringe Benefits	\$50,443
Personnel Costs (Subtotal)	\$152,467
Supplies	\$2,178
Travel Costs	\$7,772
Other Costs	\$33,473
Consortium/Contractual Cost	\$32,905

Federal Direct Costs	\$228,795
Approved Budget	\$228,795
Federal Share	\$228,795
TOTAL FEDERAL AWARD AMOUNT	\$228,795

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

03	\$250,000
04	\$250,000
05	\$250,000

Fiscal Information:

CFDA Number: 93.283
 EIN: 1026000618B3
 Document Number: 004911DP14

IC	CAN	2014	2015	2016	2017
DP	939ZRDG	\$228,795	\$250,000	\$250,000	\$250,000

SUMMARY TOTALS FOR ALL YEARS			
YR	THIS AWARD		CUMULATIVE TOTALS
2		\$228,795	\$228,795
3		\$250,000	\$250,000
4		\$250,000	\$250,000
5		\$250,000	\$250,000

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

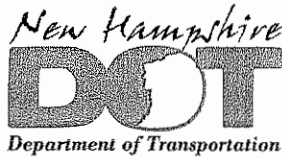
CDC Administrative Data:

PCC: N / OC: 4151 / Processed: ERAAPPS 07/16/2014

SECTION II – PAYMENT/HOTLINE INFORMATION – 5U58DP004911-02 REVISED

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



William Cass, P.E.
Assistant Commissioner

FIS 15 145

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

July 9, 2015
 Division of Project Development

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the New Hampshire Department of Transportation to budget and expend revenue in the amount of \$659,000 to fund necessary software application renewals and updates upon the date of Fiscal Committee and Governor and Council approval through December 31, 2015. 100% Federal Funds

04-096-096-962515-2944	Current Budget FY 2016	Requested Change	Revised Budget FY2016
SPR Planning Funds			
Expenses:			
010 500100 Personal Services – Permanent	\$1	\$0	\$1
018 500106 Overtime	50,000	0	50,000
020 500200 Current Expense	6,788	9,000	15,788
024 500225 Maint Other Than Build-Grn	2,000	0	2,000
030 500311 Equipment New Replacement	62,400	0	62,400
037 500174 Technology-Hardware	57,275	0	57,275
038 500175 Technology-Software	113,900	650,000	763,900
039 500180 Telecommunications	1,000	0	1,000
046 500463 Consulting	1,269,286	0	1,269,286
050 500109 Personal Services-Temp	20,000	0	20,000
060 500601 Benefits	11,421	0	11,421
070 500704 In-State Travel Reimbursement	850	0	850
072 500574 Grants Federal	3,313,671	0	3,313,671
081 509081 Out of State Travel Fed Reim	6,000	0	6,000
Total	\$4,914,592	\$659,000	\$5,573,592
Source of Funds			
Revenue:			
000-409151 Federal Funds	\$4,914,592	\$659,000	\$5,573,592

EXPLANATION

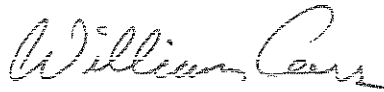
The Department requests authorization to budget and expend SPR Federal Funds for necessary Decision Lens software application renewal and replacement of the traffic database system. All expenditures are federally reimbursable.

The Decision Lens software license expires in September and is needed to support the Ten Year Plan/GACIT process. The software is needed to prioritize Regional Planning Commission projects, cross asset allocation and related. Also, the system has been used for TAP project solicitation and having this information available to the Department is critical for the selection process.

The Department of Transportation (DOT), Bureau of Traffic, seeks to procure services to provide and install a new Traffic Data Management System. The Department's existing software system is outdated and currently interacts poorly, or not at all, with other Department information systems, often requiring manual intervention to complete Federally mandated reporting requirements, specifically Highway Performance Monitoring System (HPMS) and Traffic Monitoring Analysis Systems (TMAS) reporting, as required per 23 USC 315, 23 CFR 1.5, and 23 CFR 420. The new Traffic Data Management System will allow the Department to replace older computer systems, used in Federal reporting and in-house support of Department projects. The replacement of the older systems with newer technology will result in time savings spread across multiple work groups within DOT; increased efficiencies; and will provide web-based tools which will enable the public to access traffic count information.

Your approval of this resolution is respectfully requested.

Sincerely,



William Cass, P.E.
Assistant Commissioner

Department of Transportation
FISCAL SITUATION FISCAL YEAR 2016
04-096-096-962515-29440000

SPR Planning Funds

Estimated revenue budgeted:	\$	2,804,846
Prior year carryforward revenue:	\$	2,109,745
Additional non-budgeted revenue:	\$	659,000
Amount available to budget:	\$	5,573,591
Less current FY16 budget authorization:	\$	2,804,846
Less encumbered balance	\$	2,109,745
Total available for budgeting:	\$	659,000
Amount to be budgeted this request:	\$	659,000
Amount available to budget with future requests:	\$	-

Source of Non-Budgeted Revenue	Amount	Expenses through 7/9/15	Balance
Federal Highway SPR Planning Funds	\$ 659,000	\$ -	\$ 659,000
Totals	\$ 659,000	\$ -	\$ 659,000



STATE OF NEW HAMPSHIRE

FIS 15 126

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4612 1-800-852-3345 Ext. 4612
Fax: 603-271-4827 TDD Access: 1-800-735-2964



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

July 7, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Laboratory Services, to accept and expend federal funds in the amount of \$590,182 from the US Centers for Disease Control and Prevention (CDC) to fund the NH Biomonitoring Program, retroactive to July 1, 2015, through December 31, 2015, and further authorize the funds to be allocated as follows. Grants funds awarded for periods after SFY 2015 have been requested in the operating budgets for SFY 2016 and SFY 2017.

05-95-90-903010-8280 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SERVICES, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF LABORATORY SERVICES, BIOMONITORING GRANT

SFY 2016

Table with 5 columns: Class/Object, Class Title, Current Modified Budget, Increase (Decrease) Amount, Revised Modified Budget. Rows include Federal Funds, Overtime, Holiday Pay, Current Expense, Maint not Building & Grounds, Memberships, Equipment, and Telecommunications.

041-500801	Audit Fund Set Aside	\$0	\$530	\$530
042-500620	Add'l Fringe COLA	\$0	\$20,534	\$20,534
050-500109	Personal Services Other	\$0	\$10,700	\$10,700
057-500535	Books, Periodicals and Subscriptions	\$0	\$150	\$150
059-500117	Full Time Temp	\$0	\$130,012	\$130,012
060-500602	Benefits	\$0	\$74,930	\$74,930
066-500543	Employee Training	\$0	\$600	\$600
070-500704	In-State Travel	\$0	\$1,250	\$1,250
080-500710	Out-of-State Travel	\$0	\$7,500	\$7,500
102-500734	Contracts for Program Services	\$0	\$13,000	\$13,000
548-500396	Reagents	\$0	\$37,500	\$37,500
Total Expenses		\$0	\$590,182	\$590,182

2. Pursuant to the provisions of NH RSA 124:15, Positions Restricted, and subject to the approval of item 1 above, authorize the Department of Health and Human Services, Division of Public Health Services, Public Health Laboratories, Biomonitoring Program to establish five positions utilizing funds from the US Centers for Disease Control and Prevention, Biomonitoring Cooperative Agreement effective upon date of approval by the Fiscal Committee and Governor and Council, through December 31, 2015. Grants funds awarded for periods after SFY 2015 have been requested in the operating budgets for SFY 2016 and SFY 2017.

- Full-time, temporary (Class 059) position – Toxicologist IV, Labor Grade 29
- Full-time, temporary (Class 059) position – Toxicologist II, Labor Grade 23
- Full-time, temporary (Class 059) position – Public Health Program Manager, Labor Grade 26
- Full-time, temporary (Class 059) position – Program Specialist IV, Labor Grade 25
- Full-time, temporary (Class 059) position – Toxicologist II, Labor Grade 23(to be hired in SFY 16)

The attached letter from Division of Personnel approved the establishment of these positions.

EXPLANATION

This request is **retroactive** to July 1, 2015 due to the Continuing Resolution in effect for SFY 2016. These funds were not awarded until August 14, 2014, and were not included in the SFY 2015 budget as of July 1, 2014. Grant funds awarded for periods after SFY 2015, have been requested in the operating budgets for SFY 2016-SFY 2017.

The CDC State Biomonitoring Cooperative Agreement serves to increase the capability and capacity of states to conduct biomonitoring and surveillance to assess human exposure to environmental chemicals. Biomonitoring provides human exposure data that can assist in making important public health decisions. Better exposure information helps identify at-risk population groups and assess the effectiveness of interventions. The Division of Public Health Services will use the funding to purchase laboratory equipment and supplies, hire and train toxicologists and epidemiologists, and conduct both targeted and surveillance investigations. Toxicologists will conduct

the laboratory analysis and epidemiologists will work to determine exposure risks of New Hampshire residents. CDC program staff will provide technical support and training for the State program.

The New Hampshire Public Health Laboratories will begin working on an arsenic and uranium project analyzing urine and water samples from individuals reliant on private bedrock wells for drinking water. Residents of selected high-risk communities, as determined by local geology, will be invited to participate in this important public health study. Arsenic speciation, which is used to identify which form of arsenic is present, will be conducted on urine specimens with elevated total arsenic.

In future years of the project, the Public Health Laboratories will initiate a state-wide Surveillance Biomonitoring effort, testing blood and urine for chemicals of concern in New Hampshire. The data from these analyses will be useful in determining state-specific background levels of contaminants, identifying emerging concerns, prioritizing resources, and evaluating public health interventions. Biomonitoring data from New Hampshire will inform the Department of Health and Human Services, Division of Public Health Services in implementation of multiple priority areas in the *New Hampshire State Health Improvement Plan*, which are available at <http://www.dhhs.nh.gov/dphs/documents/nhship2013-2020.pdf>.

Funds are budgeted for Overtime, Holiday Pay, Personal Services Other, Full Time Temporary Personal, and Benefits (Class 018, 019, 050, 059, and 060) if approved in item 2, to support all programmatic activities, based on a likely hire date of August 7, 2015.

Funds are budgeted for Current Expenses (Class 020) for purchase of office supplies, copying, and printing, and other consumable testing supplies.

Funds are budgeted for Maintenance Not Building and Grounds (Class 024) for repairs or parts for general laboratory equipment that support program activities.

Funds are budgeted for Memberships (Class 026) for one of the Toxicologist positions for a membership to a professional association, to include discounts on technical publications. Membership will be for the State position, not an individual, and will be transferrable.

Funds are budgeted for Equipment (Class 030) for purchase of an LC/MS/MS for the analysis of pesticide metabolites, perfluorinated chemicals and markers of environmental tobacco smoke. This instrument is needed to implement the state-wide biomonitoring surveillance project.

Funds are budgeted in Telecommunications (Class 039) for telephone and conference calls to support programmatic activities.

Funds are budgeted for Audit costs (Class 041) per state requirements.

Funds are budgeted for Additional Fringe Benefits (Class 042) for post-retirement benefits.

Funds are budgeted for Books, Periodicals, and Subscriptions (Class 057) for access to professional journals and articles, and current research.

Funds are budgeted in Employee Training (Class 066) for analytical training of staff to increase knowledge and skills related to biomonitoring.

Funds are budgeted for In-State Travel (Class 070) to cover travel expenses that staff incur while performing their duties.

Funds are budgeted for Out-of-State Travel (Class 080) to cover travel expenses for meetings mandated by the funder, and for professional development.

Funds are budgeted for Contracts for Program Services (Class 102) to contract with a subject matter expert to advise on the study design.

Funds are budgeted for Reagents (Class 548) to purchase laboratory reagents and testing supplies.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

1) List of personnel involved:

Full-time Toxicologist IV, LG 29, Position # 9T2745
Full-time Toxicologist II, LG 23, Position# 9T2746
Full-time Public Health Program Manager, LG 26, Position# 9T2751
Full-time Program Specialist IV, LG 25, Position # 9T2748
Full-time Toxicologist II, LG 23, Position# 9T2747 (to be hired SFY 16)

2) Nature, need and duration:

Full-time Toxicologist IV, LG 29, Position # 9T2745

This senior analytical chemist will be responsible for technical supervision of the Toxicologist II, establishment of priorities, determination of workflow, biomonitoring laboratory quality assurance, preparation of reports, and analytical testing.

Full-time Toxicologist II, LG 23, Position# 9T2746

This position is essential for the analysis of specimens associated with targeted biomonitoring project activities.

Full-time Public Health Program Manager, LG 26, Position # 9T2751

This senior epidemiologist will be responsible for the overall study design, in consultation with the Chemistry Program Manager and the project toxicologists, supervision of the Public Health Specialist, development of the survey instrument, and the preparation of required applications and forms to achieve project goals.

Full-time Program Specialist IV, LG 25, Position # 9T2748

This Program Specialist will be actively involved in recruitment of study participants, specimen collection activities, and the development of protocols to accomplish programmatic goals.

Full-time Toxicologist II, LG 23, Position# 9T2747 (to be hired SFY 16)

This position is essential for the analysis of specimens associated with surveillance biomonitoring project activities.

All positions are from the date of hire until the project completion.

- 3) Relationship to existing agency programs:

The Biomonitoring Program will enhance the existing biomonitoring capabilities and capacity of the NH Public Health Laboratories and complement current environmental health activities conducted by local health departments, Division of Public Health Services, and the Department of Environmental Services.

- 4) Has similar program been requested of the Legislature and denied?

No

- 5) Why wasn't funding included in the agency's budget request?

This award was not received in time to include in the SFY 2015 budget. Due to the Continuing Resolution in effect for SFY 2016, dollars budgeted in SFY 2016 are not available.

- 6) Can portions of the grant funds be utilized for other purposes?

Federal funds allocated to the State cannot be used for other purposes.

- 7) Estimate the funds required to continue this position:

Position (Salary & Benefits)	SFY 2016	SFY 2017
Toxicologist IV	\$108,654.50	\$112,251.50
Toxicologist II (a)	\$76,681.50	\$79,390.50
PH Program Manager	\$85,230.50	\$88,313.50
Program Specialist IV	\$82,217.00	\$85,230.50
Toxicologist II (b)	\$38,341.25	\$76,631.50

These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

Area served: Statewide

The Honorable Neal M. Kurk, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
July 7, 2015
Page 6


Source of funds: These funds are 100% Federal from US Centers for Disease Control and Prevention to fund the Biomonitoring Program.

Attached are the Division of Personnel authorization, Notice of Grant Award and Award History. Notice of these funds was received on August 14, 2014. They were not added to the SFY 2015 operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,


Marcella Jordan Bobinsky
Acting Director

Approved by: 
Nicholas A. Toumpas
Commissioner

MJB/MH/sjw

**AWARD HISTORY
Biomonitoring**

Award Ending 8/31/2015	815,909
Anticipated award ending 08/31/2016	1,000,000
Expended through 6/30/15	(297,015)
Unobligated Balance Unable to Spend	
Award Balance 7/1/15	1,518,894
SFY 16 Appropriation **	-
OYR	-
Available to Accept in SFY 16	1,518,894
Amount Requested this Action	<u>590,182</u>

SFY 16 Appropriation		Current	OYR	Total	This Action	Revised Budget
8280						
Biomonitoring		-	-	-	590,182	590,182
		-	-	-	590,182	590,182



LINDA M. HODGDON
Commissioner
(603) 271-3201

State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

November 24, 2014

Marilyn Doe, Administrator-II
Human Resources
Department of Health and Human Services
Concord, NH 03301

Regarding: Request to establish a full-time temporary Toxicologist IV, labor grade 29

Dear Ms. Doe:

The Division of Personnel approves of your request dated October 6, 2014 to establish a full-time temporary Toxicologist IV, labor grade 29 for the Division of Public Health Services, Bureau of Laboratory Services, and have assigned the position number of 9T2745 pending approval of funding.

This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Business Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify me with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel



State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

LINDA M. HODGDON
Commissioner
(603) 271-3201

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

November 24, 2014

Marilyn Doe, Administrator II
Human Resources
Department of Health and Human Services
Concord, NH 03301

Regarding: Request to establish a full-time temporary Toxicologist II, labor grade 23

Dear Ms. Doe:

The Division of Personnel approves of your request dated October 6, 2014 to establish a full-time temporary Toxicologist II, labor grade 23 for the Division of Public Health Services, Bureau of Laboratory Services, and have assigned the position number of 9T2746 pending approval of funding.

This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Business Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify me with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel



LINDA M. HODGDON
Commissioner
(603) 271-3201

State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

November 24, 2014

Marilyn Doe, Administrator II
Human Resources
Department of Health and Human Services
Concord, NH 03301

Regarding: Request to establish a full-time temporary Public Health Program Manager, labor grade 26

Dear Ms. Doe:

The Division of Personnel approves of your request dated October 6, 2014 to establish a full-time temporary Public Health Program Manager, labor grade 26 for the Division of Public Health Services, Bureau of Laboratory Services, and have assigned the position number of 9T2751 pending approval of funding.

This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Business Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify me with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel



LINDA M. HODGDON
Commissioner
(603) 271-3201

State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

November 24, 2014

Marilyn Doe, Administrator II
Human Resources
Department of Health and Human Services
Concord, NH 03301

Regarding: Request to establish a full-time temporary Program Specialist IV, labor grade 25

Dear Ms. Doe:

The Division of Personnel approves of your request dated October 6, 2014 to establish a full-time temporary Program Specialist IV, labor grade 25 for the Division of Public Health Services, Bureau of Laboratory Services, and have assigned the position number of 9T2748 pending approval of funding.

This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Business Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify me with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel



State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

LINDA M. HODGDON
Commissioner
(603) 271-3201

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

November 24, 2014

Marilyn Doe, Administrator II
Human Resources
Department of Health and Human Services
Concord, NH 03301

Regarding: Request to establish a full-time temporary Toxicologist II, labor grade 23

Dear Ms. Doe:

The Division of Personnel approves of your request dated October 6, 2014 to establish a full-time temporary Toxicologist II, labor grade 23 for the Division of Public Health Services, Bureau of Laboratory Services, and have assigned the position number of 9T2747 pending approval of funding.

This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Business Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify me with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel

SECTION I – AWARD DATA – 1U88EH001142-01

Award Calculation (U.S. Dollars)

Salaries and Wages	\$175,457
Fringe Benefits	\$87,729
Personnel Costs (Subtotal)	\$263,186
Equipment	\$310,000
Supplies	\$76,667
Travel Costs	\$14,622
Other Costs	\$9,450
Consortium/Contractual Cost	\$6,000

Federal Direct Costs	\$679,925
Federal F&A Costs	\$135,984
Approved Budget	\$815,909
Federal Share	\$815,909
TOTAL FEDERAL AWARD AMOUNT	\$815,909

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$815,909

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

02	\$1,000,000
03	\$1,000,000
04	\$1,000,000
05	\$1,000,000

815,909/12 x 10 = 679,924 Vok

Fiscal Information:

CFDA Number: 93.070
 EIN: 1026000618B3
 Document Number: 001142EH14

IC	CAN	2014	2015	2016	2017	2018
EH	939ZTFM	\$815,909	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
1	\$815,909	\$815,909
2	\$1,000,000	\$1,000,000
3	\$1,000,000	\$1,000,000
4	\$1,000,000	\$1,000,000
5	\$1,000,000	\$1,000,000

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: / OC: 4151 / Processed: ERAAPPS 08/14/2014

SECTION II – PAYMENT/HOTLINE INFORMATION – 1U88EH001142-01

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such



State of New Hampshire

FIS 15 132

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

July 8, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, the Department of Safety, Office of the Commissioner, requests authorization to accept and expend State and Local Implementation Grant Program funds in the amount of \$870,841.00 from the National Telecommunications and Information Administration (NTIA), US Department of Commerce. Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding source: 100% Federal Funds.

2. Pursuant to RSA 124:15, authorize the Department of Safety, Office of the Commissioner, to establish class 046, consultant positions for the purpose of providing program required, communications-related data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA and class 050, part-time personnel to provide administrative support as well as database creation and maintenance as approved by the SLIGP Grant Program. Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding Source: 100% Federal Funds.

Funds are to be budgeted in the following account:

02-23-23-231010-88960000 Dept. of Safety Office of the Commissioner Broadband Grant

Class	Description	Current SFY 2016 Authorized	Requested Action	Revised SFY 2016 Authorized
000-403560	Federal Funds	0.00	(870,841.00)	(870,841.00)
018-500106	Overtime	0.00	32,293.00	32,293.00
020-500200	Current Expenses	0.00	7,081.00	7,081.00
021-502668	Food Institutions	0.00	6,459.00	6,459.00
022-500255	Rents-Leases	0.00	6,804.00	6,804.00
038-500177	Software License/Maint. Mainframe/Network	0.00	5,441.00	5,441.00
040-500800	Indirect Costs	0.00	50,598.00	50,598.00
041-500801	Audit Fund Set Aside	0.00	872.00	872.00
046-500464	Consultants	0.00	335,550.00	335,550.00
050-500109	Personal Services - Temp/Appointed	0.00	247,698.00	247,698.00
060-500602	Benefits	0.00	151,479.00	151,479.00
070-500704	In-State Travel Reimbursement	0.00	3,070.00	3,070.00
080-500710	Out-of-State Travel Reimbursement	0.00	23,496.00	23,496.00
	Total Expenses	0.00	870,841.00	870,841.00

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
July 8, 2015
Page 2 of 3

Explanation

This request to accept \$870,841.00 represents the remaining federal share of the State and Local Implementation Program (SLIGP) funding approved by the Fiscal Committee (FIS 13-280), and Governor and Council (1/15/14, item #53). This federal grant requires a state match equivalent to 25% of federal funds or 20% of the budget. The match requirement may be met utilizing cash match, in-kind match, or a combination thereof. This requirement will be met using a currently budgeted, non-federal, personnel line. The SLIGP grant is the initial funding mechanism trend to the Nationwide Public Safety Broadband Network Requirements and Administration by First Responder Network Authority Legislation passed by Congress and signed into law February 22, 2012. It establishes the First Responder Network Authority (FirstNet), tasked with the establishment and operation of a single, nationwide Public Safety Broadband Network (PSBN). PSBN operates in the 700 MHz radio spectrum which consists of the existing 700 MHz Public Safety broadband allocation and adds the 700 MHz "D-Block" allocation. This SLIGP grant is phased and phase one is to include this State Grant Program whereas NTIA will release up to \$135 million nationally, by formula, in State grant funds to assist regional, State, tribal, and local governments:

- To plan for a single, nationwide public safety broadband network
- To identify and plan the most effective way to utilize and integrate the infrastructure, equipment, and other architecture associated with network.

Requirements at the State level include the State's consultation with FirstNet. The single officer or governmental body is expected to consult with FirstNet during the "open grant phase", which is the planning and implementation phase of this long-range project on several policies including:

- Construction of a Core and RAN build out
- Placement of towers / coverage
- Adequacy of hardening, security, reliability, and resiliency requirements
- Assignment of priority to local users / secondary
- Training needs of local users.

The SLIGP funds will be used to support planning, consultation, and data collection activities related to the establishment of this nationwide public safety broadband (primary data) network. Activities to be completed include defining coverage needs, user requirements, network hardening, resiliency requirements, and data collection. In order to complete the activities detailed above, the State will attend national meetings and conduct statewide stakeholder meetings to provide education and outreach. Data collection will be accomplished through a combination of State-led collection efforts and utilization of independent consultant(s) as required.

Class 18	These funds will be used for overtime costs associated with evening meetings, project monitoring, and administration of the SLIGP project.
Class 20	These funds will be used for supplies, outreach and education materials, and operating expenses associated with administering the SLIGP project.
Class 21	These funds will be used to cover the cost of food at outreach and education meetings held throughout the state.
Class 22	These funds will be used to cover the cost of meeting rooms for outreach and education meetings to be held throughout the state.
Class 38	These funds will be used to purchase data collection software.
Class 40	These funds will be used to pay state indirect costs.
Class 41	These funds will be used to pay audit fund set aside expenses.
Class 46	These funds will be used to hire consultants, as necessary, to provide data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA.
Class 50	These funds will be used to pay the cost of part-time personnel working on the SLIGP Grant project requirements.
Class 60	These funds will be used to pay benefits costs associated with overtime and part-time personnel.
Class 70	These funds will be used to pay the cost of traveling in-state to provide SLIGP outreach and education meetings to be held throughout the state.
Class 80	These funds will be used to pay for the cost of traveling out-of-state to national SLIPG conferences.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court


Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
July 8, 2015
Page 3 of 3

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) *List of personnel involved:* Part-time personnel and temporary consultants.
- 2) *Nature, Need, and Duration:* The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel in the areas of communications data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA.
- 3) *Relationship to existing agency programs:* Expands the capacity to achieve missions within the Department of Safety and other projects directly related to communications needs statewide.
- 4) *Has a similar program been requested of the legislature and denied?* No.
- 5) *Why wasn't funding included in the agency's budget request?* It was unclear at the time of the SFY 2014-2015 budget that there would be a potential need for part-time personnel and consultants to complete these tasks. However, funding is included in the pending SFY 2016-2017 budget, which will be adjusted to the appropriate grant level when the SFY 2016-2017 budget is adopted.
- 6) *Can portions of the grant funds be utilized?* This request is 100% federally funded.
- 7) *Estimate the funds required to continue this position(s):* The temporary consulting positions are estimated at \$335,550.00.

Highway Funds or General Funds will not be used should federal funds become unavailable.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Department of Safety, Office of the Commissioner
Broadband Grant

Fiscal Situation: Account 02-23-23-231010-88960000

Federal Funds Awarded:

FFY 2013 Grant 33-10-S13033 (9/1/2015-8/31/15 and Extended to 2/28/2018)	\$879,887.00
Total Grant Funds Awarded	\$879,887.00

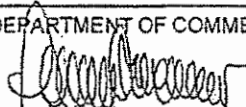
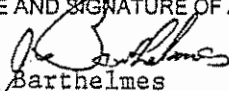
Prior Fiscal Year(s) Actual Expenditures:

Federal Grant spending State Fiscal Year 2014	(\$2,471.17)
Federal Grant spending State Fiscal Year 2015	(\$6,574.50)
Total Prior Fiscal Year Actual Expenditures	(\$9,045.67)

Net Grant Funds Remaining	\$870,841.33
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Excess grant funds available to appropriate	\$870,841.33
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This Request	\$870,841.33
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FORM CD-450 (REV 10/98)		U.S. DEPARTMENT OF COMMERCE		X GRANT		COOPERATIVE AGREEMENT	
FINANCIAL ASSISTANCE AWARD				ACCOUNTING CODE			
				** See Attached**			
RECIPIENT NAME New Hampshire Department of Safety				AWARD NUMBER 33-10-S13033			
STREET ADDRESS 33 Hazen Drive				FEDERAL SHARE OF COST \$879,887.00			
CITY, STATE ZIP Concord, NH 03305				RECIPIENT SHARE OF COST \$219,973.00			
AWARD PERIOD 09/01/2013 - 08/31/2016				TOTAL ESTIMATED COST \$1,099,860.00			
AUTHORITY P.L. 112-96							
CFDA NO. AND PROJECT TITLE: 11.549 This grant will assist multiple jurisdictions in the State of New Hampshire with the eligible planning activities related to the establishment of a nationwide broadband network							
This Award approved by the Grants Officer is issued in triplicate and constitutes an obligation of Federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions checked below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Grants Officer and the third document shall be retained by the Recipient. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally terminate this Award.							
<input checked="" type="checkbox"/> Department of Commerce Financial Assistance Standard Terms and Conditions (January 2013) <input checked="" type="checkbox"/> Special Award Conditions <input checked="" type="checkbox"/> Line Item Budget 15 CFR Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Non-Profit, and Commercial Organizations <input checked="" type="checkbox"/> 15 CFR Part 24, Uniform Administrative Requirements for Grants and Agreements to State and Local Governments OMB Circular A-21, Cost Principles for Educational Institutions <input checked="" type="checkbox"/> OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments OMB Circular A-122, Cost Principles for Nonprofit Organizations 48 CFR Part 31, Contract Cost Principles and Procedures <input checked="" type="checkbox"/> OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations <input checked="" type="checkbox"/> Other(s) \$439,944 of the total Federal share of cost has been reserved for Phase II, see SAC #6.							
SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER Jannet Cancino 				TITLE GRANT OFFICER		DATE 9/18/13	
TYPED NAME AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL John J. Barthelmes 				TITLE Commissioner		DATE 9/25/13	

AMENDMENT TO FINANCIAL ASSISTANCE AWARD

AWARD NUMBER
33-10-S13033

CFDA NO. AND NAME

11.549 - State and Local Implementation Grant Program

PROJECT TITLE

This grant will assist multiple jurisdictions in the State of New Hampshire with the eligible planning activities related to the establishment of a

RECIPIENT NAME

New Hampshire Department of Safety

AMENDMENT NUMBER

2

STREET ADDRESS

33 Hazen Drive

EFFECTIVE DATE

MAR 25 2015

CITY, STATE ZIP

Concord, NH 03305

EXTEND PERIOD OF PERFORMANCE TO

02/28/2018

COSTS ARE REVISED AS FOLLOWS:	PREVIOUS ESTIMATED COST	ADD	DEDUCT	TOTAL ESTIMATED COST
FEDERAL SHARE OF COST	\$879,887.00	\$0.00	\$0.00	\$879,887.00
RECIPIENT SHARE OF COST	\$219,973.00	\$0.00	\$0.00	\$219,973.00
TOTAL ESTIMATED COST	\$1,099,860.00	\$0.00	\$0.00	\$1,099,860.00

REASON(S) FOR AMENDMENT

This grant is hereby amended to: 1) Incorporate Special Award Conditions (SAC) #21, providing a Programmatic Waiver of Phase I funding limitations on data collection activities and SAC #22, providing a waiver for mandatory Memorandum of Agreement (MOA) templates; 2) Extend the Project End date from 8/31/2016 to 2/28/2018; and 3) Change the Program Officer from Yuki Miyamoto to Carolyn Dunn.

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

This Amendment Document (Form CD-451) signed by the Grants Officer constitutes an Amendment of the above-referenced Award, which may include an obligation of Federal funding. By signing this Form CD-451, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally withdraw this Amendment offer and de-obligate any associated funds.

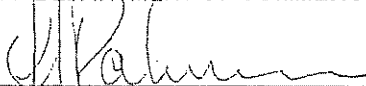
SPECIAL AWARD CONDITIONS

LINE ITEM BUDGET

OTHER(S)

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER

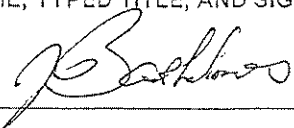
Robyn Bunch



DATE

3/18/2015

TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL



John J. Barthelmes, Commissioner

DATE

4/23/15

TITLE I

THE STATE AND ITS GOVERNMENT

CHAPTER 14

LEGISLATIVE OFFICERS AND PROCEEDINGS

Legislative Budget Assistant

Section 14:30-a

14:30-a Fiscal Committee. –

I. There is hereby established a fiscal committee of the general court. Said committee shall consist of 10 members. Five shall be members of the house as follows: the chairperson of the finance committee and 2 other members of the committee, appointed by the chairperson; and 2 other house members appointed by the speaker of the house. Five members shall be members of the senate as follows: the chairperson of the finance committee and 2 other members of that committee, appointed by the chairperson; and 2 other senators appointed by the senate president. The chairperson of the house finance committee shall be the chairperson of the fiscal committee.

II. The committee shall, while the general court is in session and during the interim, consult with, assist, advise, and supervise the work of the legislative budget assistant, and may at its discretion investigate and consider any matter relative to the appropriations, expenditures, finances, revenues or any of the fiscal matters of the state. The members shall be paid the regular legislative mileage during the interim while engaged in their work as members of the committee.

III. The fiscal committee shall consider recommendations proposed to it by the legislative performance audit and oversight committee established under RSA 17-N:1. The fiscal committee shall adopt all recommendations proposed to it as provided in RSA 17-N:1, III by the performance audit and oversight committee unless the fiscal committee refuses by unanimous vote to adopt such recommendations.

IV. [Repealed.]

V. [Repealed.]

VI. Any non-state funds in excess of \$100,000, whether public or private, including refunds of expenditures, federal aid, local funds, gifts, bequests, grants, and funds from any other non-state source, which under state law require the approval of governor and council for acceptance and expenditure, may be accepted and expended by the proper persons or agencies in the state government only with the prior approval of the fiscal committee of the general court.

Source. 1965, 239:19. 1987, 416:7. 1989, 396:13; 408:2. 1991, 346:18, I. 1995, 9:8. 2005, 177:11. 2006, 290:21, eff. June 15, 2006. 2012, 247:10, eff. Aug. 17, 2012.

TITLE IX

ACQUISITION OF LANDS BY UNITED STATES; FEDERAL AID

CHAPTER 124 FEDERAL AID

Miscellaneous

Section 124:15

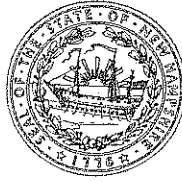
124:15 Positions Restricted. –

I. In addition to the positions authorized by law, no new personnel positions, or consultants, or both may be created by the acceptance of federal moneys or moneys from any other source unless such positions, or consultants, or both are approved by the fiscal committee of the general court; provided, however, that the governor and council may accept all moneys available for any emergency or disaster as defined by the authority awarding such moneys; and provided further that all such moneys available to the general court or to either of its houses may be accepted by the respective presiding officers with the prior approval of the fiscal committee. Nothing herein shall be construed to affect the provisions of RSA 98:17-a.

II. Every board, agency, department or commission receiving such federal or other moneys shall attempt to apply them in whole or in part to the cost of personnel positions authorized by law so as to reduce the obligation of general funds, but if the salaries of such personnel positions cannot be paid out of such moneys then such positions shall be considered as specified in paragraph I.

III. All such moneys which fund personnel positions subject to the restrictions of this section shall be used only for the purposes or programs specified in the application for approval of the positions or as otherwise authorized by law, and all such moneys which are accepted in accordance with law are hereby appropriated.

Source. 1983, 469:84, eff. July 1, 1983.



Virginia M. Barry, Ph.D.
 Commissioner of Education
 Tel. 603-271-3144

Paul Leather
 Deputy Commissioner of Education
 Tel. 603-271-3801

STATE OF NEW HAMPSHIRE
 DEPARTMENT OF EDUCATION
 101 Pleasant Street
 Concord, N.H. 03301
 FAX 603-271-1953
 Citizens Services Line 1-800-339-9900

July 13, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, authorize the New Hampshire Department of Education to **retroactively** accept and expend in the amount of \$80,000 from the Collaboration for Effective Educator Development, Accountability, and Reform (CEEDAR) funds from the U.S. Department of Education, Office of Special Education Programs through the CEEDAR Center at the University of Florida, Office of Research, Division of Sponsored Programs, effective July 1, 2015 upon approval of Governor and Council through December 31, 2015. 100% Federal Funds.
2. Pursuant to RSA 124:15, and contingent upon Item #1 above, authorize the Department of Education to **retroactively** amend Fiscal Item#15-060 approved on 4/3/2015 and G&C item# 66 approved on 4/22/2015 to establish Class 046 Consultant line item for the purpose of providing professional development to members of State Leadership Teams and educators pre-k through higher education by extending the end date from June 30, 2015 through December 31, 2015, effective upon Fiscal Committee and Governor and Council approval.

Funds are to be budgeted as follows:
 06-56-56-5625010-70190000

Collaboration for Effective Educator Development, Accountability, and Reform (CEEDAR)

Class	Description	SFY 2016
20	CURRENT EXPENSE	\$ 5,000
40	INDIRECT COST	\$ 980
41	AUDIT FUND SET ASIDE	\$ 80
46	CONSULTANTS	\$ 15,000
66	EMPLOYEE TRAINING	\$ 1,000
70	TRAVEL IN-STATE	\$ 3,600
72	GRANTS FEDERAL	\$ 47,000
80	TRAVEL OUT-OF-STATE	\$ 7,340
	TOTAL	\$ 80,000
Source of Funds:		
000	Federal	\$ 80,000

Explanation

This retroactive request is being sought due to the Continuing Resolution situation of the FY16/17 budget where these funds have been requested to be established. The New Hampshire Department of Education has received a three (3) year CEEDAR grant in the amount of \$200,000.00 to substantially improve the existing systems of teacher and leader preparation in New Hampshire. The grant requires that funds be awarded to the State to offset the technical support, travel, and professional development to establish partnerships with the State Education Agency (SEA) and Institutions of Higher Education (IHE): University of New Hampshire (UNH), Granite State College, St. Anselm's and Franklin Pierce, to create aligned professional learning systems that provide effective opportunities for teachers to master core and specialized instruction in inclusive settings and for leaders to establish the conditions in schools that sustain high quality instruction to enable students with disabilities to achieve college and career ready standards.

The CEEDAR Center will support and guide the establishment and facilitation of the State leadership team and appropriate work groups to develop and implement the Technical Assistance blueprint to support reform in the following areas: State Licensure and Certification Standards, teacher and leader preparation and Program approval and evaluation.

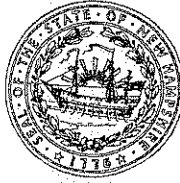
Appropriation Explantaion

The following appropriation authorities are being requested:

Class	Class Desc.	Explanation
020	Current Expense	To cover current expense at CEEDAR events.
040	Indirect Costs	To cover Indirect Costs related to the State SWCAP
041	Audit Fund Set Aside	Requirement .01 percent of total grant.
046	Consultants	To provide professional development for State Leadership team and educators from pre-k through higher education.
066	Employee Training	To allow for the professional development requirement of the grant.
070	In-state Travel	To cover in-state travel costs.
072	Grants Federal	To allow flow through funds to University of New Hampshire (UNH) Granite State College, St. Anselm's and Franklin Pierce.
080	Out-of-State Travel	To provide for staff to attend out-of-state meetings and presentations.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 2981:

1. List of Personnel involved: None
2. Nature and needs and duration of the project:
There is a need to assure that teachers and leaders are educated to implement evidence-based practices for effectively educating K-12 students with disabilities to achieve college and career ready standards. In partnership with the CEEDAR Center and three NH Teacher Preparation Programs as leads will focus on revising or reforming our State policies and practices (including certification and licensure standards and education program approval and evaluation) and teacher and leader preparation practice to assure that all are aligned. This will also create efficiencies and avoid duplication of efforts.



Virginia M. Barry, Ph.D.
 Commissioner of Education
 Tel. 603-271-3144

Paul Leather
 Deputy Commissioner of Education
 Tel. 603-271-3801

STATE OF NEW HAMPSHIRE
 DEPARTMENT OF EDUCATION
 101 Pleasant Street
 Concord, N.H. 03301
 FAX 603-271-1953
 Citizens Services Line 1-800-339-9900

July 13, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

- Pursuant to RSA 14:30-a, VI, authorize the New Hampshire Department of Education to **retroactively amend** the accept and expend Fiscal Item FIS 15-060 approved 4-3-15 and G&C Item #66 approved 4-22-15 in the amount of \$80,000 from the Collaboration for Effective Educator Development, Accountability, and Reform (CEEDAR) funds from the U.S. Department of Education, Office of Special Education Programs through the CEEDAR Center at the University of Florida, Office of Research, Division of Sponsored Programs, effective July 1, 2015 upon approval of Fiscal Committee and Governor and Council through December 31, 2015. 100% Federal Funds.

Funds are to be budgeted as follows:

06-56-56-5625010-70190000

Collaboration for Effective Educator Development, Accountability, and Reform (CEEDAR)

Class	Description	SFY 2016
20	CURRENT EXPENSE	\$ 5,000
40	INDIRECT COST	\$ 980
41	AUDIT FUND SET ASIDE	\$ 80
46	CONSULTANTS	\$ 15,000
66	EMPLOYEE TRAINING	\$ 1,000
70	TRAVEL IN-STATE	\$ 3,600
72	GRANTS FEDERAL	\$ 47,000
80	TRAVEL OUT-OF-STATE	\$ 7,340
	TOTAL	\$ 80,000
Source of Funds:		
000	Federal	\$ 80,000

Explanation

This **retroactive** request is being sought due to the Continuing Resolution situation of the FY16/17 budget where these funds have been requested to be established. The New Hampshire Department of Education has received a three (3) year CEEDAR grant in the amount of \$200,000.00 to substantially improve the existing systems of teacher and leader preparation in New Hampshire. The grant requires that funds be awarded to the State to offset the technical support, travel, and professional development to establish partnerships with the State Education Agency (SEA) and Institutions of Higher Education (IHE): University of New Hampshire (UNH), Granite State College, St. Anselm's and Franklin Pierce, to create aligned professional learning systems that provide effective opportunities for teachers to master core and specialized instruction in inclusive settings and for leaders to establish the conditions in schools that sustain high quality instruction to enable students with disabilities to achieve college and career ready standards.

The CEEDAR Center will support and guide the establishment and facilitation of the State leadership team and appropriate work groups to develop and implement the Technical Assistance blueprint to support reform in the following areas: State Licensure and Certification Standards, teacher and leader preparation and Program approval and evaluation.

Appropriation Explantaion

The following appropriation authorities are being requested:

Class	Class Desc.	Explanation
020	Current Expense	To cover current expense at CEEDAR events.
040	Indirect Costs	To cover Indirect Costs related to the State SWCAP
041	Audit Fund Set Aside	Requirement .01 percent of total grant.
046	Consultants	To provide professional development for State Leadership team and educators from pre-k through higher education.
066	Employee Training	To allow for the professional development requirement of the grant.
070	In-state Travel	To cover in-state travel costs.
072	Grants Federal	To allow flow through funds to University of New Hampshire (UNH) Granite State College, St. Anselm's and Franklin Pierce.
080	Out-of-State Travel	To provide for staff to attend out-of-state meetings and presentations.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 2981:

1. List of Personnel involved: None

2. Nature and needs and duration of the project:

There is a need to assure that teachers and leaders are educated to implement evidence-based practices for effectively educating K-12 students with disabilities to achieve college and career ready standards. In partnership with the CEEDAR Center and three NH Teacher Preparation Programs as leads will focus on revising or reforming our State policies and practices (including certification and licensure standards and education program approval and evaluation) and teacher and leader preparation practice to assure that all are aligned. This will also create efficiencies and avoid duplication of efforts.

U.S. Department of Education's Office of Special Education Programs Grant Award H325A120003 awarded to University of Florida, Office of Research, Division of Sponsored Programs. New Hampshire has been chosen to support the work, CEEDAR, with a stipend of \$200,000.00 over a two year period.

3. Relationship to Existing Agency Programs:

This opportunity would build on the strong collaborative relationships with the teacher and leader preparation programs within New Hampshire that we continue to develop. To demonstrate the commitment of teacher/leader educational preparation programs to create a seamless pre-K-20 system the IHE (Institutions of Higher Education) Network presented a position paper to the State Board of Education in November 2012. This position paper demonstrated their commitment to work with the Department of Education, the legislature and the professional educational organizations to prepare our educators to meet the educational needs of all students. It was unanimously and enthusiastically accepted by the State Board.

The New Hampshire Department of Education is committed to assure that all of our New Hampshire students are taught by effective teachers which begins with our teacher/leader preparation programs and continues throughout their careers supported by effective professional learning. We plan to build on our strong partnerships, reviewing and updating certification rules through the recommendations from the Professional Standards Board and this will support the continuous improvement model for the approval of teacher/leader preparation

4. Has a Similar Program been Requested of the Legislative and Denied?

No it has not.

5. Why wasn't this Funding Included in the Agency's Budget Request?

Funding is included as part of the SFY 2016/17 biennial budget

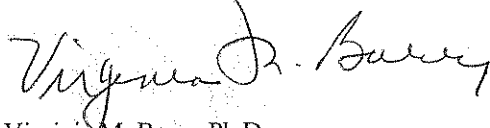
6. Can any Portion of the Grant Funds Be Utilized?

No.

7. Estimate the Fund Required to Continue the Positions(s):

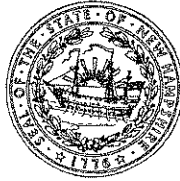
In the event that the Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Virginia M. Barry, Ph.D.
Commissioner of Education

Virginia M. Barry, Ph.D.
Commissioner of Education
Tel. 603-271-3144



Paul Leather
Deputy Commissioner of Education
Tel. 603-271-3801

STATE OF NEW HAMPSHIRE
DEPARTMENT OF EDUCATION
101 Pleasant Street
Concord, N.H. 03301
FAX 603-271-1953
Citizens Services Line 1-800-339-9900

July 13, 2015

FISCAL SITUATION	
US Department of Health and Human Services Grant Award H325A120003	\$200,000.00
GRANT PERIOD: DECEMBER 1, 2014 - NOVEMBER 30, 2016	
SFY 2015	2,011.00
SFY 2016 - This Request	80,000.00
New Total	<u>82,011.00</u>
Available Balance	\$117,989.00

Virginia M. Barry, Ph.D.
 Commissioner of Education
 Tel. 603-271-3144

Paul K. Leather
 Deputy Commissioner of Education
 Tel. 603-271-3801



GC # 66
 4-22-15

STATE OF NEW HAMPSHIRE
 DEPARTMENT OF EDUCATION
 101 Pleasant Street
 Concord, N.H. 03301
 FAX 603-271-1963
 Citizens Services Line 1-800-339-9900

March 2, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Paul K. Leather
 Approved by Fiscal Committee 4/3/15 Date

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 124:15, authorize the New Hampshire Department of Education to establish class 046 consultant positions for the purpose of providing professional development to members of State Leadership Teams and educators pre-k through higher education, contingent upon Request #2. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2015. 100% Federal Funds.
2. Authorize the New Hampshire Department of Education to accept and expend Collaboration for Effective Educator Development, Accountability, and Reform (CEEDAR) funds in the amount of \$40,000.00 from the U.S. Department of Education, Office of Special Education Programs through the CEEDAR Center at the University of Florida, Office of Research, Division of Sponsored Programs. Effective upon Governor and Council approval through June 30, 2015. The budget for fiscal year 2015 is listed below. The balance of the \$200,000.00 grant which is \$160,000.00 will be incorporated into the next biennium budget. 100% Federal Funds.

Funds are to be budgeted as follows:
 Account: 06-56-56-56101-70190000

Collaboration for Effective Educator Development, Accountability, and Reform (CEEDAR)

<u>Class</u>	<u>Description</u>	<u>Fiscal Year 2015</u>
020	CURRENT EXPENSES	\$5,000.00
041	AUDIT FUND SET ASIDE	\$400.00
046	CONSULTANTS	\$10,000.00
066	EMPLOYEE TRAINING	\$1,000.00
070	TRAVEL IN-STATE	\$3,600.00
072	GRANTS FEDERAL	\$12,000.00
080	TRAVEL OUT-OF-STATE	\$8,000.00
	TOTAL	\$40,000.00
Source of Funds:		
000	FEDERAL	\$40,000.00

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

March 2, 2015
Page Two

Explanation

The New Hampshire Department of Education has received a two (2) year CEEDAR grant in the amount of \$200,000.00 to substantially improve the existing systems of teacher and leader preparation in New Hampshire. The grant requires that funds be awarded to the State to offset the technical support, travel, and professional development to establish partnerships with the State Education Agency (SEA) and Institutions of Higher Education (IHE): University of New Hampshire (UNH), Granite State College, St. Anselm College and Franklin Pierce University, to create aligned professional learning systems that provide effective opportunities for teachers to master core and specialized instruction in inclusive settings and for leaders to establish the conditions in schools that sustain high quality instruction to enable students with disabilities to achieve college and career ready standards.

The CEEDAR Center will support and guide the establishment and facilitation of the State leadership team and appropriate work groups to develop and implement the Technical Assistance blueprint to support reform in the following areas: State Licensure and Certification Standards, teacher and leader preparation and program approval and evaluation.

Appropriation Explanation

The following appropriation authorities are being requested:

Class 020	To cover current expense at CEEDAR events.
Class 041	(Audits) – Appropriation for this class is based on 1 percent.
Class 046	(Consultants) – To provide professional development for State Leadership team and educators from pre-k through higher education.
Class 066	(Employee Training) – Appropriation for the professional development requirement of the grant.
Class 070	To cover in-state travel costs.
Class 072	(Grants Federal) – Appropriation to flow through funds to University of New Hampshire (UNH), Granite State College, St. Anselm College and Franklin Pierce University.
Class 080	(Out-of-State Travel) – Appropriation for staff to attend out-of-state meetings and presentations.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

1. List of Personnel involved:

State Project Administrator Karen Soule NH Department of Education 101 Pleasant Street Concord, NH 03301	State Project Director Mary Lane NH Department of Education 101 Pleasant Street Concord, NH 03301
--	---

The funds will be used for professional development based on the needs determined through the grant. The Department of Education plans to hire consultants through the Request for Proposals (RFP) process.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

March 2, 2015

Page Three

2. Nature and needs and duration of the project:

There is a need to assure that teachers and leaders are educated to implement evidence-based practices for effectively educating K-12 students with disabilities to achieve college and career ready standards. In partnership with the CEEDAR Center and three NH Teacher Preparation Programs as leads will focus on revising or reforming our State policies and practices (including certification and licensure standards and education program approval and evaluation) and teacher and leader preparation practice to assure that all are aligned. This will also create efficiencies and avoid duplication of efforts.

U.S. Department of Education's Office of Special Education Programs Grant Award H325A120003 awarded to University of Florida, Office of Research, Division of Sponsored Programs. New Hampshire has been chosen to support the work, CEEDAR, with a stipend of \$200,000.00 over a two year period.

3. Relationship to Existing Agency Programs:

This opportunity would build on the strong collaborative relationships with the teacher and leader preparation programs within New Hampshire that we continue to develop. To demonstrate the commitment of teacher/ leader educational preparation programs to create a seamless pre-K-20 system the IHE (Institutions of Higher Education) Network presented a position paper to the State Board of Education in November 2012. This position paper demonstrated their commitment to work with the Department of Education, the Legislature and the professional educational organizations to prepare our educators to meet the educational needs of all students. It was unanimously and enthusiastically accepted by the State Board.

The New Hampshire Department of Education is committed to assure that all of our New Hampshire students are taught by effective teachers which begins with our teacher/leader preparation programs and continues throughout their careers supported by effective professional learning. We plan to build on our strong partnerships, reviewing and updating certification rules through the recommendations from the Professional Standards Board and this will support the continuous improvement model for the approval of teacher/ leader preparation. The Department of Education plans to hire consultants through the Request for Proposals (RFP) process.

4. Has a Similar Program been Requested of the Legislature and Denied?

No, it has not.

5. Why wasn't this Funding Included in the Agency's Budget Request?

This project is meant to support our continued efforts to improve educator effectiveness at no cost to the State.

6. Can any Portion of the Grant Funds be Utilized?

No. The positions requested are necessary to fulfill the intent of the Grant proposal that was accepted by the Granting Authority.

7. Estimate the Fund Required to Continue the Position(s):

General Funds will not be requested to support this program.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

March 2, 2015
Page Four

Fiscal Situation

The awarding of this grant was not anticipated at the time that the FY 2014-2015 budget was being crafted necessitating this request for funding for the balance of FY 2015. Nor has a similar request of this type been previously requested of the Legislature. We anticipate seeking its continuance in the FY 2016-2017 budget with an estimated stipend of \$160,000 through the U.S. Department of Education's Office of Special Education Programs Grant Award H325A120003 awarded to University of Florida, Office of Research, Division of Sponsored Programs. New Hampshire has been chosen to support the work, CEEDAR, with a stipend of \$200,000.00 over a two year period.

Grant Award	\$200,000.00
Less this Action Fiscal Year 2015	(\$40,000.00)
Fiscal Year 16 (to be budgeted)	\$160,000.00
Total	\$200,000.00

Respectfully submitted,

Virginia M. Barry, Ph.D.
Commissioner of Education

KS:MTI:BJR
Attachments:
Grant Award

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

March 2, 2015
Page Four

Fiscal Situation

The awarding of this grant was not anticipated at the time that the FY 2014-2015 budget was being crafted necessitating this request for funding for the balance of FY 2015. Nor has a similar request of this type been previously requested of the Legislature. We anticipate seeking its continuance in the FY 2016-2017 budget with an estimated stipend of \$160,000 through the U.S. Department of Education's Office of Special Education Programs Grant Award H325A120003 awarded to University of Florida, Office of Research, Division of Sponsored Programs. New Hampshire has been chosen to support the work, CEEDAR, with a stipend of \$200,000.00 over a two year period.

Grant Award	\$200,000.00
Less this Action Fiscal Year 2015	(\$40,000.00)
Fiscal Year 16 (to be budgeted)	\$160,000.00
Total	\$200,000.00

Respectfully submitted,

Virginia M. Barry
Virginia M. Barry, Ph.D.
Commissioner of Education

KS:MT:BJR
Attachments
Grant Award

**UF UNIVERSITY of
FLORIDA**

Office of Research
Division of Sponsored Programs
219 Grinter Hall
PO Box 115500
Gainesville, Florida 32611-5500

December 1, 2014

Karen Soule
Lead Educator Effectiveness
New Hampshire Dept. of Education
101 Pleasant Street
Concord, NH 03301

Dear Dr. Soule:

This letter will serve as confirmation that the University of Florida (UF) has been awarded a multi-year grant from the U.S. Department of Education's Office of Special Education Programs number H325A-120003 that has established and supports the programs under the Collaboration for Effective Educator Development and Accountability and Reform (CEEDAR) Center.

The CEEDAR Center is charged with supporting states in reforming teacher and leader preparation to promote the college and career readiness of students with disabilities. Since its inception in January, 2013, the CEEDAR Center has issued two national calls, solicited applications from 28 states, and selected 10 states to receive intensive technical assistance.

I am pleased to inform you that the State of New Hampshire has been chosen as one of five participants in the second cohort of states. To support the work, CEEDAR will award the applicant agency, the New Hampshire Department of Education, a stipend of \$200,000 over a two-year period.

The award document, which will provide you with the terms and conditions for use of the stipend funds and associated reporting requirements, will be sent in a subsequent document.

If you have any questions about your award, please contact UF's Project Director and Center Director Mary Brownell or Co-Director Paul Sindelar. Both are Professors of Special Education at the University of Florida's College of Education.

Sincerely,



Brian Prindle
Associate Director, Division of Sponsored Programs

Cc: Mary Brownell, Project Director
Paul Sindelar, Co-Project Director

The Foundation for the Gator Nation
An Equal Opportunity Institution



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



William Cass, P.E.
Assistant Commissioner

August 12, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Dear Chairman Kurk:

The NH Department of Transportation hereby requests the Committee withdraw two items previously tabled (#15-150 and #15-151) by the Committee at the meeting held on July 29, 2015. At the Committee's request, the department has brought forward replacement items including transfer requests within existing budget authority, where possible.

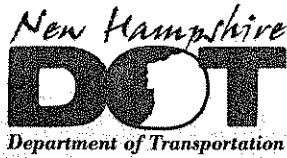
Thank you for your assistance in this matter.

Sincerely,

A handwritten signature in black ink that reads "Patrick K. McKenna".

Patrick K. McKenna
Deputy Commissioner

cc: Jeffrey A. Pattison, Legislative Budget Assistant
William Cass
Marie Mullen
Len Russell



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



WILLIAM CASS, P.E.
ASSISTANT COMMISSIONER

FIS 15 151

Bureau of Turnpikes
 July 14, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 124:15-I, authorize the Department of Transportation, Bureau of Turnpikes to establish consultants in order to contract for the replacement of the back office system that manages the EZPass program effective from the date of Fiscal Committee approval through December 31, 2015. 100% Turnpike Funds
2. Pursuant to RSA 237:15-a, Transfers from the General Reserve Account, authorize the Department of Transportation, Bureau of Turnpikes to establish various non-budgeted classes in various accounting units and to transfer funds in the amount of \$6,892,000 from the Turnpike General Reserve Account to budget and expend administration and maintenance expenditures effective upon Governor and Council approval through December 31, 2015. 100% Turnpike Funds

FROM: 04-096-096-961017-363615-0000 Turnpike Fund Balance \$6,892,000

04-096-096-961017-70220000	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Turnpikes Administration - Support			
Expenses:			
010 500100 Personal Services – Perm	\$831,741	\$0	\$831,741
017 500147 FT Employees Special Pay	2,100	0	2,100
018 500106 Overtime	60,000	0	60,000
019 500105 Holiday Pay	1,250	0	1,250
020 500200 Current Expense	45,025	0	45,025
022 500255 Rents-Leases Other than State	3,337	0	3,337
023 500291 Heat, Electricity, Water	4,827	0	4,827
024 500225 Maint Other than Bldg-Grnds	9,195	0	9,195
026 500251 Membership Fees	25,000	0	25,000
029 500290 Intra Agency Transfers	1,524,212	0	1,524,212
030 500311 Equipment	25,136	0	25,136

035 500000 Shared Services Support	23,151	0	23,151
037 500171 Technology Hardware	8,800	0	8,800
038 500175 Technology Software	41,086	0	41,086
039 500188 Telecommunications	23,184	0	23,184
040 500800 Indirect Costs	125,000	0	125,000
046 500463 Eng Consultants Non-Benefits	16,985	200,000	216,985
047 500240 Own Forces Maint - Bldg	2,500	0	2,500
048 500226 Contract Maint Bldgs & Grnds	8,250	0	8,250
049 500294 Transfers To Agencies	65,000	0	65,000
050 500109 Personal Services - Temporary	40,000	0	40,000
060 500601 Benefits	573,325	0	573,325
066 500543 Continuing Prof Education	5,000	0	5,000
069 500567 Promotional and Marketing Exp	0	2,000	2,000
070 500704 In-State Travel	400	0	400
080 500710 Out of State Travel	6,000	0	6,000
103 500741 Contracts for Operational Svc	5,417	0	5,417
255 500949 Cost of Issuing Bonds	375,000	0	375,000
403 500878 Audit	55,000	0	55,000
404 500880 Intra-Indirect Costs	1,380,788	0	1,380,788
Total	\$5,286,709	\$202,000	\$5,488,709
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$5,286,709	\$202,000	\$5,488,709

04-096-096-961017-70270000	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Central NH Tpk Maintenance			
Expenses:			
010 500100 Personal Services – Perm	\$478,709	\$0	\$478,709
017 500147 FT Employees Special Pay	14,700	0	14,700
018 500106 Overtime	175,000	0	175,000
019 500105 Holiday Pay	4,250	0	4,250
020 500200 Current Expense	595,217	0	595,217
022 500255 Rents-Leases Other than State	340,363	0	340,363
023 500291 Heat, Electricity, Water	146,002	0	146,002
024 500225 Maint Other than Bldg-Grnds	6,709	0	6,709
030 500311 Equipment	591,133	0	591,133
039 500188 Telecommunications	7,618	0	7,618
047 500240 Own Forces Maint	37,500	0	37,500
048 500226 Contract Maint Bldgs & Grnds	106,324	0	106,324
050 500109 Personal Services – Temp	43,500	0	43,500
060 500601 Benefits	401,856	0	401,856
068 500563 Remuneration	1,500	0	1,500
070 500704 In-State Travel	3,900	0	3,900
103 500741 Contracts for Operational Svc	36,223	0	36,223
400 500871 Construction Repair Material	0	26,000	26,000
Total	\$2,990,504	\$26,000	\$3,016,504

Source of Funds			
Revenue:			
000017 Turnpike Fund	\$2,990,504	\$26,000	\$3,016,504
04-096-096-961017-70320000	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Eastern NH Blue Star Tpk Maintenance			
Expenses:			
010 500100 Personal Services – Perm	\$230,375	\$0	\$230,375
017 500147 FT Employees Special Pay	6,720	0	6,720
018 500106 Overtime	82,500	0	82,500
019 500105 Holiday Pay	2,575	0	2,575
020 500200 Current Expense	291,854	0	291,854
022 500255 Rents-Leases Other than State	153,126	0	153,126
023 500291 Heat, Electricity, Water	47,085	0	47,085
024 500225 Maint Other than Bldg-Grnds	5,660	0	5,660
030 500311 Equipment	99,943	0	99,943
037 500171 Technology Hardware	1,100	0	1,100
039 500188 Telecommunications	1,785	0	1,785
047 500240 Own Forces Maint	1,050	0	1,050
048 500226 Contract Maint Bldgs & Grnds	63,999	0	63,999
050 500109 Personal Services – Temp	19,000	0	19,000
060 500601 Benefits	206,362	0	206,362
068 500563 Remuneration	1,500	0	1,500
070 500704 In-State Travel	1,550	0	1,550
103 500741 Contracts for Operational Svc	30,775	0	30,775
400 500871 Construction Repair Material	0	4,000	4,000
Total	\$1,246,959	\$4,000	\$1,250,959
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$1,246,959	\$4,000	\$1,250,959

04-096-096-961017-70370000	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Eastern NH Tpk Spaulding Maintenance			
Expenses:			
010 500100 Personal Services – Perm	\$193,475	\$0	\$193,475
017 500147 FT Employees Special Pay	6,300	0	6,300
018 500106 Overtime	72,000	0	72,000
019 500105 Holiday Pay	3,800	0	3,800
020 500200 Current Expense	255,536	0	255,536
022 500255 Rents-Leases Other than State	58,030	0	58,030
023 500291 Heat, Electricity, Water	40,451	0	40,451
024 500225 Maint Other than Bldg-Grnds	4,673	0	4,673
030 500311 Equipment	921,483	0	921,483
037 500168 Technology Hardware	1,092	0	1,092
039 500188 Telecommunications	709	0	709
047 500240 Own Forces Maint	1,050	0	1,050
048 500226 Contract Maint Bldgs & Grnds	65,946	0	65,946

050 500109 Personal Services – Temp	15,500	0	15,500
060 500601 Benefits	129,360	0	129,360
068 500563 Remuneration	1,500	0	1,500
070 500704 In-State Travel	1,050	0	1,050
103 500741 Contracts for Operational Svcs	32,980	0	32,980
400 500871 Construction Repair Material	5,981	10,000	15,981
Total	\$1,810,916	\$10,000	\$1,820,916
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$1,810,916	\$10,000	\$1,820,916

04-096-096-961017-70500000	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Tpk Toll Collection			
Expenses:			
020 500200 Current Expense	\$1,138,072	\$0	\$1,138,072
022 500255 Rents-Leases Other than State	750	0	750
023 500291 Heat, Electricity, Water	1,287	0	1,287
024 500225 Maint Other than Bldg-Grnds	3,000,162	0	3,000,162
026 500251 Membership Fees	35,000	0	35,000
046 500463 Eng Consultants Non-Benefits	0	6,650,000	6,650,000
080 500710 Out of State Travel	4,500	0	4,500
102 500731 Contracts for Program Svcs	4,606,063	0	4,606,063
103 502664 Contracts for Operational Svcs	4,349	0	4,349
Total	\$8,790,183	\$6,650,000	\$15,440,183
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$8,790,183	\$6,650,000	\$15,440,183

The unaudited Turnpike Fund Balance Surplus account as of June 30, 2015 was \$65.4 million, and is projected to be \$42.2 million as of June 30, 2016. See attached.

EXPLANATION

Transfer from General Reserve Account to NH Turnpike Administration - Support (70220000) – 100% Turnpike Funds:

Class 046 Create a non-budgeted Class 046 in Accounting Unit 7022 in the amount of \$200,000. This transfer will allow for payment of consultant fees related to consultant oversight of the new Back Office system, development design, testing, and installation of the Maintenance Decision Support System for the Division of Operations and other projects.

Class 069 Create a non-budgeted Class 069 in Accounting Unit 7022 in the amount of \$2,000. This transfer will allow for advertising of the Turnpikes' Toll Revenue Processing and Electrical Services contracts. There are no Statewide Service Contracts for these services. The Toll Revenue Processing

contract ends in January 2016 and the Electrical Services Contract was terminated prematurely in June, 2015.

Transfer from General Reserve Account to Central NH Turnpike Maintenance (70270000) – 100% Turnpike Funds:

Class 400 Create a non-budgeted Class 400 in Accounting Unit 7027 for Contractual Guardrail Repairs in the amount of \$26,000. This account will allow for payment of contract expenditures for the repair of guardrail damaged during motor vehicle accidents anticipated from July to December 2015.

Transfer from General Reserve Account to East NH Blue Star Turnpike Maintenance (70320000) – 100% Turnpike Funds:

Class 400 Create a non-budgeted Class 400 in Accounting Unit 7032 for Contractual Guardrail Repairs in the amount of \$4,000. This account will allow for payment of contract expenditures for the repair of guardrail damaged during motor vehicle accidents anticipated from July to December 2015.

Transfer from General Reserve Account to Eastern NH Turnpike Spaulding Maintenance (70370000) – 100% Turnpike Funds:

Class 400 Create a non-budgeted Class 400 in Accounting Unit 7037 for Contractual Guardrail Repairs in the amount of \$10,000. This account will allow for payment of contract expenditures for the repair of guardrail damaged during motor vehicle accidents anticipated from July to December 2015.

Transfer from General Reserve Account to NH Turnpike Toll Collection (70500000) – 100% Turnpike Funds:

Class 046 Create a non-budgeted Class 046 in Accounting Unit 7050 in the amount of \$6,650,000. This transfer will allow for payment of contract expenditures for the replacement of the Back Office system development, expected to begin in August, 2015. The back-office system manages the E-Zpass transactions, reporting, billing and customer service for the Turnpike System.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

Consultant:

1. List of personnel involved: Consultants
2. Nature, Need, and Duration: This transfer will allow for payment of contract expenditures for the replacement of the Back Office system. The back-office system manages the E-Zpass transactions, reporting, billing and customer service for the Turnpike System.
3. Relationship to existing agency programs: Continuation of current system.
4. Has a similar program been requested of the legislature and denied? No, a similar program has not been requested and denied.
5. Why wasn't funding included in the agency's budget request? The need was identified after the budget was established.
6. Can portions of the grant fund be utilized? Not applicable, these are not grant funds.

7. Estimate the funds required to continue this position(s): There are no positions associated with this request.

This request is for the New Hampshire Department of Transportation to enter into a Memorandum of Understanding (MOU) with the States of Vermont and Maine. This MOU will allow for the states to collaborate on the development, governance, interstate operation and maintenance agreements of a three state traffic management system and a traveler information system

It is respectfully requested that this resolution be approved.

Sincerely,



William Cass, P.E.
Assistant Commissioner

Attachment

Table with columns B through Z and rows 1 through 147. It details financial data for a turnpike capital program, categorized into Audited - Accrual-Based and CASH-BASED. The table tracks revenue, operating expenditures, net revenue, debt service, capital additions, and reserve accounts from FY 07 to FY 22. Key metrics include Projected Bond Covenant Coverage Ratios and Ending Balance of Reserve Accounts.

Note: Certain financial categories for FY07 thru FY14 tie to the Comprehensive Annual Financial Report...



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



William Cass, P.E.
Assistant Commissioner

August 12, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Dear Chairman Kurk:

The NH Department of Transportation hereby requests the Committee withdraw two items previously tabled (#15-150 and #15-151) by the Committee at the meeting held on July 29, 2015. At the Committee's request, the department has brought forward replacement items including transfer requests within existing budget authority, where possible.

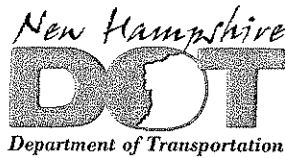
Thank you for your assistance in this matter.

Sincerely,

A handwritten signature in black ink that reads "Patrick K. McKenna".

Patrick K. McKenna
Deputy Commissioner

cc: Jeffrey A. Pattison, Legislative Budget Assistant
William Cass
Marie Mullen
Len Russell



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



WILLIAM CASS, P.E.
ASSISTANT COMMISSIONER

Bureau of Turnpikes
July 7, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 158, sub-paragraph I,(a), Laws of 2015, the Department of Transportation requests authorization to exceed the 6/12 limitation of said resolution for the accounts listed below, in the amount of \$3,140,000, to the extent shown as projected deficits, for the period of Fiscal Committee and Governor and Council approval through December 31, 2015.

04-096-096-961017-70220000	Current Appropriation FY 2016	Need	Projected (Deficit)
Turnpikes Administration - Support			
Expenses:			
010 500100 Personal Services – Perm	\$831,741	\$831,741	\$0
017 500147 FT Employees Special Pay	2,100	2,100	0
018 500106 Overtime	60,000	60,000	0
019 500105 Holiday Pay	1,250	1,250	0
020 500200 Current Expense	45,025	45,025	0
022 500255 Rents-Leases Other than State	3,337	3,337	0
023 500291 Heat, Electricity, Water	4,827	4,827	0
024 500225 Maint Other than Bldg-Grnds	9,195	9,195	0
026 500251 Membership Fees	25,000	25,000	0
029 500290 Intra Agency Transfers	1,524,212	1,524,212	0
030 500311 Equipment	25,136	25,136	0
035 500000 Shared Services Support	23,151	23,151	0
037 500171 Technology Hardware	8,800	8,800	0
038 500175 Technology Software	41,086	61,086	(20,000)
039 500188 Telecommunications	23,184	23,184	0
040 500800 Indirect Costs	125,000	125,000	0

046 500463 Eng Consultants Non-Benefits	16,985	16,985	0
047 500240 Own Forces Maint - Bldg	2,500	2,500	0
048 500226 Contract Maint Bldgs & Grnds	8,250	8,250	0
049 500294 Transfers To Agencies	65,000	85,000	(20,000)
050 500109 Personal Services - Temporary	40,000	40,000	0
060 500601 Benefits	573,325	573,325	0
066 500543 Continuing Prof Education	5,000	5,000	0
070 500704 In-State Travel	400	400	0
080 500710 Out of State Travel	6,000	6,000	0
103 500741 Contracts for Operational Svc	5,417	5,417	0
255 500949 Cost of Issuing Bonds	375,000	375,000	0
403 500878 Audit	55,000	55,000	0
404 500880 Intra-Indirect Costs	1,380,788	1,380,788	0
Total	\$5,286,709	\$5,326,709	(\$40,000)
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$5,286,709	\$5,326,709	(\$40,000)

04-096-096-961017-70250000	Current Appropriation FY 2016	Need	Projected (Deficit)
Renewal & Replacement			
Expenses:			
020 500200 Current Expense	\$12,500	\$12,500	\$0
046 500188 Consultants	125,000	125,000	0
047 500240 Own Forces Maint	12,500	12,500	0
048 500226 Contract Maint Bldgs & Grnds	125,000	125,000	0
400 500871 Construction Repair Material	6,383,537	9,483,537	(3,100,000)
Total	\$6,658,537	\$9,483,537	(\$3,100,000)
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$6,658,537	\$9,483,537	(\$3,100,000)

EXPLANATION

Administration - Support (70220000) – 100% Turnpike Funds

Class 038 Increase Technology Software by \$20,000. This will allow for contracted software license and maintenance services for the Maintenance Decision Support System and for automated regional rideshare software.

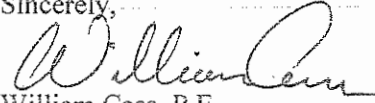
Class 049 Increase Transfers to Other State Agencies by \$20,000. This will allow for payment of anticipated State Police Safety Details that occur in the summer and fall to assist with lane closures and coverage of NH International Speedway events.

Renewal and Replacement (70250000) – 100% Turnpike Funds

Class 400 Increase Construction Repair Materials by \$3,100,000. This transfer will allow for payment of eight (8) Renewal & Replacement Program contracts that will include the majority of expenditures from July to December 2015. Encumbrances totaling \$2,208,536 were brought forward from FY 2015 and represent contractual obligations for that year. The additional funds are needed for commitments that have been made with vendors to complete construction on Bridge Rehabilitations, Resurfacing, Signage and Guardrail Replacement before November 2015.

It is respectfully requested that this resolution be approved.

Sincerely,



William Cass, P.E.
Assistant Commissioner

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
Note: This summary does not include additional federal American Recovery and Reinvestment Act (ARRA) revenues.										
FISCAL YEAR 2014										
13-165	July'13	Adjutant General's Department	RSA 14:30-a, VI	-	585,000	-	585,000	-	-	
		Adjutant General's Department Total		-	585,000	-	585,000	-	-	
14-097	June'14	Administrative Services, Department of	RSA 124:15	-	-	-	-	0	2	Class 50,
		Administrative Services, Department of Total		-	-	-	-	0	2	
13-163	July'13	Agriculture, Markets and Food, Department of	RSA 14:30-a, VI	-	156,342	-	156,342	-	-	
13-269	Jan'14	Agriculture, Markets and Food, Department of	RSA 14:30-a, VI	-	224,025	-	224,025	-	-	
		Agriculture, Markets and Food, Department of Total		-	380,367	-	380,367	-	-	
14-015	Feb'14	Board of Pharmacy	RSA 14:30-a, VI & RSA 124:15	-	-	368,871	368,871	1	1	Establishes a temporary full-time position in class 59; FIS 14-166 transfers various amounts between class lines. Amended at November 2014 meeting. FIS 15-045 changes end date to 6/30/15.
		Board of Pharmacy Total		-	-	368,871	368,871	1	1	
14-028	March'14	Cultural Resources, Department of	RSA 14:30-a, VI & RSA 124:15	-	-	114,625	114,625	-	-	Provides approval for establishing consultant positions
14-029	March'14	Cultural Resources, Department of	RSA 14:30-a, VI & RSA 124:15	-	697,919	-	697,919	-	3	Establishes 3 temporary part-time positions in class 50
		Cultural Resources, Department of Total		-	697,919	114,625	812,544	-	3	
14-102	June'14	DHHS - Division of Child Support Services	RSA 14:30-a, VI	-	1,100,000	-	1,100,000	-	-	
		DHHS - Division of Child Support Services Total		-	1,100,000	-	1,100,000	-	-	
14-022	March'14	DHHS - Division of Children, Youth and Families	RSA 14:30-a, VI	-	422,249	-	422,249	-	-	
		DHHS - Division of Children, Youth and Families Total		-	422,249	-	422,249	-	-	
13-295	Jan'14	DHHS - Division of Client Services	RSA 14:30-a, VI	-	9,544,393	-	9,544,393	-	-	
		DHHS - Division of Client Services Total		-	9,544,393	-	9,544,393	-	-	
13-224	Oct'13	DHHS - Division of Community Based Care	RSA 14:30-a, VI	-	353,203	-	353,203	-	-	
13-256	Nov'13	DHHS - Division of Community Based Care	RSA 14:30-a, VI	-	414,964	-	414,964	-	-	
13-290	Jan'14	DHHS - Division of Community Based Care	RSA 14:30-a, VI	-	198,000	-	198,000	-	-	
14-088	June'14	DHHS - Division of Community Based Care	RSA 14:30-a, VI	-	5,005,000	5,000,000	10,005,000	-	-	
		DHHS - Division of Community Based Care Total		-	5,971,167	5,000,000	10,971,167	-	-	
13-232	Oct'13	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	168,941	-	168,941	-	-	
13-233	Oct'13	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	219,533	-	219,533	-	-	
14-019	Feb'14	DHHS - Division of Public Health Services	RSA 14:30-a, VI & RSA 124:15	-	313,717	-	313,717	-	-	Provides approval for establishing consultant positions
		DHHS - Division of Public Health Services Total		-	702,191	-	702,191	-	-	
13-294	Jan'14	DHHS - Office of Human Services	RSA 14:30-a, VI	-	722,837	-	722,837	-	-	
		DHHS - Office of Human Services Total		-	722,837	-	722,837	-	-	
13-296	Jan'14	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	-	36,211	36,211	-	-	
13-297	Jan'14	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	-	22,046	22,046	-	-	
13-298	Jan'14	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	421,538	259,945	681,483	-	-	

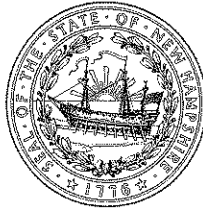
Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
14-013	Feb'14	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	70,952	-	70,952			
14-110	June'14	DHHS - Office of the Commissioner	Ch 3:7, II, Laws of 2014	-	(121,658)	(24,124)	(145,782)			
14-111	June'14	DHHS - Office of the Commissioner	Ch 3:7, II, Laws of 2014	-	(582,416)	(228,463)	(810,879)			
14-112	June'14	DHHS - Office of the Commissioner	Ch 3:7, II, Laws of 2014	-	(420,716)	5,727,990	5,307,274			
		DHHS - Office of the Commissioner Total		-	(632,300)	5,793,605	5,161,305	-	-	
14-026	March'14	Education, Department of	RSA 14:30-a, VI & RSA 124:15	-	4,222,476	-	4,222,476	3	1	Establishes 3 temporary full time positions in class 59 and 1 temporary part-time position in class 50
14-032	Apr'14	Education, Department of	RSA 14:30-a, VI	-	-	150,000	150,000			
		Education, Department of Total		-	4,222,476	150,000	4,372,476	3	1	
13-192	July'13	Energy and Planning	RSA 14:30-a, VI	-	-	200,000	200,000			
13-223	Oct'13	Energy and Planning	RSA 14:30-a, VI	-	-	200,000	200,000			
13-286	Jan'14	Energy and Planning	RSA 14:30-a, VI	-	-	99,501	99,501			
		Energy and Planning Total		-	-	499,501	499,501	-	-	
13-239	Nov'13	Environmental Services, Department of	RSA 14:30-a, VI & RSA 124:15	-	-	22,316,661	22,316,661	5		Funds are from account held by Justice. See FIS 13-257 for Justice portion of this transaction. FIS 14-126 transferred \$80,900 between several class lines, no increase in funds.
13-245	Nov'13	Environmental Services, Department of	RSA 14:30-a, VI	-	-	1,250,000	1,250,000			
13-246	Nov'13	Environmental Services, Department of	RSA 14:30-a, VI	-	-	22,316,661	22,316,661	5		Funds are from account held by Justice. See FIS 13-257 for Justice portion of this transaction. FIS 14-126 transferred \$80,900 between several class lines, no increase in funds.
14-087	June'14	Environmental Services, Department of	RSA 14:30-a, VI	-	-	10,000,000	10,000,000			
		Environmental Services, Department of Total		-	-	55,883,322	55,883,322	10	-	
13-291	Jan'14	Information Technology, Department of	RSA 14:30-a, VI & RSA 124:15	-	254,995	-	254,995			Provides approval for establishing consultant positions
14-080	June'14	Information Technology, Department of	RSA 14:30-a, VI	-	-	700,000	700,000			
13-293	Jan-14	Information Technology, Department of	RSA 124:15	-	-	-	-	0	2	Class 50
		Information Technology, Department of Total		-	254,995	700,000	954,995	0	2	
13-247	Nov'13	Insurance, Department of	RSA 14:30-a, VI	-	2,318,181	-	2,318,181			Provides approval for establishing consultant positions

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
14-014	Mar-14	Insurance, Department of	RSA 14:30-a, VI & RSA 124:15	-	2,048,237	-	2,048,237			Class 46 consultants. Special meeting.
		Insurance, Department of Total		-	4,366,418	-	4,366,418	0	0	
13-186	July'13	Justice, Department of	RSA 14:30-a, VI	-	91,014	-	91,014			
14-035	March'14	Justice, Department of	RSA 14:30-a, VI	-	-	300,000	300,000			
14-057	Apr'14	Justice, Department of	RSA 14:30-a, VI & RSA 124:15	-	119,820	-	119,820	1		Establishes an attorney position
14-027	Mar-14	Justice, Department of	RSA 124:15	-	-	-	-	1	0	Class 59, classified
13-285	Jan-14	Justice, Department of	RSA 124:15	-	-	-	-	1	1	Class 50
		Justice, Department of Total		-	210,834	300,000	510,834	2	1	
14-009	Feb'14	Police Standards and Training Council	RSA 14:30-a, VI	-	323,284	-	323,284			
		Police Standards and Training Council Total		-	323,284	-	323,284	-	-	
14-056	Apr'14	Public Utilities Commission	RSA 14:30-a, VI	-	-	7,500,000	7,500,000			
		Public Utilities Commission Total		-	-	7,500,000	7,500,000	-	-	
13-167	July'13	Resources & Economic Development	RSA 14:30-a, VI	-	84,772	-	84,772			
13-170	Sept'13	Resources & Economic Development	RSA 14:30-a, VI	-	3,009,272	-	3,009,272			
13-200	Sept'13	Resources & Economic Development	RSA 14:30-a, VI	-	205,800	-	205,800			
13-254	Nov'13	Resources & Economic Development	RSA 14:30-a, VI	-	-	9,100,000	9,100,000			DES accepted federal grant and assigned it directly to entity acquiring the conservation easement.
14-081	June'14	Resources & Economic Development	RSA 14:30-a, VI	-	-	2,563,000	2,563,000			DES accepted federal grant and assigned it directly to entity acquiring the conservation easement.
		Resources & Economic Development Total		-	3,299,844	11,663,000	14,962,844	-	-	
13-177	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	9,543,746	-	9,543,746			
13-178	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	3,271,590	-	3,271,590			
13-179	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	532,223	-	532,223			
13-180	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	811,162	-	811,162			
13-181	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	5,636,571	-	5,636,571			
13-188	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	982,455	-	982,455			
13-189	Sept'13	Safety, Department of	RSA 14:30-a, VI	-	284,000	-	284,000			
13-217	Oct'13	Safety, Department of	RSA 14:30-a, VI	-	7,500,000	-	7,500,000			
13-225	Oct'13	Safety, Department of	RSA 14:30-a, VI	-	360,599	-	360,599			Provides approval for establishing consultant positions
13-236	Oct'13	Safety, Department of	RSA 14:30-a, VI	-	202,241	-	202,241			
13-280	Jan'14	Safety, Department of	RSA 14:30-a, VI & RSA 124:15	-	879,887	-	879,887			Provides approval for establishing consultant positions
13-287	Jan'14	Safety, Department of	RSA 14:30-a, VI	-	706,724	-	706,724			

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established	Comments
14-001	Feb'14	Safety, Department of	RSA 14:30-a, VI & RSA 124:15	-	-	149,081	149,081		Provides approval for establishing consultant positions
14-034	March'14	Safety, Department of	RSA 14:30-a, VI & RSA 124:15	-	-	955,095	955,095		Provides approval for establishing consultant positions; FIS 14-152 changes end date to 6/30/15
14-089	June'14	Safety, Department of	RSA 14:30-a, VI & RSA 124:15	-	-	149,080	149,080		Provides approval for establishing consultant positions
		Safety, Department of Total		-	30,711,198	1,253,256	31,964,454	-	-
13-194	Sept'13	Transportation, Department of	RSA 14:30-a, VI	-	-	887,233	887,233		
14-036	March'14	Transportation, Department of	RSA 14:30-a, VI & RSA 124:15	-	-	624,755	624,755		Provides approval
14-045	Apr'14	Transportation, Department of	RSA 14:30-a, VI	-	-	110,000	110,000		
14-103	June'14	Transportation, Department of	RSA 14:30-a, VI	-	2,950,000	-	2,950,000		
14-104	June'14	Transportation, Department of	RSA 14:30-a, VI	-	-	5,200,000	5,200,000		
14-105	June'14	Transportation, Department of	RSA 14:30-a, VI	-	-	6,030,440	6,030,440		
		Transportation, Department of Total		-	2,950,000	12,852,428	15,802,428	-	-
		FY 2014 Total		-	65,832,872	102,078,608	167,911,480	16	10
FISCAL YEAR 2015									
14-119	July'14	Administrative Services, Department of	RSA 14:30-a, VI	-	-	105,670	105,670		
		Administrative Services, Department of Total		-	-	105,670	105,670	-	-
14-198	Jan'15	Agriculture, Markets, and Food, Department of	RSA 14:30-a, VI	-	273,101	-	273,101		
14-208	Jan'15	Agriculture, Markets, and Food, Department of	RSA 14:30-a, VI	-	-	230,000	230,000		
		Agriculture, Markets, and Food, Department of Total		-	273,101	230,000	503,101	-	-
14-151	Nov-14	Corrections, Department of	RSA 124:15	-	-	-	-	0	1 Classified
15-074	May'15	Corrections, Department of	RSA 14:30-a, VI	-	373,350	-	373,350		
		Corrections, Department of Total		-	373,350	-	373,350	0	1
14-185	Nov'14	DHHS - Division of Childrend, Youth and Families	RSA 14:30-a, VI	-	333,801	-	333,801		
		DHHS - Division of Childrend, Youth and Families Total		-	333,801	-	333,801	-	-
14-148	Sept'14	DHHS - Division of Community Based Care	RSA 14:30-a, VI	-	239,619	-	239,619		
		DHHS - Division of Community Based Care Total		-	239,619	-	239,619	-	-
15-020	April'14	DHHS - Division of Client Services	RSA 14:30-a, VI	-	3,935,131	-	3,935,131		
		DHHS - Division of Client Services Total		-	3,935,131	-	3,935,131	-	-
15-004	Jan'15	DHHS - Division of Community Based Care	RSA 14:30-a, VI	-	2,187,928	-	2,187,928		
15-073	May'15	DHHS - Division of Community Based Care	RSA 14:30-a, VI	-	2,602,600	2,600,000	5,202,600		
		DHHS - Division of Community Based Care Total		-	4,790,528	2,600,000	7,390,528	-	-
14-211	Jan'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	124,608	-	124,608		
15-001	Jan'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI & RSA 124:15	-	559,432	-	559,432	5	
15-002	Jan'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	111,692	-	111,692	3	
15-005	Jan'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	641,559	-	641,559		
15-012	April'14	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,818,182	-	1,818,182		
		DHHS - Division of Public Health Services Total		-	3,255,473	-	3,255,473	8	-
15-061	April'14	DHHS - Health and Human Services	Ch 3:7, II, Laws of 2014 & RSA 14:30-a, VI	-	-	261,386	261,386		
15-062	April'14	DHHS - Health and Human Services	Ch 3:7, II, Laws of 2014 & RSA 14:30-a, VI	-	133,561	238,840	372,401		
15-063	April'14	DHHS - Health and Human Services	Ch 3:7, II, Laws of 2014 & RSA 14:30-a, VI	-	1,113,016	371,939	1,484,955		
		DHHS - Health and Human Services Total		-	1,246,577	872,165	2,118,742	-	-
15-009	Jan'15	DHHS - Office of the Commissioner	Ch 3:7, II, Laws of 2014	-	15,990,040	8,169,802	24,159,842		
15-086	May'15	DHHS - Office of the Commissioner	Ch 3:7, II, Laws of 2014 & RSA 14:30-a, VI	-	86,465	(928)	85,537		
15-075	May'15	DHHS - Office of the Commissioner	Ch 3:7, II, Laws of 2014 & RSA 14:30-a, VI	-	5,360,123	(96,811)	5,263,312		

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established	Comments
15-097	June'15	DHHS - Office of the Commissioner	Ch 3:7, II, Laws of 2014 & RSA 14:30-a, VI	-	2,502,642	1,141	2,503,783		
		DHHS - Office of the Commissioner Total		-	23,939,270	8,073,204	32,012,474	-	-
15-019	April'14	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	-	334,000	334,000		
15-034	April'14	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	2,932,930	-	2,932,930		
15-072	May'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	7,942,313	7,934,379	15,876,692		
15-106	June'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	270,286	270,016	540,302		
		DHHS - Office of Medicaid Business and Policy Total		-	11,145,529	8,538,395	19,683,924	-	-
14-120	Nov-14	Education, Department of	RSA 124:15	-	-	-	-	1	- Classified
15-033	April'14	Education, Department of	RSA 14:30-a, VI & RSA 124:15	-	1,949,991	-	1,949,991	2	- Adds two positions - Program specialist III and Program specialist II
15-060	April'14	Education, Department of	RSA 124:15	-	-	-	-	-	- Request to add consultants
		Education, Department of Total		-	1,949,991	-	1,949,991	3	-
15-038	April'14	Energy and Planning, Office of	RSA 14:30-a, VI	-	36,000	-	36,000		
		Energy and Planning, Office of Total		-	36,000	-	36,000	-	-
14-142	Sept'14	Environmental Services, Department of	RSA 14:30-a, VI	-	270,270	-	270,270		
14-199	Jan'15	Environmental Services, Department of	RSA 14:30-a, VI	-	-	600,000	600,000		
14-200	Jan'15	Environmental Services, Department of	RSA 14:30-a, VI	-	20,000,000	-	20,000,000		
14-201	Jan'15	Environmental Services, Department of	RSA 14:30-a, VI	-	369,000	-	369,000		
15-044	April'14	Environmental Services, Department of	RSA 14:30-a, VI	-	10,000,000	-	10,000,000		
		Environmental Services, Department of Total		-	30,639,270	600,000	31,239,270	-	-
14-157	Sept'14	Fish and Game Department	RSA 14:30-a, VI	-	910,000	-	910,000		
14-184	Nov'14	Fish and Game Department	RSA 14:30-a, VI	-	354,025	-	354,025		
15-109	June'15	Fish and Game Department	RSA 14:30-a, VI	-	1,136,400	-	1,136,400		
		Fish and Game Department Total		-	2,400,425	-	2,400,425	-	-
14-174	Nov'14	Insurance Department	RSA 14:30-a, VI & RSA 124:15	-	398,777	-	398,777		Request to add
		Insurance Department Total		-	398,777	-	398,777	-	-
15-052	April'14	Information Technology, Department of	RSA 14:30-a, VI	-	-	700,000	700,000		
		Information Technology, Department of Total		-	-	700,000	700,000	-	-
14-175	Nov'14	Justice, Department of	RSA 14:30-a, VI	-	106,177	-	106,177		Request to add consultants
14-176	Nov'14	Justice, Department of	RSA 14:30-a, VI	-	370,000	-	370,000		
14-177	Nov'14	Justice, Department of	RSA 14:30-a, VI	-	449,443	-	449,443		
14-186	Nov'14	Justice, Department of	RSA 14:30-a, VI	-	866,833	-	866,833		
15-006	Jan-15	Justice, Department of	RSA 124:15	-	-	-	-	-	1
15-021	April'14	Justice, Department of	RSA 14:30-a, VI & RSA 124:15	-	-	120,367	120,367	1	0 Establish 1 temp full time Planning analyst/data systems position (LG 24)
15-022	April'14	Justice, Department of	RSA 124:15	-	-	-	-	1	0 Establish 1 temp full time criminal investigator unclassified position
		Justice, Department of Total		-	1,792,453	120,367	1,912,820	2	1
14-196	Nov-14	Office of the Governor	RSA 124:15	-	-	-	-	1	- New-non classified through June 30, 2015 - Special Agenda Meeting.
		Office of the Governor Total		-	-	-	-	1	-
14-144	Sept'14	Resources & Economic Development	RSA 14:30-a, VI	-	159,725	-	159,725		
15-053	April'14	Resources & Economic Development	RSA 14:30-a, VI	-	804,739	-	804,739		

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established	Comments
Resources & Economic Development Total				-	964,464	-	964,464	-	-
14-173	Nov'14	Safety, Department of	RSA 14:30-a, VI	-	114,682	-	114,682		
14-178	Nov'14	Safety, Department of	RSA 14:30-a, VI	-	1,293,607	-	1,293,607		
14-202	Jan'15	Safety, Department of	RSA 14:30-a, VI	-	1,107,723	-	1,107,723		
14-203	Jan'15	Safety, Department of	RSA 14:30-a, VI & RSA 124:15	-	-	143,881	143,881	-	- Request to add consultants
15-017	April'14	Safety, Department of	RSA 14:30-a, VI	-	190,845	-	190,845	-	-
15-032	April'14	Safety, Department of	RSA 14:30-a, VI & RSA 124:15	-	-	149,518	149,518	-	- Request to add consultants
15-054	April'14	Safety, Department of	RSA 14:30-a, VI	-	-	191,319	191,319	-	-
15-055	April'14	Safety, Department of	RSA 14:30-a, VI	-	189,167	-	189,167	-	- FIS 15-107 adjusts appropriation in two class lines.
Safety, Department of Total				-	2,896,024	484,718	3,380,742	-	-
14-149	Sept'14	Transportation, Department of	RSA 14:30-a, VI	-	675,000	-	675,000		
14-150	Sept'14	Transportation, Department of	RSA 14:30-a, VI	-	-	500,000	500,000		
15-056	April'14	Transportation, Department of	RSA 14:30-a, VI	-	2,080,000	-	2,080,000		
Transportation, Department of Total				-	2,755,000	500,000	3,255,000	-	-
15-095	June'15	Treasury Department	RSA 14:30-a, VI	-	-	700,000	700,000	-	-
Treasury Department Total				-	-	700,000	700,000	-	-
FY 2015 Total				-	93,364,783	23,524,519	116,889,302	14	2
BIENNIUM TOTALS				-	159,197,655	125,603,127	284,800,782	30	12



JEFFRY A. PATTISON
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State of New Hampshire
OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

July 9, 2015

Fiscal Committee of the General Court
The Honorable Neal M. Kurk, Chairman
State House
Concord, New Hampshire 03301

Dear Representative Kurk and Members of the Committee,

I am writing to inform you of actions taken under the authority granted to me to approve step increases for employees of the LBA Office. I approved step increases as of the increment date for the following employees:

Steven Giovinelli: Effective July 10, 2015, a one step increase from grade K-7 to K-8.
Date of hire: July 10, 2006 Date of previous increment: July 10, 2014

Kevin Ripple: Effective July 6, 2015, one step increase from M-2 to M-3.
Date of hire: July 6, 2007 Date of previous increment: July 6, 2013

Please let me know if you have any questions.

Sincerely,

A handwritten signature in black ink that reads "Jeffrey A. Pattison".

Jeffrey A. Pattison
Legislative Budget Assistant

JAP/scs



State of New Hampshire

FIS 15 110

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

VICKI V. QUIRAM
Commissioner
(603) 271-3201

GERARD J. MURPHY
Director of Accounting Services /
Comptroller
(603) 271-1443

June 29, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Dear Chairman Kurk:

At the June 26, 2015 meeting of the Fiscal Committee, you requested I provide to the committee a letter detailing our conversation regarding year-end accrual entries, made in accordance with Generally Accepted Accounting Principles (GAAP), for the State's Medicaid program. Before I recap our discussion at the meeting, I would like to share a caveat about the analysis below: it is analysis related to the Medicaid program only, which is only a portion of the GAAP analysis done each year as part of the preparation of the State's financial statements. Typically, the nature and amount of the GAAP entries do not come into clear focus until later in the closing process (September). However, recently DHHS proactively provided us with information that leads to believe that the Medicaid accrual may significantly increase over last year. The final amount is not yet known. The numbers are:

State of NH - Medicaid accrual analysis (in millions of \$)

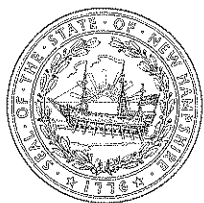
	<u>FY2013</u>	<u>FY2014</u>	<u>FY2015*</u>	<u>Comment</u>
State share of estimated Medicaid accrued liability	(\$39.0)	(\$77.7)	(\$78.0)	For FY2015, this number is not yet known. DHHS analysis shows it may be similar to PY.
Less available balance brought forward to offset GF liability	\$13.6	\$34.8	unknown	For FY2015, DHHS is working to identify any related balances available to be brought forward. Any balances brought forward would not lapse.
Current year adjusted state share of Medicaid accrued liability	(\$25.4)	(\$42.9)	(\$78.0)	
Reversal of PY Medicaid entry	\$24.3	\$25.4	\$42.9	
Net impact to GF surplus – Inc/(Dec)	(\$1.1)	(\$17.5)	(\$35.1)	This amount is related only to Medicaid.

**FY2015 represents a potential scenario at this point*

Please note the FY2015 impact to GF holds true only if certain assumptions are met. Specifically, only if the final state share of the Medicaid liability is \$78M and there are no funds brought forward to offset the GF liability will there be a net decrease of \$35.1 to the GF related to the Medicaid liability accrual. In all likelihood, both of these amounts will change, and changes in these amounts could also affect other assumptions outside of this Medicaid specific analysis. For instance, an increase in the amount of funds brought forward to offset the GF liability could result in a decrease in the lapse amount. DAS has no ability to confirm these numbers until September after we work with DHHS to complete the Medicaid liability analysis.

Sincerely,

Gerard J. Murphy, Comptroller
Department of Administrative Services



State of New Hampshire

FIS 15 111

DEPARTMENT OF ADMINISTRATIVE SERVICES

OFFICE OF THE COMMISSIONER

25 Capitol Street – Room 120

Concord, New Hampshire 03301

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(603) 271-3201

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July 7, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord New Hampshire 03301

Dear Representative Kurk:

INFORMATIONAL ITEM

At the June 26, 2015 meeting of the Fiscal Committee, general discussion and questions arose regarding what approach would be taken by state agencies in entering into contracts with the approval of the Governor and Executive Council, in relation to the amount of funding to be authorized for each under a continuing resolution.

As part of that discussion, the Department of Administrative Services informed the Fiscal Committee members that we would be drafting initial guidance to agencies regarding managing their fiscal affairs, as they work within the funding now authorized by Chapter 158, Laws of 2015.

Attached is the initial interdepartmental communication related to the continuing resolution which was distributed to all Commissioners, Department Heads, and agency budget managers on Tuesday July 7, 2015.

I am available to answer any questions you may have.

Respectfully submitted,


A handwritten signature in cursive script that reads "Vicki V. Quiram".

Vicki V. Quiram
Commissioner

STATE OF NEW HAMPSHIRE
Department of Administrative Services
Inter-Department Communication

DATE: July 7, 2015

FROM: Vicki V. Quiram 
Commissioner

Joseph B. Bouchard 
Assistant Commissioner

TO: Commissioners
Department Heads
Agency Budget Managers

SUBJECT: Continuing Resolution Guidance Regarding Chapter 158, Laws of FY 2015

In consultation with the Governor's Office, this letter is intended to provide initial guidance to agencies regarding actions which need to be taken to manage the fiscal affairs of the State of New Hampshire as we work with the funding authorized by Chapter 158 Laws of 2015 (CR), a continuing resolution providing limited funding for FY 2016. The goal of this letter is to summarize the spending authority contained in the CR, to the best of our ability as of this date. This guidance may evolve with input from the Fiscal Committee of the General Court (Fiscal Committee) and Governor and Council (G&C).

Specific concerns/questions will need to be considered on a case by case basis and should be discussed with our office, and prepared for Fiscal Committee and G&C consideration as soon as possible. Please alert Joe Bouchard or your business supervisor about additional issues that arise as soon as possible, and before taking action, for consistency in guidance and to assist other agencies that may have similar situations. As specific additional questions arise that may be applicable to others, we will be sharing the answers on SunSpot. Attached you will find the CR in its entirety. Agencies should comply with all laws of the State in implementation of the CR.

Continuing Resolution Spending Authority for FY 2016

1. What is the Base Budget we will work within?

- Any changes anticipated in the FY2016/17 biennial budget are not in effect and cannot be relied on during the upcoming months while operating under the Continuing Resolution (CR). In short, each agency will be operating with half of its funding from the base FY 2015 budget over the next six months. The CR authorizes each agency which received budgeted funding as detailed and contained in HB 1-A

(CH 143 L'13) of the 2013 regular session for FY 2015 to receive 6/12 of that level of funding for the same purposes for the period of July 1, 2015 through December 31, 2015. The resolution states that the provisions of HB 2-FN-A-LOCAL of 2013 regular session (CH 144 L'13) which relate to the FY 2014/15 Biennium will apply.

- Therefore, for State FY 2016 in NHFIRST, you will find that the appropriations and accounting unit structure is now authorized at one-half of the original FY 2015 level for your program needs effective July 6, 2015.
- The base appropriations you will be working with will be 6/12 of FY 2015's Budget, prior to any pay raise warrant adjustments, transfers, G&C and Fiscal Committee actions, operating budget or other legislative changes, and Executive Order 2014-9 expenditure class reductions.

2. What sections of (CH 143 and CH 144 L'13) apply to my agency/dept?

The provisions of HB2 relative to FY 2015 apply. The CR provides that all sections of CH 143 and CH 144 L'13 are applicable during the continuing resolution as follows:

- This includes agency specific transfer authority which applies exactly as was utilized during FY 2015.
- Any suspended statutes for the prior biennium continue to be suspended.
- The back of the budget reductions contained in CH 143 or CH 144 L'13 footnotes are applicable to the CR and will be applied on a prorated 6/12 basis at a later date.
- Per the CBA's for the 2014-2015 biennium pay raise funding is authorized in CH 144 L'13 for FY 2015, but it is not contained in the base budget. DAS anticipates processing a 6/12 pro-rated warrant for the pay raise at a later date.
- Any position reclassifications that have been approved, and step raises due in 2016 that will continue by virtue of the existing Collective Bargaining Agreements (CBA) are not included in the base FY 2015 budget. As a result, you may need additional class 10 funding depending on the length of time that the state operates under the CR. Agencies will need to present these amounts to Fiscal Committee and G&C for approval if needed at a later date, and only for amounts required for the duration of the CR.
- Any CH 144 L'13 sections for which you question applicability should be addressed with Joe Bouchard in the DAS Budget Office.

3. What if emergencies arise where additional funding is absolutely required for an agency's operations to continue?

- The CR calls for emergencies to be addressed, such as the need for additional funding, by first seeking the approval of the Fiscal Committee and then the approval of G&C. Upcoming Fiscal Committee and G&C meetings are as follows:

Fiscal Committee	G&C
July 29, 2015	August 5, 2015
August 26, 2015	August 26, 2015
September 25, 2015	September 16, 2015
	October 7, 2015
	October 21, 2015

4. What if the CR funding level for my state funds is inadequate for the maintenance of effort or federal program match necessary to claim federal fund reimbursements?

- The CR allows for adjustments in appropriations to be authorized through the Dept. of Administrative Services, Bureau of Accounting (BOA), in a timely manner to support Federal Programs (Grant) changes. This relates specifically to adjustments to address any changes to accounting unit funding levels, of such state funds as under federal law shall be required to be added to such federal funds as a condition of their transfer to the state. In other words, if you do not have the state fund appropriation necessary for a federal MOE or match, you can identify that situation to BOA to make the adjustment to your state fund appropriations. Documentation will be required for all adjustments to be made by the BOA. Please contact Tim Hartshorn in the BOA to request adjustments of this type.

5. Does the ability to transfer funds among and within accounting units remain in place?

- The CR specifically continues the ability to transfer funding as contained in RSA 9:16 – 9:17-d. Typically, this means that the transfer of funds under \$75,000 within approved expenditure classes and across accounting units with identical funding can be addressed by agencies through DAS with an A-13 Transfer of Appropriation form. Transfers of \$75,000 or more require Fiscal Committee & G&C approval where applicable.
- As noted earlier, agency specific transfer wording in CH 143 and CH 144 L’2013 remain in effect as the methodology for transfer needs during the CR.

6. How will the CR affect my employees, payroll and benefit appropriations?

- The CR establishes 6/12 of each payroll, overtime, holiday pay, and benefit appropriations from the FY 2015 base budget, in FY 2016.
- Any subsequent payroll action to assign employees to alternate classes or new payroll classes and accounting units versus where they were originally budgeted in FY 2015 must be analyzed to avoid overspending those classes. Appropriate action must be taken to avoid the potential for overspending of payroll classes, either by initiating

transfer requests on an A-13 Transfer of Appropriation form or by requesting action of Fiscal Committee and G&C.

- **IMPORTANT NOTE** : Any new positions contemplated for approval in the FY 2016/17 Biennium or any conversions of positions to full time permanent are not authorized by this Continuing Resolution.

7. What about positions created by Fiscal Committee and G&C action contemplated to be continued and/or included in our budget for 2016-2017?

- Positions which have an end date of June 30, 2015 with no funding in FY 2016 must be reauthorized by Fiscal Committee and G&C with the acceptance of appropriate funding. Retroactive action will need to be requested effective July 1, 2015 both for the acceptance of the funds under RSA 14:30-a and for the positions to be reauthorized (amending of the original Fiscal and G&C Action) per RSA 124:15.
- If alternate funding was expected to continue a position for which funds are no longer available, immediately contact Sara Willingham and Joe Bouchard. Agencies should prepare appropriate transfer actions where applicable to support their position funding needs for the July 29th Fiscal Committee Meeting and the August 5th G&C meeting.

8. Generally what should we be concerned about related to managing our appropriations while the biennial Budget is being finalized over the next several months?

- **DO NOT OVERSPEND**
There will be a necessary reconciliation once a budget is passed so each agency must be as conservative as possible whereby it utilizes necessary FY 2015 funding to operate while being mindful of FY 2016/17 budget discussions which have occurred and may likely be part of a new budget. The budget that you are working with is your enacted FY 2015 Budget, not the adjusted authorized FY 2015 Budget. Even though the level is 6/12 of FY 2015, the authorized spending level in some cases is higher than the FY 2016 contemplated level, and may be funded from an entirely different funding source.
- Be careful with personnel hiring, contracting, equipment purchases and grants management. You should pro-rate contracts and grants as much as possible without counting on the unknown FY 2016 level that will later be authorized in law. Delay, where possible, any major discretionary payments or grant awards until after the budget is resolved.
- The waiver process is still in place for general fund hiring, out of state travel and equipment purchases.

Information and Guidance from Administrative Services Divisions

Division of Personnel – Employee Relations

1. What is the current status of the Collective Bargaining Agreements?

The cost items of the negotiated tentative agreements have not been funded, as such; all CBA will enter evergreen status on July 1, 2015. This means that the current CBA's effective for 2013-2015 term will remain in full force and effect until such time as new CBAs are signed. Step progressions may continue if an employee receives a satisfactory performance evaluation. As with current practice, employees should receive performance evaluations annually.

If you have any questions, please contact Matt Newland, Manager of Employee Relations at 271-3261.

Division of Accounting Services

1. What is the state of previous year encumbrances and what should we do regarding new year requisition (RQ) needs for FY 2016?

- Purchase orders that have a balance at June 30, 2015 will be brought forward to FY 2016 and will be brought forward in the accounting unit noted on the purchase order. (encumbrance and budget)
- RQ/contracts approved by G&C in a prior year or in FY 2016 will be processed as requested if the agency has enough money in the line item's budget
- If agency does not have enough money in the budget to support the RQ, BOA will contact the agency and the RQ will be returned to the agency. The agency will need to revise the RQ request and resubmit the item.
- Once the FY2016/17 budget is approved and booked in NHFIRST, the agency will be required to submit another RQ for the remaining balance. When the new RQ is received, a new line item will be created on the purchase order noted on the RQ.

NOTE: WE STRONGLY RECOMMEND that your RQ's limit the requests to only those amounts immediately necessary to conduct business through the first half of fiscal 2016 (i.e. a maximum of 6/12), unless there are seasonal requirements that necessitate larger amounts in the first half of the year.

A reminder that FY 2015 closing will be closely monitored to assure that accounts that are bringing balances forward into FY 2016 are justified with the proper legal citations. Encumbrances and appropriations for them will roll forward on June 30th. Any estimated

revenue for mixed funding of encumbrances needs to be coded in FCLG by the agencies to come forward. Revenue must match as stated on the G&C request of the original contract.

Bureau of Purchasing and Property

1. What is the procedure for encumbering funding against statewide contracts awarded by the Bureau of Purchasing and Property?

- DAS strongly recommends that state agencies wishing to encumber service contracts submit RQ 10's that reflect no more than six months of payments. Encumbering service contracts for contracts beginning in November or December should reflect the correct amount of time (for example snow plowing).
- Agencies wishing to establish open end purchase orders should enter RQ 10's for what they will need within the next 6 months.
- For annual software/ hardware maintenance DAS recommends that state agencies approach their respective vendors to see if they would be willing to invoice them monthly, quarterly or for six months.
- DAS recommends that state agencies with annual memberships request to be invoiced on a monthly, quarterly or 6 months basis as well.

Budget Office

1. When preparing items for Governor & Council approval what should be referenced regarding available funding for current action versus once the final FY 2016/17 budget is ultimately approved?

- For contracts, grants, dues, and any other items that require the obligation of funds by G&C, agencies should utilize the examples below for wording the accounting description/component of each item, after the requested action paragraph.

a) Example of a single year obligation:

“Funds to support this request are anticipated to be available in the following account in FY 2016 upon the availability and continued appropriation of funds in the future operating budget.”

b) Example of two year obligation:

“Funds to support this request are anticipated to be available in the following accounts in FY 2016 and FY 2017 upon the availability and continued appropriation

of funds in the future operating budget, with the ability to adjust encumbrances between State Fiscal Years through the Budget Office, if needed and justified”

Thank you in advance for your partnership during this time. Feel free to call your Business Supervisor, Joe Bouchard or me with any questions. In addition, we will be holding a meeting for you and/or your Budget Managers to discuss any questions that you may have on July 14th from 1:00-3:00 at the Department of Environmental Services Auditorium, 27 Hazen Drive.

Attachment

CHAPTER 158
HJR 2 - FINAL VERSION

2015 SESSION

15-1036
09/01

HOUSE JOINT RESOLUTION **2**

A RESOLUTION making temporary appropriations for the expenses and encumbrances of the state of New Hampshire.

SPONSORS: Rep. Kurk, Hills 2; Rep. Flanagan, Hills 26; Sen. Forrester, Dist 2; Sen. Bradley, Dist 3

COMMITTEE:

ANALYSIS

This house joint resolution makes temporary appropriations for the expenses and encumbrances of the state of New Hampshire.

.....

CHAPTER 158
HJR 2 – FINAL VERSION

15-1036
09/01

STATE OF NEW HAMPSHIRE

In the Year of Our Lord Two Thousand Fifteen

A RESOLUTION making temporary appropriations for the expenses and encumbrances of the state of New Hampshire.

Be it Enacted by the Senate and House of Representatives in General Court convened:

1 Whereas, a budget has not yet been enacted for fiscal years 2016 and 2017; and

2 Whereas, action at this time is necessary to carry out the functions of state government after
3 fiscal year 2015, and prior to enacting said budget act; now therefore be it

4 Resolved by the Senate and House of Representatives in General Court convened:

5 I.(a) That each state agency or state entity for which the general court appropriated funds
6 for its operating budget for fiscal year 2015 is authorized to obligate additional funds for
7 expenditures during the period this resolution is in effect at a rate not in excess of 6/12 of, and for
8 the same purposes of, the appropriations for fiscal year 2015 contained in HB 1-A of the 2013 regular
9 legislative session (2013, 143), unless due to an emergency it shall be otherwise authorized by the
10 governor with the advice and consent of the council with prior approval of the fiscal committee of the
11 general court. For the purposes of this resolution, the provisions of HB 2-FN-A-LOCAL of the 2013
12 regular legislative session (2013, 144) which relate to the 2014-2015 biennium shall apply; and

13 (b) Enactment of this resolution shall constitute acceptance by the state of federal funds
14 in such amounts as under applicable state or federal law shall be necessary to give effect to the
15 provisions of this resolution and shall further constitute the appropriation of such state funds as
16 under federal law shall be required to be added to such federal funds as a condition of their transfer
17 to the state. The authorization provided in this resolution shall be deemed to be a budget within the
18 meaning of RSA 9; and

19 (c) Funds subject to obligation under this resolution shall be deemed subject to transfer
20 under the provisions of RSA 9:16-9:17-d, with prior approval of the fiscal committee of the general
21 court. The governor is authorized by and with the advice and consent of the council to draw
22 warrants for the sums necessary to discharge obligations authorized by this resolution out of any
23 money in the treasury not otherwise appropriated or, in the case of special funds, out of any such
24 special funds. Expenditures obligated under authority of this resolution shall be charges upon any
25 appropriations subsequently enacted with respect to identical purposes and periods; and

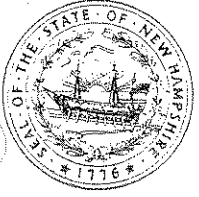
26 II. That the provisions of RSA 9 inconsistent with the provisions of this resolution and the
27 provisions of any other statutes so inconsistent are hereby suspended to the extent of such
28 inconsistencies during the time this resolution is in effect. The state of New Hampshire hereby
29 indemnifies any state official, commissioner, trustee, or other person having control of public funds

CHAPTER 158
HJR 2 - FINAL VERSION
- Page 2 -

1 appropriated by the general court for any liability personally incurred because of the provisions of
2 RSA 9:19 and RSA 9:20 for whatever period of time elapses from 12:01 a.m. July 1, 2015, until the
3 time that the provisions of this resolution making temporary appropriations become law; and

4 III. That this resolution shall take effect July 1, 2015 and shall continue in effect until an
5 operating budget is enacted into law but in no event later than January 1, 2016.

6 Approved: June 25, 2015



State of New Hampshire

FIS 15 112

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 FAX: 603-271-4912 TDD ACCESS: RELAY NH 1-800-735-2964

NICHOLAS A. TOUMPAS
COMMISSIONER

June 30, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Dear Chairman Kurk:

At the June 26, 2015 meeting of the Fiscal Committee, you requested the Department of Health and Human Services (DHHS) provide the Committee a letter clarifying the testimony made around the Monthly Dashboard, status of our lapse, and the expected Medicaid liability.

Dashboard:

DHHS initiated a monthly report on the status of spending against the legislatively approved budget when faced with funding challenges in 2007 related to the recession. This monthly report, now known as our Dashboard, has served as a management tool for DHHS and provided an early warning signal that DHHS was experiencing unexpected shortfalls and increased costs outside of the DHHS control. When preparing the biennial budget and the subsequent monitoring against that budget, DHHS, like other State agencies, manages spending on a cash basis.

Mandated reductions in the back of the budget, Governor executive orders, unexpected cost increases (Medicaid caseloads), lawsuits/settlements (Community Mental Health Agreement & hospital settlements) and requirements to stand up new programs (SB 413) are several examples of where shortfalls can arise. Since asking for additional appropriations is not a viable option, DHHS uses the Dashboard to identify and communicate to the executive and legislative branches potential solutions to fund the unexpected shortfalls so that actions can be implemented.

Lapses:

In selecting options to address shortfalls, DHHS has consistently included only surpluses and budget savings that are being derived as part of a formal spending/reduction plan. Use of funds that would normally lapse in the ordinary course of business has been avoided. Until SFY15, this has been proven true as DHHS has consistently met the legislative budget lapse expectations. When first faced with the significant budget shortfalls for SFY15, DHHS, for the first time, provided a funding solution that relied on funds that would otherwise lapse. If spending patterns had continued as predicted in early SFY 2015, DHHS would have relied on funds that would have otherwise lapsed to cover the expected shortfalls and would have been unable to meet the legislative lapse expectation. Beginning late Spring 2015, DHHS began projecting surpluses in certain accounts that normally would have had minimal lapses. As a primary example, in previous years the lapse amounts for the Disability Services budget were less \$5 million general funds. That lapse is expected to be \$13 million general funds in SFY15.

As stated previously, DHHS manages spending and potential shortfalls based on cash payments made within the 12 months of the legislatively approved budget. We do not budget or plan for cash payments that would be made outside of the state fiscal year. Lapses are the result of comparing the legislative budget less cash payments made during that budget cycle. We do not adjust or project lapses for cash payments made outside of the budget fiscal year. Since the State accounting system closes on July 24, 2015, the actual lapse will not be known until then.

Medicaid Liability:

Outside of the State accounting system, the Department of Administrative Services (DAS) records several entries to convert the cash-based accounting and budget system to accrual accounting under Generally Accepted Accounting Principles (GAAP) for the audited Comprehensive Annual Financial Report (CAFR). DHHS along with every State agency provides DAS with data related to future payments that may be made in the next fiscal year for services provided during the fiscal year being closed. For example, cash payments made in July that are related to goods or services delivered in June. Another common example is the payroll adjustment made for employees who work during the last few weeks of June paid in July. For each of these items, DAS records the expense for those future payments back to the prior fiscal year. This creates an increase in charges back to the prior fiscal year even though cash payments were not made. Those cash payments, even though they are reported under the prior year for the audit, are charged against the next fiscal year budgeted appropriation when paid. As a result of these off-book adjustments, lapses and surpluses seen on an accrual basis may look very different from what each department reported on a cash basis per the state's accounting system.

DHHS's largest GAAP adjustment for the audit is the Medicaid liability. Below is a table that represents actual historical amounts regarding the Medicaid liability.

DHHS - Medicaid Liability				SFY 11	SFY 12	SFY 13	SFY 14
Amounts in Millions							
Medicaid Liability, Total reported by DHHS				98.80	93.80	110.40	200.00
Medicaid Liability General Fund reported by DHHS				35.10	32.30	37.70	77.90
General Fund Adjustments made by DAS				-	(8.00)	(12.30)	(34.80)
Net General Fund liability in CAFR				35.10	24.30	25.40	43.10
General Fund Impact/Change in liability year to year					(10.80)	1.10	17.70

As you can see from the previous table, the general fund impact from the Medicaid liability as calculated by DHHS was relatively stable from SFY11 through SFY13. The real impact on the Medicaid liability began in SFY14. The main drivers that increased the liability in SFY14 include:

1) Change from 1 month lag under the FFS model to a 3 month lag under MCO

Prior to managed care, a normal lag in payments was about 30 – 45 days. In SFY14 the contract with the Managed Care Organizations (MCOs), included language for a 3 month lag payment lag.

July 6, 2015

Meaning services delivered in April are paid in July, services delivered in May are paid in August, and services delivered in June are paid in September. This had the effect of increasing the Medicaid liability lag from 30-45 day to three months.

2) Increase in Caseloads - MAGI

During SFY14, caseloads increased significantly as a result of the MAGI eligibility processes (modified adjusted gross income – a federal eligibility change).

3) MMIS conversion and Provider Recoupments

In March 2013 DHHS converted and upgraded its Medicaid Management Information System (MMIS) which is used to process Medicaid provider payments. During the conversion/transition DHHS made contingency/prepayments to providers to minimize any fiscal impact during the system transition. When DHHS recouped these advance payments in SFY14, they were not accounted for as new revenue received but had the result of reducing the SFY14 expenses. Since the net effect was reducing the current year expense, this created larger unexpended budget appropriations at year end. These funds were brought forward and were part of the offset to the SFY14 liability as part of the DAS adjustment.

4) Rate Increases

As a shift to managed care, DHHS went from paying claims on a fee-for-service model to a per member per month, a fixed capitated payment that is paid to each MCO.

At this time, the SFY15 liability is not known. As part of the closing process and preparing for the State-wide audit, DHHS reports the Medicaid liability to DAS in early September. This September due date is consistent with prior year time lines. What we do know at this time is that for SFY15 we have the same 3 month lag as first seen in SFY14, there are changes in caseload and the mix of clients among different eligibility categories, changes to the rates paid to the MCOs, and no recoupment offsets. All of these factors may contribute to a larger Medicaid liability for SFY15.

Summary:

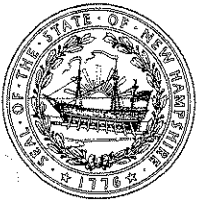
Until the State accounting system closes on July 24, DHHS will continue to monitor spending and lapses. Lapses reported at the end of the close of the system will be reported on a cash basis. As part of the audit requirements, DHHS will provide DAS with the Medicaid liability in early September for inclusion in the State's unaudited financial statements on September 30, 2015. Once these steps are completed, we will be able to report lapses and carried forward balances that may be available for the SFY16-17 budget.

Sincerely,



Sheri L. Rockburn, CPA
Chief Financial Officer

CC: Meredith Telus, Governor's Budget Director
Commissioner Toumpas
Deputy Commissioner Nihan



John T. Beardmore
Commissioner

State of New Hampshire **FIS 15 119**
Department of Revenue Administration

109 Pleasant Street
PO Box 457, Concord, NH 03302-0457
Telephone 603-230-5005
www.nh.gov/revenue



Kathryn E. Skouteris
Assistant Commissioner

July 2, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Re: April through June 2015 Refund Report

Dear Representative Kurk:

Pursuant to revised RSA 21-J:45, enclosed is the Department of Revenue Administration's Quarterly Refund Report for the months of April through June 2015. This report is produced on a quarterly basis for requested tax refunds and provides a 5-year refund analysis.

Feel free to contact me with any questions or concerns.

Sincerely,

John T. Beardmore
Commissioner

Enclosure

TDD Access: Relay NH 1-800-735-2964

Individuals who need auxiliary aids for effective communication in programs and services of the Department of Revenue Administration are invited to make their needs and preferences known to the Department.

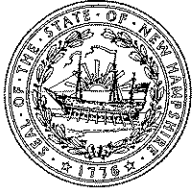
Department of Revenue Administration
 Quarterly Refund Report
 FY2015 Quarter 4 (April - June)

	A	B	C	D	E
		Business Taxes		Interest and Dividends Tax	
		\$	#	\$	#
1	Requested Refunds Outstanding at Start of Quarter	\$ 3,627,101	422	\$ 1,060,813	306
2	Requested Refunds Initiated Current Quarter	\$ 5,796,624	1554	\$ 1,177,090	1741
3	Requested Refunds Paid Current Quarter	\$ 5,913,456	1682	\$ 1,332,514	1896
4	Requested Refunds Outstanding at End of Quarter ¹	\$ 4,314,767	264	\$ 869,093	131

<u>Business Taxes</u>					
		Quarter 1 (Jul - Sep)	Quarter 2 (Oct - Dec)	Quarter 3 (Jan - Mar)	Quarter 4 (Apr - Jun)
5	Five-Year History of Requested Refunds Paid				
6	FY2015	\$ 6,722,636	\$ 10,540,238	\$ 8,863,033	\$ 5,913,456
7	FY2014	\$ 3,884,913	\$ 16,260,550	\$ 7,237,481	\$ 5,537,410
8	FY2013	\$ 3,420,723	\$ 1,894,055	\$ 11,163,932	\$ 11,599,580
9	FY2012	\$ 5,323,035	\$ 8,250,900	\$ 4,012,814	\$ 6,048,512
10	FY2011	\$ 6,390,206	\$ 11,739,293	\$ 10,604,106	\$ 5,570,492
11	FY2010	\$ 3,827,473	\$ 13,108,991	\$ 14,423,748	\$ 9,279,202

<u>Interest and Dividends Tax</u>					
		Quarter 1 (Jul - Sep)	Quarter 2 (Oct - Dec)	Quarter 3 (Jan - Mar)	Quarter 4 (Apr - Jun)
12	Five-Year History of Requested Refunds Paid				
13	FY2015	\$ 770,008	\$ 1,807,347	\$ 517,185	\$ 1,332,514
14	FY2014	\$ 1,230,552	\$ 3,082,684	\$ 930,216	\$ 2,611,630
15	FY2013	\$ 923,696	\$ 360,903	\$ 2,168,976	\$ 1,363,645
16	FY2012	\$ 1,656,963	\$ 2,986,203	\$ 191,378	\$ 1,725,833
17	FY2011	\$ 1,122,034	\$ 1,871,547	\$ 1,366,871	\$ 3,094,500
18	FY2010	\$ 1,168,054	\$ 2,429,978	\$ 1,473,764	\$ 3,525,738

¹ Note: B1 + B2 - B3 will not always equal B4 and D1 + D2 - D3 will not always equal D4
 This is because requests for refund are closed in ways other than payment, including offsetting a tax notice, the request being out of statute and the request not being valid.



FIS 15 127

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF MEDICAID BUSINESS AND POLICY

Nicholas A. Toumpas
Commissioner

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9422 1-800-852-3345 Ext. 9422

Fax: 603-271-8431 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

Sheri L. Rockburn
Controller

June 30, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Dear Chairman Kurk:

At the June 26, 2015 meeting of the Fiscal Committee, it was requested the Department provide to the Committee a letter detailing the 2014 and 2015 Medicaid Enhanced Tax (MET) revenue amounts. The chart below details the total actual MET revenue received and the distribution by program. For SFY 14 and 15, the order of priority for the distribution of MET revenue was: Provider Payments, Critical Access Hospitals and then the non-Critical Access Hospitals.

(Million)	SFY 2014 Actual	SFY 2015 Actual
Total MET Agency Income (Appropriation 7940 & 7943)	\$180.4	\$198.5

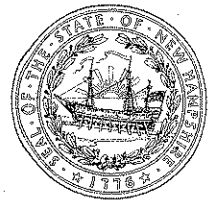
Distribution of MET Revenue	SFY 2014 Actual	SFY 2015 Actual
Critical Access Hospitals	\$14.5	\$17.8
Non-Critical Access Hospitals	\$11.9	\$16.6
Subtotal MET revenue used to fund DSH Payments to Hospitals	\$26.4	\$34.4
Medicaid Provider Payments	\$154.0	\$164.1
Total Distribution MET agency income	\$180.4	\$198.5

Sincerely,

Sheri L. Rockburn, CPA
Chief Financial Officer
Department of Health and Human Services



The State of New Hampshire
DEPARTMENT OF ENVIRONMENTAL SERVICES



Thomas S. Burack, Commissioner

FIS 15 135

June 30, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House, Room 102
Concord, NH 03301

Informational Item

Pursuant to Chapter 280, Laws of 2012 (House Bill 1487), DES is providing the Fiscal Committee with this report for expenses associated with the participation of department staff in regional discussions regarding a low carbon fuel standard for the period including January 1 to June 30, 2015. During this period department staff spent one hour participating in conference calls or reviewing documents associated with this regional discussion for a total cost, including salary and benefits, of \$59.94.

If you have any questions regarding this report please feel free to contact Rebecca Ohler (rebecca.ohler@des.nh.gov; 271-6749) or me at (thomas.burack@des.nh.gov; 271-2958).

Sincerely,

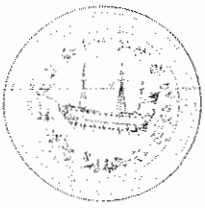
Susan Pulsom, COO
for Thomas S. Burack
Commissioner

Cc: Rebecca Ohler, DES

DES Web site: www.des.nh.gov

P.O. Box 95, 29 Hazen Drive, Concord, New Hampshire 03302-0095

Telephone: (603) 271-1370 • Fax: (603) 271-1381 • TDD Access: Relay NH 1-800-735-2964



THE STATE OF NEW HAMPSHIRE
INSURANCE DEPARTMENT

FIS 15 168

21 SOUTH FRUIT STREET SUITE 14
CONCORD, NEW HAMPSHIRE 03301

Roger A. Sevigny
Commissioner

Alexander K. Feldvebel
Deputy Commissioner

August 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Executive Council
State House
Concord, NH 03301

Requested Action

1. Pursuant to RSA 9:16-c, Transfer of Federal Grant Funds, authorize the Insurance Department to reduce the appropriated funds down by (\$90,406) to the grant fund balance available (Health Insurance Premium Review Grant) and to realign the remaining appropriation for FY 2016; effective upon Fiscal Committee and Governor and Council approval through December 31, 2015. This grant is from the U.S. Department of Health and Human Services ("USDHHS") to improve health insurance rate filing requirements, enhance consumer protection standards related to health insurance premium rate filings, provide additional IT capacity for processing, to review health insurance premium rate filings, and for reporting to the US Secretary of Health and Human Services on health insurance premium rate increase patterns. 100% Federal Funds.

Funds will be budgeted as set forth in the table below.

FY 2015-2017 Health Insurance Premium Review Grant
02-24-24-2400-59780000

Fiscal Year 2016				
Class/Acct	Description	2016 Adjusted Authorized	Requested Action	Revised Appropriation
20-500200	Current Expense	\$2,557	(\$1,543)	\$1,014
27-502799	Transfers to DOIT	\$10,416	(\$10,416)	\$0
30-500311	Equipment New Replacement	\$15,375	(\$15,375)	\$0
41-500801	Audit Set Aside	\$7,996	(\$6,934)	\$1,062
46-500464	Consultants	\$309,568	(\$177,784)	\$131,784
48-500226	Contractual MAINT Build	\$700	(\$700)	\$0
49-500294	Transfers to Other Agencies	\$0	\$155,000	\$155,000
50-500019	Personal Services Part Time Temp	\$4,506	(\$1,006)	\$3,500
60-500611	Benefits	\$268	(\$1)	\$267
62-500537	Workers Compensation	\$10,334	(\$10,334)	\$0
66-500555	Employee Training	\$3,125	(\$3,125)	\$0
69-500567	Promotional Marketing Exp.	\$2,705	(\$2,705)	\$0
70-500709	In State Travel Reimbursement	\$2,983	(\$2,983)	\$0
80-500710	Out Of State Travel Reimbursement	\$12,500	(\$12,500)	\$0
	Total Appropriation	\$383,033	(\$90,406)	\$292,627
	Source of Funds			
0	Federal Funds	\$383,033	(\$90,406)	292,627

EXPLANATION

The New Hampshire Insurance Department has received a federal grant to support improving the health insurance premium rate review process and transparency related to health insurance premiums and medical care costs in New Hampshire. The Health Insurance Premium Rate Review Grant –Cycle II is made available pursuant to Public Law 111-148 (The Patient Protection and Affordable Care Act). The purpose of the grant program is to help make private health insurance more accessible and affordable and increase the transparency of the health insurance system by providing grants to states to help them improve the health insurance rate review process. The Insurance Department currently reviews health insurance premium rates, and has been deemed to be an effective rate review state by HHS. However, the grant will enhance current efforts and will provide greater transparency and public input into the rate approval process.

We are requesting the re-alignment of this grant to allow for the continuation of the efforts to improve our health insurance rate review process during FY2016. The following appropriation authority is being requested for Fiscal Year 2016:

1. Class 020 – Current Expenses – FY16 - \$1,014. These reduced funds are required in order to provide general supplies to the part-time employee, conference call between the department and consultants, and miscellaneous expenses (postage, printing).
2. Class 027 – Transfers to DOIT – FY16 - \$0. Funding eliminated.
3. Class 030 – Equipment New/Replacement – FY16 - \$0. Funding eliminated.
4. Class 041 – Audit set aside – FY16 - \$1,062. These reduced funds are required in order to meet audit costs associated with the grant program.
5. Class 046 – Consultants – FY16 - \$131,784. These reduced funds are required to hire consultants to perform quantitative analysis of various data sources, create futuristic models of the insurance marketplace, improve the transparency of information for consumers, and enhance the HealthCost website as a centralized location for health care price information.
6. Class 048 – Contractual Main Building – FY16 - \$0. Funding eliminated.
7. Class 049 – Transfer to Other State Agencies –FY16 - \$155,000. Appropriation is needed of this class code to allow for the transfer of funds to DHHS to support NHCHIS data consolidation efforts.
8. Class 050 – Personal Services – PT Temp – FY16 - \$3,500. These reduced funds are set aside in order to pay the budgeted part time employee to administer the grant program.

9. Class 060 – Benefits – FY16 - \$267. These reduced funds are necessary for the payroll contributions for the part-time employee.

10. Class 062 – Workers Compensation – FY16 - \$0. Funding eliminated.

11. Class 066 – Employee Training – FY16 - \$0. Funding eliminated.

12. Class 069 – Promotional Marketing Exp. – FY16 - \$0. Funding eliminated.

13. Class 070 – In State Travel Reimbursement – FY16 - \$0. Funding eliminated.

14. Class 080 – Out of State Travel Reimbursement – FY16 - \$0. Funding eliminated.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

1. List of personnel involved: This federal grant will continue to fund a part time temporary position of Grants and Contracts Technician (Labor Grade 15). We are using the Supplemental Job Description that was approved by the Director of Personnel on December 1, 2010. This position is currently funded by the "Grant to Support States in Health Insurance Rate Review" Grant Cycle II which ends 09/30/2015, Rate Review Grant Cycle III which ends 09/30/2015, Rate Review Cycle IV which ends 9/18/2016, and CY2014 Level I Establishment Grant which ends 12/31/2015.

The Insurance Department will continue funding existing vendors and pay them from the Consultants line item.

2. Nature, need, and duration: The position of Grants and Contracts Technician will be serving as the financial records assistant, maintaining all financial records and creating requisite financial reports for the grant. The Department will also employ various contractors to work on this project. Funding for this position and various consultant contracts will be good through December 31, 2015.

3. Relationship to existing agency program: The funds from this federal grant will be used to enhance Insurance Department functions related to our existing health insurance premium rate review process. Funds will be used to improve the transparency of cost drivers in the New Hampshire health insurance system by providing the means to improve current data sources and in turn the health insurance rate review process.

4. Has a similar program been requested of the legislature and denied? The nature of the work to be completed under the grant has never been requested and denied by the General Court.

5. Why wasn't funding included in the agency's budget request? This request is due to changes in the project.

6. Can portions of the grant funds be utilized? 100% of federal grant funds can be used to fund the personnel costs and all program costs associated with this request.

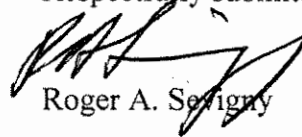
7. Estimate the funds required to continue this position(s): There will be no cost to the State of New Hampshire associated with this position or any consultants as all will terminate at the end of the grant period.

FISCAL SITUATION

Grant Award Cycle I (Net)	\$520,196
Cycle I Amount Spent (Fiscal Year 2012)	(\$520,196)
Grant Award Cycle II	\$3,564,938
Less Amount Spent Fiscal Year 2012	\$146,105
Less Amount Spent Fiscal Year 2013	\$1,537,591
Less Amount Spent Fiscal Year 2014	\$819,930
Less Amount Spent Fiscal Year 2015	<u>\$768,685</u>
Grant Balance Remaining	<u>\$292,627</u>
FY2016 Approved Appropriation	\$383,033
FY2016 This Request	(\$90,406)
Total FY2016 Appropriation	<u>\$292,627</u>

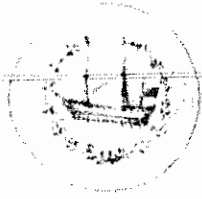
In the event that federal funds become no longer available, General Funds will not be requested to support this program. Thank you for your consideration.

Respectfully submitted,



Roger A. Seigny

Enclosures



THE STATE OF NEW HAMPSHIRE
INSURANCE DEPARTMENT

21 SOUTH FRUIT STREET SUITE 14
CONCORD, NEW HAMPSHIRE 03301

Roger A. Sevigny
Commissioner

Alexander K. Feldvebel
Deputy Commissioner

April 26, 2012

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

His Excellency, Governor John H. Lynch
And the Honorable Executive Council
State House
Concord, NH 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, authorize the Insurance Department to amend a Fiscal Committee and Governor and Council approved insurance FY 2012 rate review grant by extending the end date from June 30, 2012 to June 30, 2013, transferring funds in the amount of \$301,707 and establishing one class 049, Transfer to Other State Agencies. This grant was originally approved by the Fiscal Committee on Oct. 28, 2011 (Item FIS 11-305) and by the Governor and Council on Nov. 9, 2011 (Item #47). This action is to be effective upon Fiscal Committee and Governor and Council approval through June 30, 2013.
2. Pursuant to RSA 14:30-a, VI, authorize the Insurance Department to accept and expend federal grant funds in the amount of \$1,029,934.00 for FY 2013 from the U.S. Department of Health and Human Services for the purpose of improving health insurance rate filing requirements, enhancing consumer protection standards related to health insurance premium rate filings, provide additional IT capacity for processing and reviewing health insurance premium rate filings, and for reporting to the US Secretary of Health and Human Services on health insurance premium rate increase patterns. Approval is sought for the period of July 1, 2012 through June 30, 2013. Source of Funds is 100% Federal Funds.
3. Pursuant to RSA 124:15 authorize the Insurance Department to continue a Class 050 (Personal Service – Part Time Temporary) position for one Grants & Contracts Technician in Account No. 02-24-24-2400-59780000 for the period of July 1, 2012 through June 30, 2013.

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court

His Excellency, Governor John H. Lynch
And the Honorable Council

April 26, 2012

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4. Pursuant to RSA 124:15 authorize the Insurance Department to continue a Class 046 (Consultants) to enter into contracts for consulting services with various vendors in Account Number 02-24-24-2400-59780000 in an amount not to exceed \$623,834 for the period of July 1, 2012 through June 30, 2013.

Funds will be budgeted as set forth in the table below. Source of funds: 100% Federal Funds.

FY 2012-2013 Health Insurance Premium Review Grant
02-24-24-2400-59780000

Class	Description	Fiscal Year 2012			Fiscal Year 2013
		Current Appropriation	Requested Change	Revised Appropriation	New Appropriation
020	Current Expense	\$5,483	\$0.00	\$5,483.00	\$2,483
022	Rents Leases	\$1,750	(\$1,750)	\$0	\$0
027	Information Systems	\$10,416	\$0	\$10,416	\$0
030	Equipment	\$16,400	\$0	\$16,400	\$5,000
041	Audit Set Aside	\$3,500	\$0	\$3,500	\$3,500
046	Consultants	\$917,599	(\$291,457)	\$626,142	\$623,834
048	Janitorial Services	\$700	\$0	\$700	\$0
049	Transfer To Other State Agencies	\$0	\$301,707	\$301,707	\$350,000
050	Personal Services Part Time Temp	\$18,974	\$0	\$18,974	\$23,000
060	Benefits	\$9,761	\$0	\$9,761	\$2,200
062	Workers Comp	\$2,917	\$0	\$2,917	\$2,917
069	Promotional Expense	\$7,000	(\$7,000)	\$0	\$10,000
070	In-State Travel	\$1,500	(\$1,500)	\$0	\$2,000
080	Out-of-State Travel	\$4,000	\$0	\$4,000	\$5,000
	Total Appropriation	\$1,000,000	\$0	\$1,000,000	\$1,029,934
	Source of Funds				
000	Federal Funds	\$1,000,000	\$0	\$1,000,000	\$1,029,934

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court

His Excellency, Governor John H. Lynch
And the Honorable Council

April 26, 2012

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EXPLANATION

The New Hampshire Insurance Department has received a federal grant to support improving the health insurance premium rate review process and transparency related to health insurance premiums and medical care costs in New Hampshire. The Health Insurance Premium Rate Review Grant – Cycle II is made available pursuant to Public Law 111-148 (The Patient Protection and Affordable Care Act). The purpose of the grant program is to help make private health insurance more accessible and affordable and increase the transparency of the health insurance system by providing grants to states to help them improve the health insurance rate review process. The Insurance Department currently reviews health insurance premium rates, and has been deemed to be an effective rate review state by HHS. However, the grant will enhance current efforts and will provide greater transparency and public input into the rate approval process.

The Fiscal Committee and Governor and Council approved the acceptance of \$1,000,000 in funding for this grant at the Fiscal Committee meeting on Oct. 28, 2011 (Item FIS 11-305) and at the Governor and Council meeting on Nov. 9, 2011 (Item #47).

The actions requested by this item are for the continuance of the grant initiative by extending the original end date to June 30, 2013 and for better utilization of the funding by making adjustments to specific operating expense authorizations.

The acceptance of additional funding, in the amount of \$1,029,934, is requested for the continuation of the grant to improve the health insurance rate review process and continue the support of a part-time grant manager and consultant class for vendor services during FY 2013.

The following changes in appropriation authority are being requested to maximize the use federal funds that had been budgeted in Fiscal Year 2012:

1. Class 022 – Rent Lease Other than State – FY12 - \$0. Anticipated funds for scanning and copying equipment beyond current resources not required.

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court

His Excellency, Governor John H. Lynch
And the Honorable Council

April 26, 2012

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2. Class 046 – Consultants – FY12 - \$626,142. These funds are required to hire consultants to perform quantitative analysis of various data sources, create macroeconomic models to predict migration of insured among markets, and study of New Hampshire insurance laws adversely impacting premiums.
3. Class 049 – Transfer to Other State Agencies – FY12 - \$301,707. Addition appropriation is needed of this class code to allow for the transfer of funds to DHHS to support NHCHIS data consolidation efforts.
4. Class 069 – Promotional and Marketing Expenses – FY12 - \$0. Promotional efforts to help keep the public informed about their role in the new Rate review process being developed has been delayed until FY2013.
5. Class 070 – In State Travel – FY12 - \$0. Anticipated travel to grant administration within the state has been delayed until FY2013.

The following changes in appropriation authority are being requested for additional grant funds available for expenditure during Fiscal Year 2013:

1. Class 020 – Current Expenses – FY13 - \$2,483. These funds are required in order to provide general supplies to the part-time employee.
2. Class 030 – Equipment (new/replacement) – FY13 - \$5,000. These funds are required to purchase necessary computer systems, software, and technical support for the part-time employee, the contracted project manager and internal Department support personnel who will be overseeing and/or supplementing the contractors' efforts.
4. Class 041 – Audit set aside – FY13 - \$3,500. These funds are required in order to meet audit costs associated with the grant program.
5. Class 046 – Consultants – FY13 - \$623,834. These funds are required to hire consultants to perform quantitative analysis of various data sources, create macroeconomic models to predict migration of insured among markets, and study of New Hampshire insurance laws adversely impacting premiums.
6. Class 049 – Transfers to Other State Agencies – FY13 - \$350,000. These funds required to allow for the transfer of funds to DHHS to support NHCHIS data consolidation efforts.

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court

His Excellency, Governor John H. Lynch
And the Honorable Council

April 26, 2012

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7. Class 050 – Personal Services – PT Temp – FY13 - \$23,000. These funds are set aside in order to pay the part time employee to administer the grant program.
8. Class 060 – Benefits – FY13 - \$2,200. These funds are necessary for the payroll contributions for of the part-time employee.
9. Class 062 – Workers Compensation – FY13 - \$2,917. These funds are necessary for the insurance support of the new employee.
10. Class 069 – Promotional and Marketing Expenses – FY13 - \$10,000. These funds are necessary for creating a consumer information platform to help keep the public informed about their role in the new Rate review process being developed.
11. Class 070 – In State Travel – FY13 - \$2,000. These funds are required for travel related to grant administration within the state.
12. Class 080 – Out of State Travel – FY13 - \$5,000. These funds are required for out of state travel related to grant administration.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

1. List of personnel involved: This federal grant creates a part time temporary position of Grants and Contracts Technician (Labor Grade 15) approved by the Director of Personnel on October 6, 2011. A copy of the director's approval is attached. Upon Fiscal Committee approval, Insurance Department will grant some contract to various vendors and pay them from the Consultants line item.
2. Nature, need, and duration: The position of Grants and Contracts Technician will be serving as the financial records assistant, maintaining all financial records and creating requisite financial reports for the grant. Department will also employ various contractors to work on this project. Funding for this position and various consultant contracts will be good through June 30, 2013.

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court

His Excellency, Governor John H. Lynch
And the Honorable Council

April 26, 2012

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3. Relationship to existing agency program: This grant will provide enhancements to current Insurance Department functions that include increased public accessibility to the health insurance premium rate review process. In addition, the federal grant funds will improve the transparency of cost drivers in the New Hampshire health insurance system by providing the means to improve current data sources and in turn the health insurance rate review process.
4. Has a similar program been requested of the legislature and denied? The nature of the work to be completed under the grant has never been requested and denied by the General Court.
5. Why wasn't funding included in the agency's budget request? The September 20, 2011 notification of the federal government's funding of this grant has prompted this Insurance Department request, and is the reason for not including funding for this activity in the department's budget request for FY 2013.
6. Can portions of the grant funds be utilized? 100% of federal grant funds can be used to fund the personnel costs and all program costs associated with this request.
7. Estimate the funds required to continue this position(s): There will be no cost to the State of New Hampshire associated with this position or any consultants as it will terminate at the end of the grant period.

FISCAL SITUATION

U.S. Department of Health and Human Services Appropriation	\$3,564,938.00
FY2012 Approved Appropriation	\$1,000,000.00
FY2013 Requested Appropriation	\$1,029,934.00
FY2014 Reserved Funds	<u>\$1,535,004.00</u>
Total Appropriations	\$3,564,938.00

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court

His Excellency, Governor John H. Lynch
And the Honorable Council

April 26, 2012
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In the event that federal funds become no longer available, General Funds will not be requested to support this program. Thank you for your consideration.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "RAS", written in a cursive style.

Roger A. Sevigny
Enclosures

1. DATE ISSUED MM/DD/YYYY 10/25/2011	2. CFDA NO. 93.511	3. ASSISTANCE TYPE Project Grant
1a. SUPERSEDES AWARD NOTICE dated 08/31/2011 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded		
4. GRANT NO. 6 IPRPR100026-01-02 Formerly	5. ACTION TYPE Post Award Amendment	
6. PROJECT PERIOD From 08/09/2010	Through 03/31/2012	
7. BUDGET PERIOD From 08/09/2010	Through 03/31/2012	

Department of Health and Human Services
Centers for Medicare & Medicaid Services
Office of Consumer Information and Insurance Oversight
 Grants, Contracts and Integrity Division
 7501 Wisconsin Ave West Tower
 Room 10-15
 Bethesda, MD 20814-6519
NOTICE OF AWARD
 AUTHORIZATION (Legislation/Regulations)
 Section 2794 of the Public Health Service Act (Section 1003 of the
 Affordable Care Act)

8. TITLE OF PROJECT (OR PROGRAM)
2010 Grants to States for Health Insurance Premium Review-Cycle I

9a. GRANTEE NAME AND ADDRESS
State of New Hampshire Insurance Department
21 S Fruit St Ste 14
Concord, NH 03301-2428

9b. GRANTEE PROJECT DIRECTOR
Ms. Leslie Ludtke
21 S Fruit St Ste 14
Concord, NH 03301
Phone: 603-271-7973 ext 246

10a. GRANTEE AUTHORIZING OFFICIAL
Ms. Leslie Ludtke
21 S FRUIT ST STE 14
CONCORD, NH 2428
Phone: 603-27-7973

10b. FEDERAL PROJECT OFFICER
Ms. Jacqueline Roche
200 Independence Ave Sw Rm 738-G
Washington, DC 20201
Phone: 202-260-6094

11. APPROVED BUDGET (Excludes HHS Direct Assistance)

I HHS Grant Funds Only

II Total project costs including grant funds and all other financial participation

a. Salaries and Wages	0.00
b. Fringe Benefits	0.00
c. Total Personnel Costs	0.00
d. Equipment	0.00
e. Supplies	3,000.00
f. Travel	0.00
g. Construction	0.00
h. Other	373,000.00
i. Contractual	624,000.00
j. TOTAL DIRECT COSTS	1,000,000.00
k. INDIRECT COSTS (rate of)	0.00
l. TOTAL APPROVED BUDGET	1,000,000.00
m. Federal Share	1,000,000.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION FOR GRANT

a. Amount of HHS Financial Assistance (from item 11m)	1,000,000.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	1,000,000.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	1,000,000.00

14. RECOMMENDED FUTURE SUPPORT
(Subject to the availability of funds and satisfactory progress of the project):

YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SUBJECT TO 45 CFR PART 74, SUBPART F, OR 45 CFR 92.25, SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

a. DEDUCTION
b. ADDITIONAL COSTS
c. MATCHING
d. OTHER RESEARCH (Add / Deduct Option)
e. OTHER (See REMARKS)

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, HHS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation cited above.
b. The grant program regulations cited above.
c. This award notice including terms and conditions, if any, noted herein under REMARKS.
d. HHS Grants Policy Statement including addenda in effect as of the beginning date of the budget period.
e. 45 CFR Part 74 or 45 CFR Part 92 as applicable.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

This Notice of Award approves the budget revisions as per the grantee's request date August 11, 2011.

GRANTS MANAGEMENT OFFICER: *Michelle Feagins*, Senior Grants Management Specialist

17. OBJ CLASS FY-ACCOUNT NO.	4121	18a. VENDOR CODE	1026000618M1	18b. EIN	026000618	19. DUNS	808591051	20. CONG. DIST.	02
21. a.	0-199RE19	b.	IPRPR0026A	c.	IPR	d.	\$0.00	e.	75040112
22. a.		b.		c.		d.		e.	
23. a.		b.		c.		d.		e.	

1. DATE ISSUED MM/DD/YYYY | 2. CFDA NO. | 3. ASSISTANCE TYPE
 08/08/2014 | 93.511 | Project Grant

Department of Health and Human Services
 Centers for Medicare & Medicaid Services
 Office of Acquisitions and Grants Management

7500 Security Boulevard
 Baltimore, MD 21244-1850

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
 Section 2794 of the Public Health Service Act (Section 1003 of the
 Affordable Care Act)

1a. SUPERSEDES AWARD NOTICE dated 11/09/2011
 except that any additions or restrictions previously imposed remain
 in effect unless specifically rescinded

4. GRANT NO. 4 PRPPR120031-01-02
 Formerly

5. ACTION TYPE
 Post Award
 Amendment

6. PROJECT PERIOD MM/DD/YYYY
 From 10/01/2011 Through 09/30/2015

7. BUDGET PERIOD MM/DD/YYYY
 From 10/01/2011 Through 09/30/2015

8. TITLE OF PROJECT (OR PROGRAM)
 Grants to Support States in Health Insurance Rate Review Grant Cycle II

9a. GRANTEE NAME AND ADDRESS
 State of New Hampshire Insurance Department
 21 S Fruit St
 Concord, NH 03301-2428

9b. GRANTEE PROJECT DIRECTOR
 Mr. Alexander Feldvebel
 21 South Fruit Street
 Concord, NH 03301-0000
 Phone: 6032712261

10a. GRANTEE AUTHORIZING OFFICIAL
 Mr. Alexander K. Feldvebel
 21 S Fruit St
 Concord, NH 03301-2428
 Phone: (603)271-2261

10b. FEDERAL PROJECT OFFICER
 Ms. Susan Lorden
 200 Independence Ave Sw Rm 738-G
 Washington, DC 20201-0004
 Phone: (301) 492-4162

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)

I Financial Assistance from the Federal Awarding Agency Only

II Total project costs including grant funds and all other financial participation

a. Salaries and Wages	99,967.00
b. Fringe Benefits	51,715.00
c. Total Personnel Costs	151,682.00
d. Equipment	25,200.00
e. Supplies	0.00
f. Travel	16,500.00
g. Construction	0.00
h. Other	128,556.00
i. Contractual	3,243,000.00
j. TOTAL DIRECT COSTS	3,564,938.00
k. INDIRECT COSTS	0.00
l. TOTAL APPROVED BUDGET	3,564,938.00
m. Federal Share	3,564,938.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION

a. Amount of Federal Financial Assistance (from item 11m)	3,564,938.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	3,564,938.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	3,564,938.00

14. RECOMMENDED FUTURE SUPPORT
 (Subject to the availability of funds and satisfactory progress of the project):

YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

a. DEDUCTION
 b. ADDITIONAL COSTS
 c. MATCHING
 d. OTHER RESEARCH (Add / Deduct Option)
 e. OTHER (See REMARKS)

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation
 b. The grant program regulations.
 c. This award notice including terms and conditions, if any, noted below under REMARKS.
 d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

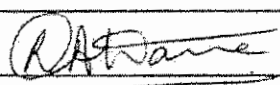
This Notice of Award approves the 12 Months No Cost Extension as per the grantees request dated August 6, 2014.

GRANTS MANAGEMENT OFFICER: Gabriel Nah

17. OBJ CLASS	4115	18a. VENDOR CODE	1026000618M1	18b. EIN	026000618	19. DUNS	808591051	20. CONG. DIST.	02
	FY-ACCOUNT NO.		DOCUMENT NO.		ADMINISTRATIVE CODE		AMT ACTION FIN ASST		APPROPRIATION
21. a.	1-5992933	b.	PRPPR0031A	c.	IPR	d.	\$0.00	e.	75X0112
22. a.		b.		c.		d.		e.	
23. a.		b.		c.		d.		e.	

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted Office of Acquisition and Grants Management		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) 6 IPRPR100026-01-02			Page 1	of pages	
3. Recipient Organization (Name and complete address including Zip code) State of New Hampshire Insurance Department, 21 South Fruit St, Suite 14, Concord, NH 03301							
4a. DUNS Number 8085910510000	4b. EIN 02-6000618	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual		
8. Project/Grant Period From (Month, Day, Year) To: (Month, Day, Year)			9. Reporting Period End Date (Month, Day, Year)				
10. Transactions					Cumulative		
<i>(Use lines a-c for single or multiple grant reporting)</i>							
Federal Cash (To report multiple grants, also use FFR Attachment):							
a. Cash Receipts				520,195.85			
b. Cash Disbursements				520,195.85			
c. Cash on Hand (line a minus b)				0.00			
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized				1000,000.00			
e. Federal share of expenditures				520,195.85			
f. Federal share of unliquidated obligations							
g. Total Federal share (sum of lines e and f)				520,195.85			
h. Unobligated balance of Federal funds (line d minus g)				479,804.15			
Recipient Share:							
i. Total recipient share required							
j. Recipient share of expenditures							
k. Remaining recipient share to be provided (line i minus j)							
Program Income:							
l. Total Federal program income earned							
m. Program income expended in accordance with the deduction alternative							
n. Program income expended in accordance with the addition alternative							
o. Unexpended program income (line l minus line m or line n)							
11. Indirect Expense							
	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:							
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:							
13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official Dave Ruju, Business Administrator				c. Telephone (Area code, number and extension) 603-271-7973 x240			
				d. Email address ruju.dave@ins.nh.gov			
b. Signature of Authorized Certifying Official 				e. Date Report Submitted (Month, Day, Year) 08062012			
14. Agency use only:							

Standard Form 425
OMB Approval Number: 0348-0061
Expiration Date: 10/31/2011

Paperwork Burden Statement

According to the Paperwork Reduction Act as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0060), Washington, DC 20503.



State of New Hampshire

DEPARTMENT OF SAFETY
 OFFICE OF THE COMMISSIONER
 33 HAZEN DR. CONCORD, NH 03305
 603/271-2791

JOHN J. BARTHELMES
 COMMISSIONER

August 4, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, the Department of Safety, Division of Homeland Security and Emergency Management, requests authorization to accept and expend major Disaster Assistance funds in the amount of \$4,439,989.00 from the Federal Emergency Management Agency (FEMA). Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding source: 100% Federal Funds.

Funds will be budgeted in an account titled Tropical Storm Irene DR-4026:

02-23-23-236010-08130000 Dept. of Safety Homeland Sec.-Emer. Mgmt. DR-4026-TS Irene (Major)
 Revenue Source: 400338

Class	Description	Current Budget	Requested Action	Revised Budget
000	Estimated Revenue	\$0.00	(\$4,439,989.00)	(\$4,439,989.00)
018-500106	Overtime	\$0.00	\$8,500.00	\$8,500.00
020-500200	Current Expense	\$0.00	\$12,000.00	\$12,000.00
030-500301	Equipment	\$0.00	\$1,500.00	\$1,500.00
040-500800	Indirect Cost	\$0.00	\$5,000.00	\$5,000.00
041-500801	Audit Fund	\$0.00	\$7,300.00	\$7,300.00
060-500601	Benefits	\$0.00	\$5,500.00	\$5,500.00
070-500704	In State Travel	\$0.00	\$3,200.00	\$3,200.00
072-500574	Grants - Federal	\$0.00	\$4,138,989.00	\$4,138,989.00
246-500792	Grantee Administrative Costs	\$0.00	\$33,000.00	\$33,000.00
247-500793	Sub-Grantee Administrative	\$0.00	\$225,000.00	\$225,000.00
	Total		\$4,439,989.00	\$4,439,989.00

Explanation

This request is to accept and expend major disaster funds from the Federal Emergency Management Agency.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016? **No.**
2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date? **No, this request is not retroactive.**
3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.) **This is a grant.**
4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied? **No.**
5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied? **No.**
6. Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.) **No.**
7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied? **The grant would not be utilized.**

Governor Lynch submitted a request to the President on September 2, 2011 for a major disaster declaration in Carroll, Coos, Grafton and Merrimack counties due to a severe weather event from August 26, 2011 and continuing involving flooding and flash flooding. The President declared the State of New Hampshire a major disaster area on September 3, 2011 and designated Carroll, Coos, Grafton and Merrimack counties eligible to receive public assistance.

Immediately after the initial disaster, FEMA issues funds as Public Assistance (PA) grants. These funds provide communities reimbursement for expenses incurred for debris removal, emergency protective measures, repair of roads and bridges, water facilities, buildings and equipment utilities, etc. These PA grants can remain open to drawdown funds for as many as two to four years.

The request to accept \$4,439,989.00 represents the federal share of the public assistance and administrative cost allowances for the grantee and sub-grantees. Several New Hampshire State Agencies, including the Department of Transportation, the Department of Environmental Services, the Department of Resources and Economic Development, and the Department of Safety, along with over 100 New Hampshire municipalities are expected to apply for these supplemental assistance funds with the Federal Emergency Management Agency. The State has one year to submit applications for these funds. Once applications are approved by FEMA then a list of awarded towns can be compiled.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

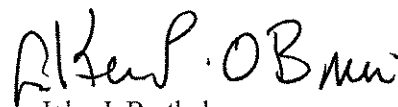
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 4, 2015
Page 3 of 3

The funding mechanism is 75% Federal / 25% State/Local share. The 25% required match for State Agency projects will be paid from existing budgeted appropriations or an additional request for these funds will be made through the State Legislature. The local share is the responsibility of the local applicant. The administrative cost allowance is 100% federally funded and is awarded as a percentage of the public assistance project awards, if requested. Indirect costs are budgeted based only on the Grantee Administration portion of the funds, as all other funds are considered pass-through funds and are not subject to indirect costs.

- Class 018 The funds will be used for overtime costs associated with evening meetings, workshops, project monitoring, and administration.
- Class 020 The funds will be used for supplies, materials, and operating expenses associated with administering disaster assistance projects.
- Class 030 The funds will be used to purchase office equipment identified as necessary with administration of the funds.
- Class 040 The funds will be used to pay state indirect costs.
- Class 041 The funds will be used to pay audit fund set aside expense.
- Class 060 The funds will be used to pay benefits costs associated with overtime and temporary personnel.
- Class 070 The funds will be used to cover travel expenses associated with community visits.
- Class 072 The funds will be used for disaster assistance grants to communities and state agencies.
- Class 246 The funds will be used for grantee administrative costs.
- Class 247 The funds will be used for sub-grantee administrative costs.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,



John J. Barthelmes
Commissioner

Homeland Security and Emergency Management
DR 4026-TS Irene (Major)

Fiscal Situation: Account 02-23-23-236010-08130000

Federal Funds Awarded:

Public Assistance DR 40256 Tropical Storm Irene \$17,421,662.58

Total Grant Funds Awarded \$17,421,662.58

Less expenses in FY 2012 (\$8,649,113.90)

Less expenses in FY 2013 (\$1,807,139.36)

Less expenses in FY 2014 (\$441,575.92)

Less expenses in FY 2015 (\$2,083,843.50)

Total Prior Fiscal Year Actual Expenses (\$12,981,672.68)

Net Grant Funds Remaining \$4,439,989.90

This Request \$4,439,989.90

Awarded Projects



Date: 07/16/2015 16:10

Federal Emergency Management Agency
Public Assistance Summary (S.S)
Disaster: FEMA-4026

Total Number of Records: 12

Categories	Initial 100%	Current 100%	Total Requested (100% PWs)	Federal Share Requested	Amount Obligated Federal Share	Pending Obligation in IFMIS	On Hold (100%)
A. DEBRIS REMOVAL	\$67,500.00	\$510,310.88	\$510,310.88	\$382,733.27	\$382,733.27	\$0.00	\$0.00
B. PROTECTIVE MEASURES	\$406,000.00	\$1,790,420.22	\$1,790,420.22	\$1,342,815.24	\$1,342,815.25	\$0.00	\$0.00
C. ROADS & BRIDGES	\$1,728,552.00	\$15,609,030.52	\$15,539,098.26	\$11,654,324.03	\$11,654,324.03	\$0.00	\$0.00
D. WATER CONTROL FACILITIES	\$0.00	\$333,724.45	\$333,724.45	\$250,293.35	\$250,293.35	\$0.00	\$0.00
E. PUBLIC BUILDINGS	\$50,000.00	\$127,317.06	\$127,317.06	\$95,487.81	\$95,487.81	\$0.00	\$0.00
F. PUBLIC UTILITIES	\$288,000.00	\$2,122,128.61	\$2,122,128.61	\$1,591,596.47	\$1,591,596.47	\$0.00	\$0.00
G. RECREATIONAL OR OTHER	\$0.00	\$2,784,668.49	\$2,784,668.49	\$2,088,501.40	\$2,088,501.40	\$0.00	\$0.00
Total PWs	\$2,540,052.00	\$23,277,600.23	\$23,207,667.97	\$17,405,751.57	\$17,405,751.58	\$0.00	\$0.00
Grantee Admin Cost			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Subgrantee Admin Cost			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Z. STATE MANAGEMENT		\$422,171.00	\$15,911.00	\$15,911.00	\$15,911.00	\$0.00	\$0.00
Total Administrative Cost		\$422,171.00	\$15,911.00	\$15,911.00	\$15,911.00	\$0.00	\$0.00
Grand Total:	\$2,540,052.00	\$23,699,771.23	\$23,223,578.97	\$17,421,662.57	\$17,421,662.58	\$0.00	\$0.00

Current Cost Estimate Notes

04/21/2015 Update Current 100% to account for additional obligations Cat C, by \$1,001,450, PW 212 and PW 589 that added engineering costs not previously included.-A. Galluzzo 03/19/2015 Increase Current 100% Cat C to \$11,669 to \$14,607,580.22 to account for PW 415 obligation.-A. Galluzzo 7/1/2014 Update Current 100% Cat C reduced to \$14,383,229 to leave \$100K for future years, Cat Z no change, Cat B decrease \$50K, D increase \$39,671, and F decrease \$339,103 so Current 100% updated to equal Total Requested 100%. -A. Galluzzo 3/28/2014 Update Current 100% Cat C from \$13,624,752.97 to \$14,446,747 for spend plan.-A. Galluzzo 1/27/2014 Update Current 100% to account for a \$2MM increase in PW 212(0). -A. Galluzzo Update Current 100% Cat Z based on 12 month lock-in letter.

Public Assistance Summary (S.5)
 Count: Applicants - 140
 Subdivisions - 0

Type of Applicant	APPLICANTS				SUBDIVISIONS			
	No. of Applicants	Eligible	Elig Ineligible	Unknown	No. of Subdivisions	Eligible	Elig Ineligible	Unknown
Nonprofit with 501(c)3 IRS Status (Other than Institution of Higher Education)	5	2	0	3	0	0	0	0
Special District Government	9	8	0	1	0	0	0	0
Public/State Controlled Institution of Higher Education	3	3	0	0	0	0	0	0
Independent School District	4	4	0	0	0	0	0	0
Other (specify)	2	2	0	0	0	0	0	0
Private Institution of Higher Education	1	1	0	0	0	0	0	0
City or Township Government	108	103	0	5	0	0	0	0
State Government	8	7	0	1	0	0	0	0
Totals:	140	130	0	10	0	0	0	0

Number of RPAs in Eligibility Review:	0	Number of Closed RPAs:	133
Number of Open RPA	7	Override Projected Large Projects:	0
CMF Projected Large Projects:	44	Override Projected Small Projects:	0
CMF Projected Small Projects:	541	By Subgrantees	By Grantees
No. of PW Change Requests	0	Unobligated	Obligated
No. of PWs	7		
			67
			Total
			583
			590

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: Application: <input checked="" type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Preapplication: <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED September 2, 2011	Applicant Identifier
	3. DATE RECEIVED BY STATE September 3, 2011	State Application Identifier
	4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name: State of New Hampshire Organizational Unit: Dept. of Safety, HSEM

Address (give city, county, state, and zip code):
33 Hazen Drive
Concord, NH 03305

Name and telephone number of person to be contacted on matters involving this application (give area code):
Michael Poirier
603-271-2231

6. EMPLOYER IDENTIFICATION NUMBER (EIN):
0021 - 6000548

7. TYPE OF APPLICANT: (enter appropriate letter in box) A

A. State H. Independent School Dist.
B. County I. State Institution of Higher Learning
C. Municipal J. Private University
D. Township K. Indian Tribe
E. Interstate L. Individual
F. Intermunicipal M. Profit Organization
G. Special District N. Other (Specify):

8. NAME OF FEDERAL AGENCY:
DHS/REMA

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
007 - 036

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
Severe flooding and flash flooding beginning August 26, 2011 and continuing causing damages to State and community infrastructures in Carroll, Coos, Grafton and Merrimack Counties

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
Carroll, Coos, Grafton and Merrimack Counties

13. PROPOSED PROJECT:

Start Date	Ending Date	a. Applicant	b. Project
09/03/11	06/30/2015	District 3 & 2	

14. CONGRESSIONAL DISTRICTS OF: 1 and 2

15. ESTIMATED FUNDING:

a. Federal	\$	20,000,000.00
b. Applicant	\$	6,666,667.00
c. State	\$	
d. Local	\$	
e. Other	\$	
f. Program Income	\$	
g. TOTAL	\$	26,666,667.00

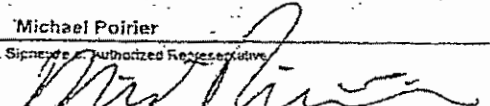
16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:
DATE: _____

b. NO. PROGRAM IS NOT COVERED BY E.O. 12372
 OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
 Yes If "Yes," attach an explanation No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Type Name of Authorized Representative <u>Michael Poirier</u>	b. Title <u>Alternate GAR</u>	c. Telephone Number <u>603-223-3639</u>
c. Signature of Authorized Representative 		e. Date Signed <u>September 12, 2011</u>



State of New Hampshire

FIS 15 165

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

August 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a VI, the Department of Safety, Division of Homeland Security and Emergency Management, requests authorization to accept and expend Hazard Mitigation Grant Program (HMGP) funds from the Federal Emergency Management Agency (FEMA) for DR-4006 May 2011 floods, DR-4049 October 2011 Snowstorm, DR-4065 May 2012 floods and DR-4139 July 2013 floods in the amount of \$2,237,568.00 for the implementation of cost effective mitigation projects. Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding Source: 100% Federal Funds.

Funds are to be budgeted in SFY 2016 in the following account:

02-23-23-236010-29210000 Dept. of Safety HSEM Hazard Mitigation Grant Program-FEMA

	<u>Current Adjusted Authorized</u>	<u>Requested Action</u>	<u>Revised Adjusted Authorized</u>
000-408121 Federal Funds	\$0	(\$2,237,568.00)	(\$2,237,568.00)
018-500106 Overtime	\$0	\$6,500.00	\$6,500.00
020-500200 Current Expense	\$0	\$2,869.00	\$2,869.00
030-500311 Equipment	\$0	\$2,114.00	\$2,114.00
040-500800 Indirect Costs	\$0	\$10,709.00	\$10,709.00
041-500801 Audit	\$0	\$2,455.00	\$2,455.00
060-500601 Benefits	\$0	\$1,295.00	\$1,295.00
070-500705 In State Travel	\$0	\$3,015.00	\$3,015.00
072-500574 Grants Federal	\$31,204.00	\$2,129,694.00	\$2,160,898.00
080-500710 Out of State Travel	\$0	\$2,914.00	\$2,914.00
246-500792 Grantee Admin Costs	\$0	\$76,003.00	\$76,003.00
Total Appropriation	\$31,204.00	\$2,237,568.00	\$2,268,772.00

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 4, 2015
Page 2 of 3

Explanation

The total amount of \$2,237,568.00 from FEMA consists of \$196,371.00 for DR-4006 May 2011 floods, \$532,223.00 for DR-4049 October 2011 Snowstorm, \$401,251.00 for DR-4065 May 2012 floods, and \$1,107,723.00 for DR-4139 July 2013 floods.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016? **No.**
2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date? **No, this request is not retroactive.**
3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.) **This is a grant.**
4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied? **No.**
5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied? **No.**
6. Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.) **No.**
7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied? **The grant would not be utilized.**

Immediately after an initial disaster, FEMA issues funds as Public Assistance (PA) grants. These funds provide communities reimbursement for expenses incurred for debris removal, emergency protective measures, repair of roads and bridges, water facilities, buildings and equipment utilities, etc. These PA grants can remain open to drawdown funds for as many as two to four years. Hazard Mitigation Grant Program (HMGP) funds are based on 15% of the first 2 billion dollars of estimated aggregate amounts of disaster assistance. The State has one year to submit applications for these funds. Once applications are approved by FEMA then a list of awarded towns can be compiled.

The Hazard Mitigation Grant Program (HMGP) provides funding to states and communities (sub-grantees) for cost-effective hazard mitigation activities that complement a comprehensive mitigation program. FEMA provides HMGP funds to states following presidentially declared disasters where the FEMA Public Assistance Program is employed that, in turn, provide sub-grants or contracts for a variety of mitigation activities, such as planning and the implementation of projects identified through the evaluation of natural hazards. The cost share is 75% Federal funds and a 25% applicant soft-match. The sub-grantee will provide and document the program match requirements. The management costs associated with the Hazard Mitigation Grant Program are 100% federal funds and do not require a matching percentage.

<u>Class Code</u>	<u>Title</u>	<u>Description</u>
018	Overtime	Provides funding for full-time staff working extra hours to manage the Hazard Mitigation Assistance programs.
020	Current Expense	Allows for purchasing supplies needed to manage the Hazard Mitigation Assistance programs.
030	Equipment	Allows for purchasing equipment needed for project management.
040	Indirect Cost	Represents the associated amount for this request.
041	Audit Fund Set Aside	Represents the associated amount for this request.
060	Benefits	Provides funding associated with Class Code 018.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

August 4, 2015


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070	In-State Travel	Provides funding for travel to manage the Hazard Mitigation Assistance programs statewide.
072	Grants	Provides funding for grants to sub-grantees.
080	Out of State Travel	Provides funding for travel to workshops associated with managing the Hazard Mitigation Assistance programs.
246	Grantee Admin Costs	Provides funding for Grantee administrative costs.

The State of New Hampshire solicits applications statewide. Notification of the availability of HMGP funds is made to every community by e-mail and by letters sent to the chief elected official of each community. The State of New Hampshire submits all applications received for program funding to the Federal Emergency Management Agency for their final approval. Applications that are determined to be cost effective and program eligible are then funded 75% by FEMA; not every application submitted is determined to be program eligible. However, all applications that are determined to be eligible are funded at the requested dollar amount listed in their application, pending availability of adequate program funding.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Homeland Security and Emergency Management
Hazard Mitigation Grant Program

Fiscal Situation: Account 02-23-23-236010-29210000

Federal Funds Awarded:

DR4006 May 2011 Floods	\$196,371.00
DR4049 Oct 2011 Snowstorm	\$532,223.00
DR4065 May 2012 Floods	\$510,353.00
DR4139 July 2013 Rain	\$1,107,723.00
Total Grant Funds Awarded	\$2,346,670.00

Less expenses in FY 2012	\$0.00
Less expenses in FY 2013	\$0.00
Less expenses in FY 2014	\$0.00
Less expenses in FY 2015	(\$77,898.00)
Total Prior Fiscal Year Actual Expenses	(\$77,898.00)

Net Grant Funds Remaining \$2,268,772.00

Less: SFY 16 State Appropriation including prior year encumbrances: (\$31,204.00)

This Request \$2,237,568.00



FEMA

October 12, 2012

Mr. Christopher Pope, Director
NH Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-MONTH FINAL LOCK-IN
FEMA-4006-DR-NH
Hazard Mitigation Grant Program

Dear Director Pope:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the Hazard Mitigation Grant Program (HMGP) is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$187,216 in Federal funding is available for the HMGP under FEMA-4006-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 9,361
7% PROJECTS	\$ 13,105
REGULAR PROJECTS	\$ 164,750
12-MONTH LOCK-IN	\$ 187,216
STATE MANAGEMENT COST PROJECTS	\$ 9,155

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4006-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by **July 22, 2012**. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Mitigation Division Director
FEMA Region I

Cc: Lance Harbour, HSEM



FEMA

February 7, 2013

Perry Plummer, Acting Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4049-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$507,411 in Federal funding is available for the HMGP under FEMA-4049-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 25,371
7% PROJECTS	\$ 35,519
REGULAR PROJECTS	\$ 446,521
12-MONTH LOCK-IN	\$ 507,411
STATE MANAGEMENT COST PROJECTS	\$ 24,812

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4049-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by **December 5, 2012**. Please forward a SF-424 to cover the funding request. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

Cc: Beth Peck, Acting SHMO, NH HSEM



FEMA

August 16, 2013

Perry Plummer, Acting Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4065-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$486,560 in Federal funding is available for the HMGP under FEMA-4065-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 24,328
7% PROJECTS	\$ 34,059
REGULAR PROJECTS	\$ 428,173
12-MONTH LOCK-IN	\$ 486,560
STATE MANAGEMENT COST PROJECTS	\$ 23,793

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4065-DR-NH. Final applications for new mitigation projects were submitted to the FEMA Region I Hazard Mitigation Assistance (HMA) Branch by the June 15, 2013 deadline. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Beth Peck, State Hazard Mitigation Officer, NH HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I



FEMA

August 28, 2014

Perry Plummer, Director
Homeland Security and Emergency Management
33 Hazen Dr.
Concord, NH 03305

Re: Section 324 Management Costs Final (12-Month) Lock-ins and
HMGP Final Lock-in Ceiling, FEMA-4139-DR-NH

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$1,056,081 in Federal funding is available for the HMGP under FEMA-4139-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 52,804
7% PROJECTS	\$ 73,926
REGULAR PROJECTS	\$ 929,351
12-MONTH LOCK-IN	\$ 1,056,081
STATE MANAGEMENT COST PROJECTS	\$ 51,642

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4139-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Assistance Branch by **August 2, 2014**. Please forward a SF-424 to cover the funding request. If you have any questions, please contact Richard Verville, FEMA Region I Hazard Mitigation Assistance Branch Chief, at (617) 956-7524.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Beth Peck, State Hazard Mitigation Officer, NH HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I

FILE COPY

U.S. Department of Homeland Security
FEMA Region I
99 High Street
Boston, MA 02110



FEMA

October 12, 2012

Mr. Christopher Pope, Director
NH Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-MONTH FINAL LOCK-IN
FEMA-4006-DR-NH
Hazard Mitigation Grant Program

Dear Director Pope:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the Hazard Mitigation Grant Program (HMGP) is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$187,216 in Federal funding is available for the HMGP under FEMA-4006-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 9,361
7% PROJECTS	\$ 13,105
REGULAR PROJECTS	\$ 164,750
12-MONTH LOCK-IN	\$ 187,216
STATE MANAGEMENT COST PROJECTS	\$ 69,155

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4006-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by July 22, 2012. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Mitigation Division Director
FEMA Region I

Cc: Lance Harbour, HSEM

U.S. Department of Homeland Security
FEMA Region I
99 High Street
Boston, MA 02110



FEMA

February 7, 2013

Perry Plummer, Acting Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4049-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$507,411 in Federal funding is available for the HMGP under FEMA-4049-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 25,371
7% PROJECTS	\$ 35,519
REGULAR PROJECTS	\$ 446,521
12-MONTH LOCK-IN	\$ 507,411
STATE MANAGEMENT COST PROJECTS	\$ 24,812

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4049-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by December 5, 2012. Please forward a SF-424 to cover the funding request. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

Cc: Beth Peck, Acting SHMO, NH HSEM

U.S. Department of Homeland Security
FEMA Region I
99 High Street
Boston, MA 02110

FILE COPY



FEMA

August 16, 2013

Perry Plummer, Acting Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4065-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$486,560 in Federal funding is available for the HMGP under FEMA-4065-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 24,328
7% PROJECTS	\$ 34,059
REGULAR PROJECTS	\$ 428,173
12-MONTH LOCK-IN	\$ 486,560
STATE MANAGEMENT COST PROJECTS	\$ 23,793
	\$ 510,353

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4065-DR-NH. Final applications for new mitigation projects were submitted to the FEMA Region I Hazard Mitigation Assistance (HMA) Branch by the June 15, 2013 deadline. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Beth Peck, State Hazard Mitigation Officer, NH HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I



State of New Hampshire

DEPARTMENT OF SAFETY
 OFFICE OF THE COMMISSIONER
 33 HAZEN DR. CONCORD, NH 03305
 603/271-2791

JOHN J. BARTHELMES
 COMMISSIONER

*Fiscal # FIS 14-202
 01-23-2015*

November 6, 2014

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

*GC # 49
 02-11-2015*

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a VI, the Department of Safety, Division of Homeland Security and Emergency Management, requests authorization to accept and expend Hazard Mitigation Grant Program (HMGP) funds from the Federal Emergency Management Agency (FEMA) in the amount of \$1,107,723.00 for the implementation of cost effective mitigation projects. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2015. Funding Source: 100% Federal Funds.

Funds are to be budgeted in SFY 2015 in the following account:

02-23-23-236010-29210000 Dept. of Safety HSEM DR 4139 July 2013 Rain

	Current Adjusted Authorized	Requested Action	Revised Adjusted Appropriation
000-408121 Federal Funds	\$ (1,238,947.00)	\$ (1,107,723.00)	\$ (2,346,670.00)
018-500106 Overtime	\$ 6,500.00	\$ 0.00	\$ 6,500.00
020-500200 Current Expense	\$ 1,718.00	\$ 1,300.00	\$ 3,018.00
030-500311 Equipment	\$ 3,400.00	\$ 0.00	\$ 3,400.00
040-500800 Indirect Costs	\$ 5,424.00	\$ 5,300.00	\$ 10,724.00
041-500801 Audit Fund Set Aside	\$ 1,333.00	\$ 1,200.00	\$ 2,533.00
060-500601 Benefits	\$ 1,295.00	\$ 0.00	\$ 1,295.00
070-500705 In-State Travel	\$ 2,193.00	\$ 822.00	\$ 3,015.00
072-500574 Grants - Federal	\$ 1,181,187.00	\$ 1,056,081.00	\$ 2,237,268.00
080-500710 Out of State Travel	\$ 914.00	\$ 2,000.00	\$ 2,914.00
246-500792 Grantee Administrative Costs	\$ 34,983.00	\$ 41,020.00	\$ 76,003.00
Total Appropriation:	\$ 1,238,947.00	\$ 1,107,723.00	\$ 2,346,670.00

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 6, 2014
Page 2 of 2

Explanation

The Hazard Mitigation Grant Program (HMGP) provides funding to states and communities (sub-grantees) for cost-effective hazard mitigation activities that complement a comprehensive mitigation program. FEMA provides HMGP funds to states following presidentially declared disasters where the FEMA Public Assistance Program is employed that, in turn, provide sub-grants or contracts for a variety of mitigation activities, such as planning and the implementation of projects identified through the evaluation of natural hazards. The cost share is 75% Federal funds and a 25% applicant soft-match. The sub-grantee will provide and document the program match requirements. The management costs associated with the Hazard Mitigation Grant Program are 100% federal funds and do not require a matching percentage.


<u>Class Code</u>	<u>Title</u>	<u>Description</u>
018	Overtime	Provides funding for full-time staff working extra hours to manage the Hazard Mitigation Assistance programs.
020	Current Expense	Allows for purchasing supplies needed to manage the Hazard Mitigation Assistance programs.
030	Equipment	Allows for purchasing equipment needed for project management.
040	Indirect Cost	Represents the associated amount for this request.
041	Audit Fund Set Aside	Represents the associated amount for this request.
060	Benefits	Provides funding associated with Class Code 018.
070	In-State Travel	Provides funding for travel to manage the Hazard Mitigation Assistance programs statewide.
072	Grants	Provides funding for grants to sub-grantees.
080	Out of State Travel	Provides funding for travel to workshops associated with managing the Hazard Mitigation Assistance programs.
246	Grantee Admin Costs	Provides funding for Grantee administrative costs.

At the time the State of New Hampshire FY 2014 – 2015 budget was being developed, it was not anticipated that the State would receive this level of funding.

The State of New Hampshire solicits applications statewide. Notification of the availability of HMGP funds is made to every community by e-mail and by letters sent to the chief elected official of each community. The State of New Hampshire submits all applications received for program funding to the Federal Emergency Management Agency for their final approval. Applications that are determined to be cost effective and program eligible are then funded 75% by FEMA; not every application submitted is determined to be program eligible. However, all applications that are determined to be eligible are funded at the requested dollar amount listed in their application, pending availability of adequate program funding.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Department of Safety
Homeland Security and Emergency Management
Hazard Mitigation Program - FEMA

Fiscal Situation: Account 02-23-23-236010-29210000

Federal Funds Awarded:

FEMA-4006-DR-NH Hazard Mitigation Grant Program (HMGP)	\$196,371.00
FEMA-4049-DR-NH Hazard Mitigation Grant Program (HMGP)	\$532,223.00
FEMA-4065-DR-NH Hazard Mitigation Grant Program (HMGP)	\$510,353.00
FEMA-4139-DR-NH Hazard Mitigation Grant Program (HMGP)	\$1,107,723.00
Total Grant Funds Awarded	\$2,346,670.00

Less: FY 14 Expenditures	\$0.00
	<u>\$0.00</u>

Net Grant Funds Remaining as of 7/1/14	\$2,346,670.00
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Less SFY15 Current Adjusted Authorized	(\$1,238,947.00)
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Excess grant funds available to appropriate	<u>\$1,107,723.00</u>
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This Request	\$1,107,723.00
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FEMA

August 28, 2014

Perry Plummer, Director
Homeland Security and Emergency Management
33 Hazen Dr.
Concord, NH 03305

Re: Section 324 Management Costs Final (12-Month) Lock-ins and
HMGP Final Lock-in Ceiling, FEMA-4139-DR-NH

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$1,056,081 in Federal funding is available for the HMGP under FEMA-4139-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 52,804
7% PROJECTS	\$ 73,926
REGULAR PROJECTS	\$ 929,351
12-MONTH LOCK-IN	\$ 1,056,081
STATE MANAGEMENT COST PROJECTS	\$ 51,642
	<u>6,107,723</u>

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4139-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Assistance Branch by **August 2, 2014**. Please forward a SF-424 to cover the funding request. If you have any questions, please contact Richard Verville, FEMA Region I Hazard Mitigation Assistance Branch Chief, at (617) 956-7524.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Beth Peck, State Hazard Mitigation Officer, NH HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I

TITLE I

THE STATE AND ITS GOVERNMENT

CHAPTER 14

LEGISLATIVE OFFICERS AND PROCEEDINGS

Legislative Budget Assistant

Section 14:30-a

14:30-a Fiscal Committee. –

I. There is hereby established a fiscal committee of the general court. Said committee shall consist of 10 members. Five shall be members of the house as follows: the chairperson of the finance committee and 2 other members of the committee, appointed by the chairperson; and 2 other house members appointed by the speaker of the house. Five members shall be members of the senate as follows: the chairperson of the finance committee and 2 other members of that committee, appointed by the chairperson; and 2 other senators appointed by the senate president. The chairperson of the house finance committee shall be the chairperson of the fiscal committee.

II. The committee shall, while the general court is in session and during the interim, consult with, assist, advise, and supervise the work of the legislative budget assistant, and may at its discretion investigate and consider any matter relative to the appropriations, expenditures, finances, revenues or any of the fiscal matters of the state. The members shall be paid the regular legislative mileage during the interim while engaged in their work as members of the committee.

III. The fiscal committee shall consider recommendations proposed to it by the legislative performance audit and oversight committee established under RSA 17-N:1. The fiscal committee shall adopt all recommendations proposed to it as provided in RSA 17-N:1, III by the performance audit and oversight committee unless the fiscal committee refuses by unanimous vote to adopt such recommendations.

IV. [Repealed.]

V. [Repealed.]

VI. Any non-state funds in excess of \$100,000, whether public or private, including refunds of expenditures, federal aid, local funds, gifts, bequests, grants, and funds from any other non-state source, which under state law require the approval of governor and council for acceptance and expenditure, may be accepted and expended by the proper persons or agencies in the state government only with the prior approval of the fiscal committee of the general court.

Source. 1965, 239:19. 1987, 416:7. 1989, 396:13; 408:2. 1991, 346:18, I. 1995, 9:8. 2005, 177:11. 2006, 290:21, eff. June 15, 2006. 2012, 247:10, eff. Aug. 17, 2012.



State of New Hampshire

DEPARTMENT OF SAFETY
 OFFICE OF THE COMMISSIONER
 33 HAZEN DR. CONCORD, NH 03305
 603/271-2791

JOHN J. BARTHELMES
 COMMISSIONER

August 7, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety, Division of Fire Standards and Training and Emergency Medical Services, to accept and expend funds from the Federal Emergency Management Agency (FEMA) in the amount of \$563,117.00 for the purpose of purchasing vehicles and equipment required for training local volunteer firefighters. Effective upon Governor and Council approval through December 31, 2015. Funding source: 100% Federal Funds.

Funds are to be budgeted as follows:

02-023-023-237010-44570000 – Dept. of Safety Nat'l Fire Academy Grant

Class	Current Adjusted Authorized	Requested Action #1	Revised Adjusted Authorized
000 - 404805 Federal Funds	(43,834.00)	(563,117.00)	(606,951.00)
020 - 500200 Current Expense	28,633.00	5,329.00	33,962.00
030 - 500331 Equipment	-	545,794.00	545,794.00
040 - 500800 Indirect Costs	3,095.00	2,817.00	5,912.00
041 - 500801 Audit Fund Set Aside	15.00	559.00	574.00
050 - 500109 Personal Service Temp App	10,500.00	8,051.00	18,551.00
060 - 500601 Benefits	803.00	567.00	1,370.00
070 - 500704 In State Travel Reimbursement	788.00	0.00	788.00
4457 Totals	43,834.00	563,117.00	606,951.00

Explanation

These grants are for the purchase of an ambulance, mini fire pumper truck, four (4) thermal imaging cameras, two (2) smoke generator simulators, forty two (42) sets of personal protective equipment, an adult female fire and EMS manikin as well as funds for part-time staff and travel allowances for training local volunteer firefighters.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 7, 2015
Page 2 of 2

1. *Is the action required of this request a result of the Continuing Resolution for FY 2016?* **No.**
2. *If this request is retroactive what is the significance and importance of the action being effective from an earlier date?* **No, it is not retroactive.**
3. *Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)* **This is a grant.**
4. *Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?* **No.**
5. *Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?* **No.**
6. *Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)* **No.**
7. *What would be the effect should this program be discontinued or not initiated as a result of this request being denied?* **This grant would not be utilized.**

The original request to accept and expend was tabled on February 19, 2015; it was presented again and approved on April 3, 2015 and then approved by Governor and Council on April 8, 2015. Due to the delay, there was not sufficient time to post a request for bid, receive responses and commence the purchase of the equipment prior to year-end closing.

The Federal Emergency Management Agency has opened the Assistance for Firefighters grant program to State fire training agencies. This grant provides funding to purchase the necessary equipment to ensure firefighters and emergency medical personnel continue to be trained throughout the State. Based on the size of the State of New Hampshire, there is a 15% match to this grant for which the Division will be utilizing current budgeted funding. The funding is for the purchase of an ambulance, four (4) thermal imaging cameras, two (2) smoke generator simulators, forty two (42) sets of personal protective equipment, and an adult female fire and EMS manikin which will be utilized throughout the State to continue training firefighters and emergency responders.

The funds are to be budgeted as follows:

Funds in class 020 will be used to purchase smoke fluid for the smoke generators that are being purchased.

Funds in class 030 will be used to purchase the ambulance, thermal imaging cameras, smoke generators, SCBA and manikin.


Funds in class 040 are needed to pay indirect costs to Administrative Services on all eligible expenses.

Funds in class 041 are needed to pay audit fund set aside on all eligible expenses.

Funds in class 050 will be used to pay part-time instructors

Funds in class 060 will be used to pay associated benefits for part-time instructors.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

**Division of Fire Standards & Training & Emergency Medical Services
Nat'l Fire Academy Grant**

Fiscal Situation: Account 02-23-23-237010-44570000

Federal Funds Awarded:	
FFY 2013 Assistance to Firefighters Grant (EMW-2013-FZ-00071)	\$201,246.00
FFY 2014 State Fire Training Systems Grant (EMW-2014-GR-0001)	\$20,000.00
FFY 2014 Assistance to Firefighters Grant (EMW-2014-FZ-00160)	\$382,456.00
FFY 2015 State Fire Training Systems Grant (EMW-2015-GR-00001)	\$20,000.00
Total Grant Funds Awarded	\$623,702.00
Less State expenses on FFY 2013 AFG Grant	\$0.00
Less State expenses on FFY 2014 Nat'l Fire Grant Grant	(\$16,746.98)
Less State expenses on FFY 2014 AFG Grant	\$0.00
Less State expenses on FFY 2015 AFG Grant	\$0.00
Total Prior Fiscal Year Actual Expenditures	(\$16,746.98)
Net Grant Funds Remaining	\$606,955.02
Less: SFY 16 State Appropriation including prior year encumbrances:	\$43,833.76
Excess grant funds available to appropriate	\$563,121.26
This Request	\$563,121.26

**FEDERAL EMERGENCY MANAGEMENT AGENCY
OBLIGATING DOCUMENT FOR AWARD/AMENDMENT**

1a. AGREEMENT NO. EMW-2013-FZ-00071	2. AMENDMENT NO. 0	3. RECIPIENT NO. 02-6000618	4. TYPE OF ACTION AWARD	5. CONTROL NO. W518706N
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6. RECIPIENT NAME AND ADDRESS NH Division of Fire Standards and Training and EMS 98 Smokey Bear Blvd Concord New Hampshire, 03305-0002	7. ISSUING OFFICE AND ADDRESS Grant Programs Directorate 500 C Street, S.W. Washington DC, 20528-7000 POC: Andrea Day	8. PAYMENT OFFICE AND ADDRESS FEMA, Financial Services Branch 500 C Street, S.W., Room 723 Washington DC, 20472
--	---	--

9. NAME OF RECIPIENT PROJECT OFFICER Deborah Pendergast	PHONE NO. 6032234200X31001	10. NAME OF PROJECT COORDINATOR Catherine Patterson	PHONE NO. 1-866-274-0960
--	-------------------------------	--	-----------------------------

11. EFFECTIVE DATE OF THIS ACTION 20-JUN-14	12. METHOD OF PAYMENT SF-270	13. ASSISTANCE ARRANGEMENT Cost Sharing	14. PERFORMANCE PERIOD From:20-JUN-14 To:19-JUN-15 Budget Period From:05-MAR-14 To:30-SEP-14
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15. DESCRIPTION OF ACTION

a. (Indicate funding data for awards or financial changes)

PROGRAM NAME ACRONYM	CFDA NO.	ACCOUNTING DATA (ACCS CODE) XXXX-XXX-XXXXXX-XXXXX-XXXX-XXXX-X	PRIOR TOTAL AWARD	AMOUNT AWARDED THIS ACTION + OR (-)	CURRENT TOTAL AWARD	CUMMULATIVE NON-FEDERAL COMMITMENT
AFG	97.044	2014-M3-C111-P4310000-4101-D	\$0.00	\$201,246.00	\$201,246.00	\$35,514.00
TOTALS			\$0.00	\$201,246.00	\$201,246.00	\$35,514.00

b. To describe changes other than funding data or financial changes, attach schedule and check here.
N/A

16a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address)

Assistance to Firefighters Grant recipients are not required to sign and return copies of this document. However, recipients should print and keep a copy of this document for their records.

16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN

This assistance is subject to terms and conditions attached to this award notice or by incorporated reference in program legislation cited above.

17. RECIPIENT SIGNATORY OFFICIAL (Name and Title) N/A	DATE N/A
--	-------------

18. FEMA SIGNATORY OFFICIAL (Name and Title) Andrea Day	DATE 19-JUN-14
--	-------------------

Amendment Package

4457

Class

0207

030+

040+

041



Federal Emergency Management Agency
Washington, D.C. 20472

Mrs. Deborah Pendergast
NH Division of Fire Standards and Training and EMS
33 Hazen Dr.
Concord, New Hampshire 03305-0002

Re: Grant No. EMW-2013-FZ-00071

Dear Mrs Pendergast:

This letter is in written response to your amendment request regarding an extension of your grant. Your request was reviewed by the Program and Grants Office and is hereby approved. As a result of this approval, your Grant Period of Performance and Article III of your Grant Agreement Articles are amended to extend your grant from June 20, 2014 through March 31, 2016. All other terms and conditions of the grant remain unchanged.

If you have any further questions and/or concerns please contact Ramesa Pitts at (202) 786-9760.

Sincerely,

Ramesa Pitts
Grants Management Specialist

**FEDERAL EMERGENCY MANAGEMENT AGENCY
OBLIGATING DOCUMENT FOR AWARD/AMENDMENT**

1a. AGREEMENT NO. EMW-2014-FZ-00160
 2. AMENDMENT NO. 0
 3. RECIPIENT NO. 02-6000618
 4. TYPE OF ACTION AWARD
 5. CONTROL NO. W494333N

6. RECIPIENT NAME AND ADDRESS
 NH Division of Fire Standards and Training and EMS
 98 Smokey Bear Blvd
 Concord
 New Hampshire, 03305-0002
 7. ISSUING OFFICE AND ADDRESS
 Grant Programs Directorate
 500 C Street, S.W.
 Washington DC, 20528-7000
 POC: Rosalie Vega
 8. PAYMENT OFFICE AND ADDRESS
 FEMA, Financial Services Branch
 500 C Street, S.W., Room 723
 Washington DC, 20472

9. NAME OF RECIPIENT PROJECT OFFICER
 Deborah Pendergast
 PHONE NO. 6032234200X31001
 10. NAME OF PROJECT COORDINATOR
 Catherine Patterson
 PHONE NO. 1-866-274-0960

11. EFFECTIVE DATE OF THIS ACTION
 03-JUN-15
 12. METHOD OF PAYMENT
 SF-270
 13. ASSISTANCE ARRANGEMENT
 Cost Sharing
 14. PERFORMANCE PERIOD
 From:03-JUN-15 To:02-JUN-16
 Budget Period
 From:23-JAN-15 To:30-SEP-15

15. DESCRIPTION OF ACTION
 a. (Indicate funding data for awards or financial changes)

PROGRAM NAME ACRONYM	CFDA NO.	ACCOUNTING DATA (ACCS CODE) XXXX-XXX-XXXXXX-XXXX-XXXX-XXXX-X	PRIOR TOTAL AWARD	AMOUNT AWARDED THIS ACTION + OR (-)	CURRENT TOTAL AWARD	CUMULATIVE NON-FEDERAL COMMITMENT
AFG	97.044	2015-F4-C111-P4310000-4101-D	\$0.00	\$382,456.00	\$382,456.00	\$57,368.00
TOTALS			\$0.00	\$382,456.00	\$382,456.00	\$57,368.00

b. To describe changes other than funding data or financial changes, attach schedule and check here.
 N/A

16a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address)

Assistance to Firefighters Grant recipients are not required to sign and return copies of this document. However, recipients should print and keep a copy of this document for their records.

16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN

This assistance is subject to terms and conditions attached to this award notice or by incorporated reference in program legislation cited above.

17. RECIPIENT SIGNATORY OFFICIAL (Name and Title)
 N/A

DATE
 N/A

18. FEMA SIGNATORY OFFICIAL (Name and Title)
 Rosalie Vega

DATE
 02-JUN-15

Go Back

Obligating Document for Award/Amendment

1a. AGREEMENT NO. 2. AMENDMENT NO. 3. RECIPIENT NO. 4. TYPE OF ACTION 5. CONTROL NO.
 EMW-2015-GR-00001-S01 AMENDMENT 26000618A NO. *** AWARD E521623N

6. RECIPIENT NAME AND ADDRESS
 NH Div. of Fire Standards & Training and EMS
 33 Hazen Drive
 Concord, NH, 03305

7. ISSUING FEMA OFFICE AND ADDRESS
 Grant Operations
 245 Murray Lane - Building 410, SW
 Washington DC, 20528-7000
 POC: 866-927-5646

8. PAYMENT OFFICE AND ADDRESS
 Financial Services Branch
 500 C Street, S.W., Room 723
 Washington DC, 20472

9. NAME OF RECIPIENT PROJECT OFFICER
 Robert Pragoff

PHONE NO. (603) 223-4200

10. NAME OF FEMA PROJECT COORDINATOR
 Central Scheduling and Information Desk
 Phone: 800-368-6498
 Email: Askcsid@dhs.gov

11. EFFECTIVE DATE OF THIS ACTION
 08/01/2015

12. METHOD OF PAYMENT PARS

13. ASSISTANCE ARRANGEMENT
 Cost Reimbursement

14. PERFORMANCE PERIOD
 From: 08/01/2015 To: 07/31/2016
 Budget Period 08/01/2015 07/31/2016

15. DESCRIPTION OF ACTION
 a. (Indicate funding data for awards or financial changes)

PROGRAM NAME ACRONYM	CFDA NO.	ACCOUNTING DATA (ACCS CODE) XXXX-XXX-XXXXXX-XXXX-XXXX-XXXX-X	PRIOR TOTAL AWARD	AMOUNT AWARDED THIS ACTION + OR (-)	CURRENT TOTAL AWARD	CUMULATIVE NON-FEDERAL COMMITMENT
State Fire Training Systems Grant Program	97.043	2015-77-F113-U320-W63006-4101-D:E521623N \$ 20,000.00	\$0.00	\$20,000.00	\$20,000.00	\$0.00
TOTALS			\$0.00	\$20,000.00	\$20,000.00	\$0.00

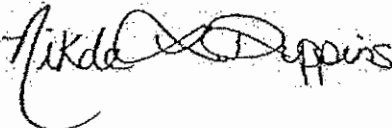
b. To describe changes other than funding data or financial changes, attach schedule and check here.
 N/A

16 a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address)
 State Fire Training Systems Grant Program recipients are not required to sign and return copies of this document. However, recipients should print and keep a copy of this document for their records.

16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN
 This assistance is subject to terms and conditions attached to this award notice or by incorporated reference in program legislation cited above.

17. RECIPIENT SIGNATORY OFFICIAL (Name and Title)
 Deborah Pendergast, Director

DATE
 07/29/2015

18. FEMA SIGNATORY OFFICIAL (Name and Title)
 NIKOLE DUPPINS, Assistance Officer

DATE
 07/27/2015

Obligating Document for Award/Amendment

1a. AGREEMENT NO. 2. 3. RECIPIENT NO. 4. TYPE OF ACTION 5. CONTROL NO.
 EMW-2014-GR-00001-S01 AMENDMENT026000618A NO. AWARD E504090N

6. RECIPIENT NAME AND ADDRESS
 NH Div. of Fire Standards & Training and EMS
 33 Hazen Drive
 Concord, NH, 03305

7. ISSUING FEMA OFFICE AND ADDRESS
 Grant Operations
 245 Murray Lane - Building 410, SW
 Washington DC, 20528-7000
 POC: 866-927-5646

8. PAYMENT OFFICE AND ADDRESS
 Financial Services Branch
 500 C Street, S.W., Room 723
 Washington DC, 20472

9. NAME OF RECIPIENT PROJECT OFFICER
 Robert Pragoff

PHONE NO. (603)223-4200

10. NAME OF FEMA PROJECT COORDINATOR
 Diane Close
 Phone: 301-447-1376
 Email: Diane.Close@fema.gov

11. EFFECTIVE DATE OF THIS ACTION
 08/01/2014

12. METHOD OF PAYMENT
 PARS

13. ASSISTANCE ARRANGEMENT
 Cost Reimbursement

14. PERFORMANCE PERIOD
 From: 08/01/2014 To: 07/30/2015
 Budget Period
 08/01/2014 07/30/2015

15. DESCRIPTION OF ACTION
 a. (Indicate funding data for awards or financial changes)

PROGRAM NAME ACRONYM	CFDA NO.	ACCOUNTING DATA (ACCS CODE) XXXX-XXX-XXXXXX-XXXX-XXXX-XXXX-X	PRIOR TOTAL AWARD	AMOUNT AWARDED THIS ACTION + OR (-)	CURRENT TOTAL AWARD	CUMULATIVE NON-FEDERAL COMMITMENT
State Fire Training Systems Grant Program	97.043	2014-77-F113-U320-W63006-4101-D:E504090N \$ 20,000.00	\$0.00	\$20,000.00	\$20,000.00	\$0.00
TOTALS			\$0.00	\$20,000.00	\$20,000.00	\$0.00

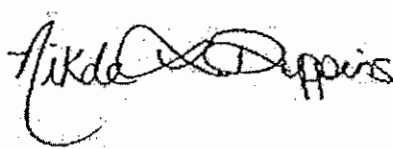
b. To describe changes other than funding data or financial changes, attach schedule and check here.
 N/A

16 a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address)
 State Fire Training Systems Grant Program recipients are not required to sign and return copies of this document. However, recipients should print and keep a copy of this document for their records.

16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN
 This assistance is subject to terms and conditions attached to this award notice or by incorporated reference in program legislation cited above.

17. RECIPIENT SIGNATORY OFFICIAL (Name and Title)
 Deborah Pendergast, Director

DATE
 08/13/2014

18. FEMA SIGNATORY OFFICIAL (Name and Title)
 NIKOLE DUPPINS, Assistance Officer

DATE
 07/31/2014



Nicholas A. Toumpas
Commissioner

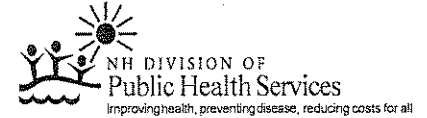
Marcella Jordan Bobinsky
Acting Director

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4612 1-800-852-3345 Ext. 4612
Fax: 603-271-4827 TDD Access: 1-800-735-2964

FIS 15 169

5/27/15
For 51



August 6, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Infectious Disease Control, to accept and expend federal funds in the amount of \$845,719 from the US Centers for Disease Control and Prevention (CDC) and \$878,477 from the Assistant Secretary for Preparedness and Response (ASPR) for a total of \$1,724,196 to fund the NH Ebola Preparedness and Response Program, effective date of approval by the Fiscal Committee and Governor and Council through December 31, 2015, and further authorize the funds to be allocated as follows. 100% Federal Funds.

05-95-90-902510-50840000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SERVICES, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF INFECTIOUS DISEASE CONTROL, EBOLA GRANT

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-404243	Federal Funds	\$0.00	\$1,724,196.00	\$1,724,196.00
Total Revenue		\$0.00	\$1,724,196.00	\$1,724,196.00
020-500200	Current Expense	\$0.00	\$11,000.00	\$11,000.00
030-500311	Equipment	\$0.00	\$346,633.00	\$346,633.00
039-500188	Telecommunications	\$0.00	\$4,320.00	\$4,320.00
041-500801	Audit Fund Set Aside	\$0.00	\$2,190.00	\$2,190.00
049-500294	Transfer to Other State Agency	\$0.00	\$100,742.00	\$100,742.00
070-500704	In-State Travel	\$0.00	\$3,400.00	\$3,400.00
080-500710	Out-of-State Travel	\$0.00	\$4,080.00	\$4,080.00
102-500734	Contracts for Program Services	\$0.00	\$1,216,831.00	\$1,216,831.00
548-500396	Reagents	\$0.00	\$35,000.00	\$35,000.00
Total Expenses		\$0.00	\$1,724,196.00	\$1,724,196.00

EXPLANATION

The federal funds awarded by the CDC and the ASPR provides for a health care system that is prepared to safely and successfully identify, isolate, assess, transport, and treat patients with Ebola or patients under investigation for Ebola. While the focus is on preparedness for Ebola, it is expected that the program activities achieved through this funding will enhance infectious disease readiness expertise and capacity in both public health and the broader health care system. Specifically, the funds will designate two hospitals, Dartmouth Hitchcock Medical Center and Frisbie Memorial as Ebola Assessment hospitals, with each hospital being prepared to manage a suspect or confirmed Ebola patient for up to 96 hours. Further, the remaining 24 hospitals will serve as Frontline hospitals, prepared to promptly identify, isolate and inform public health of a suspect Ebola patient. The Assessment and Frontline hospitals will work collaboratively with public health, EMS, and regional partners toward building a comprehensive strategy to manage Ebola and other highly infectious disease pathogens that may emerge in the future.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the CR for FY2016?

No it is not. These are brand new funds to the Division.

2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date?

This is not a retroactive request.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action?

No, this is a request to accept and expend brand new monies through two funding opportunities regarding Ebola Supplemental funds from the US Centers for Disease Control and Prevention (CDC) and the Assistant Secretary for Preparedness and Response (ASPR).

4. Was funding for this program included in the FY 2014-15 enacted Budget or requested and denied?

No, this funding was not included in the FY 2014-15 enacted Budget. We received the Notice of Awards for these funds on March 31, 2015 and May 19, 2015 respectively.

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

No, these monies are not included in the vetoed 2016-2017 Operating Budget. The Awards were not received in time to include these in the Division's biennium budget proposal.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending?

No, this request does not include positions or consultants.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

The Division would lack the ability to assure that public health, hospitals, EMS, and regional partners can build a comprehensive strategy to manage Ebola and other highly infectious disease pathogens that may emerge in the future thereby putting the general public at risk of exposure and spread of these highly infectious disease pathogens and the potential of mass outbreak and numerous fatalities.

Funds are budgeted for Current Expenses (Class 020) for purchase of packaging and shipping supplies and other consumable testing supplies as well as money to advertise recruitment of volunteer health professionals.

Funds are budgeted for Equipment (Class 030) for the purchase of several pieces of lab equipment including a Real-Time PCR Instrument, GenXpert system, Pipette calibrator, FilmArray instrument, MaxAir PAPR systems (personal protective equipment for lab staff), table centrifuge, refrigerator, freezers, lab incubator and additional card readers for security of three molecular diagnostics labs. All items will be utilized for the detection of emerging infectious disease pathogens as well as specimen testing and storage.

Funds are budgeted in Telecommunications (Class 039) for the purchase of iPhones for the Infectious Disease Public Health Nurses to perform Direct Active Monitoring and Active Monitoring of patients under investigation.

Funds are budgeted for Audit costs (Class 041) per state requirements.

Funds are budgeted for Transfer to Other State Agency (Class 049) to work with the Bureau of Emergency Services of the Department of Safety through a memorandum of agreement. This work will include coordinating more efficient communication with all Emergency Medical Services (EMS) providers and ambulance services through an updated user management system; providing EMS with updated personal protective equipment; and providing training in infection control for all EMS.

Funds are budgeted for In-State Travel (Class 070) to cover travel expenses that staff incur while performing their duties.

Funds are budgeted for Out-of-State Travel (Class 080) to cover travel expenses in order to conduct a site visit to a designated Ebola Treatment Center.

Funds are budgeted for Contracts for Program Services (Class 102) to contract for several different activities approved through the awards including; multi-agency and isolation and quarantine tabletop exercises, enhancements to disease surveillance capacity within the NH Electronic Disease Surveillance System (NHEDSS), medical surge planner services, risk communication assessment, sustainable occupational health program for public health responders, hospital summit to share information and promote best practices, added functionality to the Health Alert Network (HAN) communicator to enhance information among others.

Funds are budgeted for Reagents (Class 548) to purchase laboratory reagents and testing supplies.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

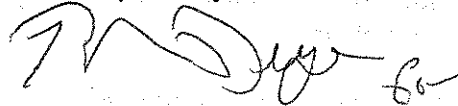
Area served: Statewide

Source of funds: These funds are 100% Federal from US Centers for Disease Control and Prevention and the Assistant Secretary for Preparedness and Response.

Attached are the Notice of Grant Awards and award history. Notices of these funds were received on March 31, 2015 and May 19, 2015. They were not added to the SFY 2016 operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

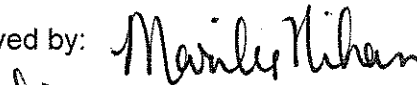
In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella Jordan Bobinsky
Acting Director

Approved by:



Nicholas A. Toumpas
Commissioner

AWARD HISTORY
Ebola - new A/U 5084

Grant #: 3U90TP000535-03S2 Award Ending 9/31/2016	1,186,286
Grant #: U3REP150490-01 Award Ending 5/17/2020	993,944
Expended through 6/30/15	-
Unobligated Balance Unable to Spend	
Award Balance 7/1/15	2,180,230
SFY 16 Appropriation **	-
OYR	-
Available to Accept in SFY 16	2,180,230
Amount Requested this Action	<u>1,724,196</u>

**** SFY 16 Appropriation**

50840000 404243	Current	OYR	Total	This Action	Revised Budget
EBOLA	-	-	-	1,724,196	1,724,196
	-	-	-	1,724,196	1,724,196



Grant Number: 3U90TP000535-03S2

FAIN: U90TP000535

Principal Investigator(s):

JOSE THEIR MONTERO, MD

Project Title: TP12-1201 HPP AND PHEP COOPERATIVE AGREEMENTS

DOLORES COOPER
NEW HAMPSHIRE DHHS
DIV. OF PUBLIC HEALTH SERVICES
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 04/01/2015 – 09/30/2016

Project Period: 04/01/2015 – 09/30/2016

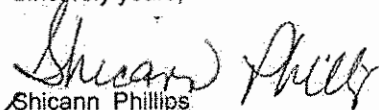
Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$1,186,286 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPT OF HEALTH & WELFARE, DIV OF P in support of the above referenced project. This award is pursuant to the authority of SEC391(A)317(K)OFPHS42U.S.C.SEC241A 247B and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,



Shicann Phillips
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 3U90TP000535-03S2

Award Calculation (U.S. Dollars)

Equipment	\$468,500
Supplies	\$85,420
Travel Costs	\$10,980
Consortium/Contractual Cost	\$605,200
Other	\$16,186

Federal Direct Costs	\$1,186,286
Approved Budget	\$1,186,286
Federal Share	\$1,186,286
TOTAL FEDERAL AWARD AMOUNT	\$1,186,286

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$1,186,286

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

04 \$0
05 \$0

Fiscal Information:

CFDA Number: 93.074
EIN: 1026000618B5
Document Number: TP00053515

IC	CAN	2015
TP	93902YK	\$1,186,286

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR (3)		
GRANT NUMBER	TOTAL FEDERAL AWARD AMOUNT	
3U90TP000535-03S2	\$1,186,286	
5U90TP000535-03	\$5,937,785	
3U90TP000535-03S1	\$54,680	
TOTAL	\$7,178,751	
SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
3	\$1,186,286	\$7,178,751
4	\$0	\$6,510,158
5	\$0	\$6,510,158

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: N / OC: 4151 / Processed: PHILLIPSS0 03/28/2015

SECTION II – PAYMENT/HOTLINE INFORMATION – 3U90TP000535-03S2

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhtips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they

1. DATE ISSUED MM/DD/YYYY 06/25/2015	2. CFDA NO. 93.817	3. ASSISTANCE TYPE Cooperative Agreement
1a. SUPERSEDES AWARD NOTICE dated 05/19/2015 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded		
4. GRANT NO. 6 U3REP150490-01-01 Formerly	5. ACTION TYPE Post Award Amendment	
6. PROJECT PERIOD From MM/DD/YYYY 05/18/2015	Through MM/DD/YYYY 05/17/2020	
7. BUDGET PERIOD From MM/DD/YYYY 05/18/2015	Through MM/DD/YYYY 05/17/2020	

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ASSISTANT SECRETARY FOR PREPAREDNESS & RESPONSE
 ASPR Acquisition Management Contracts and Grants
 200 C Street, SW
 Washington, DC 20024

NOTICE OF AWARD
 AUTHORIZATION (Legislation/Regulations)
 Pub. L. 109-148 119 Stat. 2680, 2786 (2005)

8. TITLE OF PROJECT (OR PROGRAM)
 New Hampshire's Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities for Part A: Health Care System Preparedness for Ebola

9a. GRANTEE NAME AND ADDRESS
 New Hampshire Dept of Health & Human Services
 29 Hazen Dr
 Public Health Services-DUP
 Concord, NH 03301-6503

9b. GRANTEE PROJECT DIRECTOR
 Denise Marie Krol
 29 Hazen Drive
 Public Health Services
 Concord, NH 03301-6504
 Phone: 603-271-4493

10a. GRANTEE AUTHORIZING OFFICIAL
 Ms. Marcella Jordan Bobinsky
 29 Hazen Drive
 CONCORD, NH 03301-6504
 Phone: 6032714110

10b. FEDERAL PROJECT OFFICER
 CAPT Patricia A Pettis
 200 Independence Ave., S.W.
 Room 638-G
 null
 Washington, DC 20201-null
 Phone: 617-549-2623

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only	<input type="checkbox"/>
II Total project costs including grant funds and all other financial participation	<input checked="" type="checkbox"/>
a. Salaries and Wages	98,592.00
b. Fringe Benefits	7,542.00
c. Total Personnel Costs	106,134.00
d. Equipment	50,000.00
e. Supplies	45,000.00
f. Travel	2,875.00
g. Construction	0.00
h. Other	23,004.00
i. Contractual	776,731.00
j. TOTAL DIRECT COSTS	1,003,744.00
k. INDIRECT COSTS	0.00
l. TOTAL APPROVED BUDGET	1,003,744.00
m. Federal Share	1,003,744.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from item 11m)	1,003,744.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	1,003,744.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	1,003,744.00

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

a. DEDUCTION	
b. ADDITIONAL COSTS	
c. MATCHING	
d. OTHER RESEARCH (Add / Deduct Option)	
e. OTHER (See REMARKS)	<input checked="" type="checkbox"/>

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation
 b. The grant program regulations.
 c. This award notice including terms and conditions, if any, noted below under REMARKS.
 d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)
 All Conditions of Award have been satisfied. All other Terms and Conditions of the award remain in effect.

GRANTS MANAGEMENT OFFICER: Brenda Cox, Grants Management Officer

17. OBJ CLASS 41.51	18a. VENDOR CODE 1026000618B3	18b. EIN 026000618	19. DUNS 011040545	20. CONG. DIST. 02
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 5-1990501	b. U3REP0490A	c. HOS07	d. \$0.00	e. 75-1315-0140
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 2	DATE ISSUED 06/25/2015
GRANT NO. 6 U3REP150490-01-01	

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
05/18/2015	12/31/2015	Annual	03/30/2016
01/01/2016	12/31/2016	Annual	03/31/2017
01/01/2017	12/31/2017	Annual	03/31/2018
01/01/2018	12/31/2018	Annual	03/31/2019
01/01/2019	12/31/2019	Annual	03/30/2020
01/01/2020	05/17/2020	Final	08/15/2020

SJM
for SR



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4612 1-800-852-3345 Ext. 4612
Fax: 603-271-4827 TDD Access: 1-800-735-2964



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

August 5, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Public Health Protection, Healthy Homes & Environment Section, Asthma Control Program to accept and expend Asthma funds in the amount of \$103,396 received from the Centers for Disease Control & Prevention, National Center for Environmental Health to fund the Asthma Control Program effective upon date of approval by the Fiscal Committee and Governor and Council, through December 31, 2015, and further authorize the funds to be allocated as follows. 100% Federal Funds.

05-95-90-901510-5667 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH PROTECTION, CHRONIC DISEASE - ASTHMA

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-403948	Federal Funds	\$314,973.00	\$103,396.00	\$418,369.00
Total Revenue		\$314,973.00	\$103,396.00	\$418,369.00
010-500100	Personnel Services Permanent	\$66,060.00	\$0.00	\$66,060.00
018-500106	Overtime	\$1.00	\$0.00	\$1.00
020-500200	Current Expense	\$1,556.00	\$1,744.00	\$3,300.00
022-500255	Rent Other Than State Space	\$225.00	\$0.00	\$225.00
024-500230	Maintenance Other Than Building	\$0.00	\$2,361.00	\$2,361.00

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
026-500251	Organizational Dues	\$0.00	\$100.00	\$100.00
030-500301	Equipment	\$1.00	\$1,499.00	\$1,500.00
039-500188	Telecommunications	\$800.00	\$0.00	\$800.00
041-500801	Audit	\$245.00	\$103.00	\$348.00
042-500620	Additional Fringe Benefits-COLA	\$6,909.00	\$0.00	\$6,909.00
060-500601	Benefits	\$35,403.00	\$0.00	\$35,403.00
066-500543	Employee Training	\$244.00	\$856.00	\$1100.00
070-500700	In-State Travel	\$800.00	\$0.00	\$800.00
080-500710	O-O-State Travel	\$4,250.00	\$5,075.00	\$9,325.00
102-500731	Contracts for Program Services	\$198,479.00	\$91,658.00	\$290,137.00
Total Expenses		\$314,973.00	\$103,396.00	\$418,369.00

EXPLANATION

These funds were not awarded until September 1, 2014, and were not included in the SFY 2015 budget. Grants funds awarded for periods after SFY 2015, have been requested in the operating budgets for SFY 2016-SFY 2017.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?

Yes, funding requested in the FY2016-2017 Budget is not available due to the Continuing Resolution.

2. If this request is **retroactive** what is the significance and importance of the action being effective from an earlier date?

This request is not retroactive.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)

No, this was not established through Fiscal Committee and Governor and Executive Council action. It was established through the biennium budget process

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

Funding was included in the FY2014-2015 budget. However, an increase in federal funds requested as part of the FY 2016-2017 Budget Request is not available during the Continuing Resolution.

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

Yes, this funding is included in the FY2016-2017 Operating Budget proposal.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

This program includes funding for three, filled full time positions. A Program Planner III, Public Health Program Manager and a Health Promotion Advisor. However, this item is not requesting any new funding for these positions.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

If this request is denied, proposed increased physician outreach would not occur. This funding expands comprehensive asthma control and coordinates public health strategies with rapidly accelerating healthcare reform.

The Centers for Disease Control & Prevention (CDC), National Center for Environmental Health, provides federal funding to the Asthma Control Program. These funds are used increase the collaboration between public health and the health care system to ensure those with asthma receive all the services they need to improve their ability to breathe. The funds are to be budgeted as follows:

- **Funds in class 020** (current expense) are budgeted to purchase office supplies, copying, and printing, and other consumable supplies.
- **Funds in class 024** (Maintenance Other Than Building) are budgeted to purchase an annual maintenance agreement from Executive Information Systems (EIS) to support Statistical Analysis System (SAS) software used by the Program Epidemiologist. The former Program Epidemiologist used Statistical Analysis System (SAS) software for asthma data analysis and CDC reporting. When the Epidemiologist left the program and there was a vacancy, the maintenance agreement lapsed as no one was using the SAS software. The Asthma Control Program has hired a new Epidemiologist that will be using the SAS software and it is required that we have the maintenance agreement to support the software
- **Funds in class 026** (organizational dues) are budgeted to pay dues to the American Evaluation Association for the Asthma program.

- **Funds in class 030** (equipment) are budgeted to purchase a laptop computer and peripherals to support the Program manager.
- **Funds in class 041** (audit) are budgeted per state requirements.
- **Funds in class 066** (Employee Training) are budgeted program staff to participate in professional improvement training.
- **Funds in class 080** (out-of-state travel) are budgeted to cover travel expenses for meetings mandated by the funder and for professional development.
- **Funds in class 102** (program services) are budgeted for contracts for program services to contract with Community Health Access Network (CHAN) and ICF Macro.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?"

The Division offers the following information: These funds may *not* be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above. These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

Area served: Statewide.

Source of funds: These funds are 100% Federal from the Centers for Disease Control and Prevention to fund the Asthma Control Program. Attached is the Notice of Grant Award and award history. Notice of these funds was received July 20, 2014.

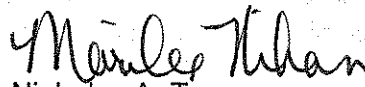
In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella Jordan Bobinsky, MPH
Acting Director

Approved by:



Nicholas A. Toumpas
Commissioner

MJB/kac

Award History
Improved Asthma Management Through Education, Policy Change and Collaboration Between
Public Health and Health Care Systems, Schools and Home Visiting Agencies
2U59EH000509-06

Award Ending 08/31/15	500,000
Anticipated Award Ending 08/31/16 (500,000/12*10)	416,667
Anticipated Expended thru 6/30/2015 (48,701*2)	<u>(97,402)</u>
Award Balance 7/1/15	819,265
SFY 16 Appropriation **	(244,454)
OYR	<u>(68,761)</u>
Available to Accept in SFY 16	506,050
Amount Requested this Action	<u><u>103,396</u></u>

**** SFY 16 Appropriation**

010-090-5667	Current	OYR	Total	This Action	Revised Budget
Asthma	217,282	68,761	286,043	103,396	389,439
Lead	28,930	-	28,930	-	28,930
Total	<u>246,212</u>	<u>68,761</u>	<u>314,973</u>	<u>103,396</u>	<u>418,369</u>



COOPERATIVE AGREEMENTS
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR ENVIRONMENTAL HEALTH

Notice of Award

Issue Date: 07/30/2014



Grant Number: 2U59EH000509-06
FAIN: U59EH000509

Principal Investigator(s):
Michael Dumond

Project Title: IMPROVED ASTHMA MANAGEMENT THROUGH EDUCATION, POLICY CHANGE AND COLLABORATION BETWEEN PUBLIC HEALTH AND HEALTH CARE SYSTEMS, SCHOOLS AND HOME VISITING AGENCIES

DOLORES COOPER
FINANCIAL ADMINISTRATOR
DIVISION OF PUBLIC HEALTH SERVICES
NEW HAMPSHIRE DEPT OF HEALTH & HUMAN SVCS
29 HAZEN DRIVE
CONCORD, NH 033016504

Budget Period: 09/01/2014 – 08/31/2015
Project Period: 09/01/2014 – 08/31/2019

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$500,000 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPARTMENT OF HEALTH & HUMAN SERVICES in support of the above referenced project. This award is pursuant to the authority of 301(A)311,317(C)PHSACT42USC241,243,247B and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Ralph U Robinson
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 2U59EH000509-06

Award Calculation (U.S. Dollars)

Salaries and Wages	\$152,883
Fringe Benefits	\$77,435
Personnel Costs (Subtotal)	\$230,318
Supplies	\$5,630
Travel Costs	\$7,085
Other Costs	\$61,598
Consortium/Contractual Cost	\$195,369

Federal Direct Costs	\$500,000
Approved Budget	\$500,000
Federal Share	\$500,000
TOTAL FEDERAL AWARD AMOUNT	\$500,000

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$500,000

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

07	\$500,000
08	\$500,000
09	\$500,000
10	\$500,000

Fiscal Information:

CFDA Number: 93.070
 EIN: 1026000618B3
 Document Number: 000509EH14

IC	CAN	2014	2015	2016	2017	2018
EH	939ZRHM	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
6	\$500,000	\$500,000
7	\$500,000	\$500,000
8	\$500,000	\$500,000
9	\$500,000	\$500,000
10	\$500,000	\$500,000

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

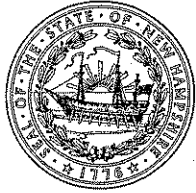
CDC Administrative Data:

PCC: N / OC: 4151 / Processed: ERAAPPS 07/22/2014

SECTION II – PAYMENT/HOTLINE INFORMATION – 2U59EH000509-06

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they



STATE OF NEW HAMPSHIRE

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4612 1-800-852-3345 Ext. 4612
Fax: 603-271-4827 TDD Access: 1-800-735-2964

FIS 15 171

SAM Feb 5



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

August 6, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services to accept and expend federal funds in the amount of \$1,067,592 from the Centers for Disease Control and Prevention, Preventive Health and Health Services (PHHS) Block Grant, retroactive to July 1, 2015 through December 31, 2015 and further authorize the funds to be allocated as follows. 100% Federal Funds.

05-95-90-900510-2203 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH STATS AND INFORMATICS, INFOMATICS

SFY 2016

Table with 5 columns: Class/Object, Class Title, Current Modified Budget, Increase (Decrease) Amount, Revised Modified Amount. Rows include Federal Funds, General Funds, Personal Services - Perm, Personal Services - Unclassified, Current Expense, and Telecommunications.

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court, and
 Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council

August 6, 2015

Page 2 of 11

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
041-500801	Audit Fund Set Aside	\$33.00	\$102.00	\$135.00
042-500620	Additional Fringe Benefits	\$681.00	\$0.00	\$681.00
060-500601	Benefits	\$33,302.00	\$0.00	\$33,302.00
066-500543	Employee Training	\$0.00	\$1,100.00	\$1,100.00
070-500704	In State Travel	\$150.00	\$0.00	\$150.00
080-500710	Out of State Travel	\$0.00	\$0.00	\$7,607.00
102-500731	Contracts for Program Services	\$87,444.00	\$100,500.00	\$187,944.00
	Sub Total Expenses	\$194,897.00	\$101,702.00	\$296,599.00

05-95-90-901010-5362 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH SYSTEMS POLICY AND PERFORMANCE, POLICY AND PERFORMANCE

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$180,247.00	\$600,700.00	\$780,947.00
000-000000	General Funds	\$232,720.00	\$0.00	\$232,720.00
	Total Revenue	\$412,967.00	\$600,700.00	\$1,013,667.00
010-500100	Personal Services - Perm	\$223,247.00	\$0.00	\$223,247.00
020-500200	Current Expense	\$5,263.00	\$0.00	\$5,263.00
039-500188	Telecommunications	\$2,150.00	\$0.00	\$2,150.00
041-500801	Audit Fund Set Aside	\$147.00	\$600.00	\$747.00
042-500620	Additional Fringe Benefits	\$7,666.00	\$0.00	\$7,666.00
050-500109	Personal Services – Part Time	\$11,000.00	\$0.00	\$11,000.00
060-500601	Benefits	\$111,994.00	\$0.00	\$111,994.00
066-500543	Employee Training	\$0.00	\$100.00	\$100.00
070-500704	In State Travel	\$1,250.00	\$0.00	\$1,250.00
080-500710	Out of State Travel	\$1,250.00	\$0.00	\$1,250.00
102-500731	Contracts for Program Services	\$49,000.00	\$600,000.00	\$649,000.00
	Sub Total Expenses	\$412,967.00	\$600,700.00	\$1,013,667.00

05-95-90-901010-5390 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH PROTECTION, FOOD PROTECTION SECTION:

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$0.00	\$15,015.00	\$15,015.00
007-000000	Agency Income	\$854,592.00	0	\$854,592.00
009-000000	Other Funds	-\$214,978.00	\$0.00	(\$214,978.00)
000-000000	General Funds	\$4,689.00	\$0.00	\$4,689.00
Total Revenue		\$644,303.00	\$15,015.00	\$659,318.00
010-500100	Personal Services - Perm	\$351,037.00	\$0.00	\$351,037.00
020-500200	Current Expense	\$10,894.00	\$0.00	\$10,894.00
026-500251	Organizational Dues	\$750.00	\$0.00	\$750.00
030-500300	Equipment New Replacement	\$600.00	\$0.00	\$600.00
039-500188	Telecommunications	\$4,250.00	\$0.00	\$4,250.00
041-500801	Audit Fund Set Aside	\$0.00	\$15.00	\$15.00
060-500601	Benefits	\$212,772.00	\$0.00	\$212,772.00
070-500704	In State Travel	\$30,250.00	\$0.00	\$30,250.00
080-500710	Out of State Travel	\$3,750.00	\$0.00	\$3,750.00
102-500731	Contracts for Program Services	\$30,000.00	\$15,000	\$45,000.00
	Sub Total Expenses	\$644,303.00	\$15,015.00	\$659,318.00

05-95-90-901010-5997 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH SYSTEMS POLCIY AND PERFORMANCE, STRENGTHING PUBLIC HEALTH INFRASTRUCTURE

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$117,248.00	\$107,768.00	\$225,016.00
000-000000	General Funds	\$0.00	\$0.00	\$0.00
Total Revenue		\$117,248.00	\$107,768.00	\$225,016.00
010-500100	Personal Services - Perm	\$29,269.00	\$0.00	\$29,269.00
018-500106	Overtime	\$1.00	\$0.00	\$1.00
020-500200	Current Expense	\$2,042.00	\$0.00	\$2,042.00
026-500251	Organizational Dues	\$1.00	\$0.00	\$1.00
030-500300	Equipment New Replacement	\$1.00	\$0.00	\$1.00
039-500188	Telecommunications	\$250.00	\$0.00	\$250.00

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
041-500801	Audit Fund Set Aside	\$108.00	\$107.00	\$215.00
042-500620	Additional Fringe Benefits	\$3,260.00	\$0.00	\$3,260.00
050-500109	Personal Service Part-Time	\$3,910.00	\$0.00	\$3,910.00
060-500601	Benefits	\$19,876.00	\$0.00	\$19,876.00
066-500543	Employee Training	\$9,916.00	\$0.00	\$9,916.00
070-500704	In State Travel	\$100.00	\$0.00	\$100.00
080-500710	Out of State Travel	\$2,100.00	\$0.00	\$2,100.00
102-500731	Contracts for Program Services	\$40,451.00	\$107,661.00	\$148,112.00
104-500742	Certification Expense	\$5,963.00	\$0.00	\$5,963.00
	Sub Total Expenses	\$117,248.00	\$107,768.00	\$225,016.00

05-95-90-901510-7964 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH PROTECTION, LEAD PREVENTION

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$276,582.00	\$25,969.00	\$302,551.00
000-000000	General Funds	\$213,309.00	\$0.00	\$213,309.00
	Total Revenue	\$489,891.00	\$25,969.00	\$515,860.00
010-500100	Personal Services Perm	\$240,172.00	\$0.00	\$240,172.00
020-500200	Current Expense	\$25,678.00	\$0.00	\$25,678.00
021-500211	Food Institutions	\$150.00	\$0.00	\$150.00
026-500251	Organizational Dues	\$312.00	\$0.00	\$312.00
030-500300	Equipment New Replacement	\$200.00	\$0.00	\$200.00
039-500188	Telecommunications	\$3,188.00	\$0.00	\$3,188.00
041-500801	Audit Fund Set Aside	\$260.00	\$26.00	\$286.00
042-500620	Additional Fringe Benefits	\$8,806.00	\$0.00	\$8,806.00
050-500109	Personal Services Part-time	\$15,231.00	\$0.00	\$15,231.00
060-500601	Benefits	\$147,519.00	\$0.00	\$147,519.00
066-500543	Employee Training	\$150.00	\$0.00	\$150.00
070-500704	In State Travel	\$1,357.00	\$0.00	\$1,357.00
080-500710	Out of State Travel	\$2,375.00	\$0.00	\$2,375.00
102-500731	Contracts for Program Services	\$44,193.00	\$25,943.00	\$70,136.00
229-500764	Sheriff Reimbursement	\$300.00	\$0.00	\$300.00
	Sub Total Expenses	\$489,891.00	\$25,969.00	\$515,860.00

05-95-90-902010-5190 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS,
 HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF POPULATION HEALTH AND COMMUNITY
 SERVICES, MATERNAL – CHILD HEALTH

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$1,655,767.00	\$93,497.00	\$1,749,264.00
000-000000	General Funds	\$2,533,255.00	\$0.00	\$2,533,255.00
Total Revenue		\$4,189,022.00	\$93,497.00	\$4,282,519.00
010-500100	Personal Services - Perm	\$360,132.00	\$0.00	\$360,132.00
020-500200	Current Expense	\$16,424.00	\$0.00	\$16,424.00
026-500251	Organizational Dues	\$2,500.00	\$0.00	\$2,500.00
030-500300	Equipment New Replacement	\$2,000.00	\$0.00	\$2,000.00
039-500188	Telecommunications	\$2,239.00	\$0.00	\$2,239.00
041-500801	Audit Fund Set Aside	\$983.00	\$93.00	\$1076.00
042-500620	Additional Fringe Benefits	\$28,447.00	\$0.00	\$28,447.00
060-500601	Benefits	\$183,890.00	\$0.00	\$183,890.00
066-500543	Employee Training	\$500.00	\$50.00	\$550.00
070-500704	In State Travel	\$3,496.00	\$0.00	\$3,496.00
080-500710	Out of State Travel	\$11,998.00	\$0.00	\$11,998.00
102-500731	Contracts for Program Services	\$3,576,413.00	\$93,354.00	\$3,669,767.00
	Sub Total Expenses	\$4,189,022.00	\$93,497.00	\$4,282,519.00

05-95-90-902510-5170 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS,
 HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF INFECTIOUS DISEASE CONTROL, DISEASE
 CONTROL

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$577,738.00	\$122,941.00	\$700,679.00
000-000000	General Funds	\$340,521.00	\$0.00	\$340,521.00
Total Revenue		\$918,259.00	\$122,941.00	\$1,041,200.00
010-500100	Personal Services - Perm	\$368,860.00	\$0.00	\$368,860.00
018-500106	Overtime	\$24,000.00	\$0.00	\$24,000.00

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
020-500200	Current Expense	\$35,207.00	\$0.00	\$35,207.00
026-500251	Organizational Dues	\$1,225.00	\$0.00	\$1,225.00
030-500300	Equipment New Replacement	\$2,919.00	\$0.00	\$2,919.00
039-500188	Telecommunications	\$6,000.00	\$0.00	\$6,000.00
041-500801	Audit Fund Set Aside	\$436.00	\$122.00	\$558.00
042-500620	Additional Fringe Benefits	\$15,685.00	\$0.00	\$15,685.00
050-500109	Personal Service – Part-Time	\$17,250.00	\$0.00	\$17,250.00
060-500601	Benefits	\$226,603.00	\$0.00	\$226,603.00
066-500543	Employee Training	\$0.00	\$5,000.00	\$5,000.00
070-500704	In State Travel	\$20,635.00	\$0.00	\$20,635.00
080-500710	Out of State Travel	\$6,897.00	\$0.00	\$6,897.00
102-500731	Contracts for Program Services	\$68,876.00	\$117,819.00	\$186,695.00
546-500390	Patient Care	\$67,477.00	\$0.00	\$67,477.00
547-500394	Disease Control Emergencies	\$50,000.00	\$0.00	\$50,000.00
548-500396	Reagents	\$6,189.00	\$0.00	\$6,189.00
	Sub Total Expenses	\$918,259.00	\$122,941.00	\$1,041,200.00
	TOTAL EXPENSES	\$6,966,587.00	\$1,067,592.00	\$8,034,179.00

EXPLANATION

This request is **retroactive** to July 1, 2015 due to the funds not being included in the SFY 2015 Budget. A request to Accept and Expend was processed for SFY 2015. Based on that action, contracts were processed and included funds for the July – Sept contract period. However, the funds did not get into the SFY 2016 Budget request due to receiving the notice of grant award after the Agency budget submission. The Department of Health and Human Services received a \$2.2 million Notice of Award from the Centers for Disease Control and Prevention for the period ending September 30, 2016. This is a \$1.2 million increase from the prior year award, of which the Department is requesting \$1,067,592 in SFY 2016.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA 124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?

No, this item is due to an increase in funding received after the 2016-2017 Budget Request.

2. If this request is **retroactive** what is the significance and importance of the action

being effective from an earlier date?

These funds were awarded May 27, 2015 for activities under the federal grant period ending September 30, 2016. If these funds are not continued we would lose the federal funds for services through September as this grant does not allow obligations beyond the grant years end date. Contract amendments have already been approved assuming these funds are available.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)

Yes, this is an ongoing program. However, this request is to accept additional funds awarded during SFY 2015. However, the increase in Federal funding was not awarded until SFY 2015 and not received in time to add to the 2016-2017 Budget Request.

Approved Fiscal FIS15-005 January 23, 2015 and G&C item #12 approved on January 28, 2015.

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

No, the initial ongoing grant funding was included in the FY 2014-2015 Budget the increase in federal funding was not received in time to include in the Budget Request of FY 2016-2017

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

Yes, the initial ongoing funding is included in the vetoed FY2016-2017 budget request. The additional funding is not included.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

Yes, this program does support many positions within the Division of Public Health Services. However, this action is not requesting any new positions in this item. The funding of the grant does support Disease Control Nurses as well as staff in the Public Health Laboratory, Health Officer Liaison (HOL) position and allocated positions within the Division of Public Health Services.

Within Disease Control; The duties performed by these positions are required by law under RSA 141-C for receiving, responding to and investigating reports of infectious diseases. These PHN positions are part of a team that provides infectious disease and

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emergency response coverage 24/7/365 to the citizens of the entire state. The public health nurses respond to calls from the public, healthcare providers and healthcare facilities and field an average of 17,000 phone calls a year, with an increase to 21,813 calls during an outbreak event such as 2009 pandemic H1N1.

Within the PH Laboratory; The Block grant provides funding for three State Health Objectives; to provide a confirmed laboratory diagnosis of tuberculosis within 21 days, to provide laboratory testing in order to maintain zero cases of human paralytic shellfish poisoning and to provide laboratory testing in order to maintain zero human infections due to rabies virus in the state of New Hampshire. Block grant funding is used to support one full time laboratory scientist to perform the above laboratory testing and funding is used to support one part time laboratory assistant to provide operational support for laboratory functions. Funds are also used to provide reagents and supplies for laboratory testing. A portion of the funds are used for cost allocation in support of Division of Public Health Services administrative activities.

The Health Officer Liaison (HOL) position; The goal of the Health Officer Liaison (HOL) is to strengthen local public health capabilities by enhancing the knowledge, skills, and abilities of Local Health Officers (LHO) and local elected officials. The overall strategy of the Health Office Liaison (HOL) 0.5 FTE position is to support and develop environmental public health assessment and intervention capacity in New Hampshire, primarily through supporting and guiding municipal health officers. This is achieved by providing access to training opportunities, providing case-specific technical assistance, improving local elected officials' understanding of the role and responsibilities of Local Health Officers (LHO) including their own roles as members of a local board of health, facilitating communication and coordination with the NH Health Officers Association (HOA), ensuring the ability of DPHS to communicate with LHOs during emergencies.

Food Protection Services will use block grant funds to develop a contract for hiring a strategic planning resource that will work with the entire team of 16 staff and management to facilitate a strategic planning process based on June 2015 performance audit findings, which emphasize moving to a risk-based inspection approach and development of performance metrics.

Also funded with the Preventive Health Block Grant award is Administrative and Management support within the Division of Public Health Services.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

If this item is not approved, the federal funding for the federal grant year ending September 30, 2015 will lapse. Under this Block Grant, funds cannot be carried from one grant year to the next. A federal budget revision will need to be requested to move these awards from the federal grant year 1 to grant year 2. This could jeopardize items set to be funded in the already approved federal grant year 2. These contracts support the Public Health Network for injury prevention, obesity prevention and climate change adaptation planning. Contracts have been

issued with the intention of funding July through September with these funds.

For Food Protection Services If this item is denied this will negate the ability to follow-up on some of the recommended improvements raised during the recent LBA program review, one of which was to develop a strategic plan to prioritize performance and improvement objectives and that can be published to the DHHS website.

The PHHS Block Grant is administered by the United States Department of Health and Human Services and provides funding to states to address their unique public health needs in innovative and locally defined ways. This program gives grantees the flexibility to use funds to respond rapidly to emerging health issues and to fill funding gaps in programs that deal with leading causes of death and disability.

The New Hampshire Department of Health and Human Services (DHHS), Division of Public Health Services (DPHS) is designated as the principal state agency for the allocation and administration of the Block Grant within the State of New Hampshire.

Under the direction of the Division and the Block Grant advisory committee the PHHS Block Grant funds have been used and will continue to be used to fund core public health such as:

- testing for and investigation of foodborne illness, rabies, tuberculosis, meningitis, hepatitis A, vaccine preventable diseases, and West Nile Virus,
- financial and technical support to school and community based oral health programs;
- heart disease and stroke prevention;
- preventing intentional and unintentional injuries;
- continued education and training of public health workforce; and
- sexual assault services.

The additional \$1.2 million will be used to:

- to continue performance improvement and accreditation initiatives within the Division of Public Health Services;
- support statewide clinical integration and data development efforts to further progress outlined in the State Health Improvement Plan; and
- fund the Public Health Networks to further State Health Improvement efforts locally and strengthen local public health infrastructure.

The funds are to be budgeted as follows: AU# 2203

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 066 (Employee Training) are needed for staff to be trained to support Bureau professional development and training opportunities.
- Funds in class 102 (Contracts for Program Services) will be used for Data development within Health Statistics Bureau.

The funds are to be budgeted as follows: AU# 5362

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.

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- Funds in class 066 (Employee Training) training for Bureau staff on quality improvement and performance management and other training needs related to bureau's work.
- Funds in class 102 (Contracts for Program Services) will be used to contract with 13 Regional Public Health Network Services contractors to develop a Community Health Improvement Plan (CHIP) that is aligned with the State Health Improvement Plan.

The funds are to be budgeted as follows: AU# 5390

- Funds in Class 041 (Audit Funds Set Aside): per state requirement
- Funds in class 102 (Contracts for Program Services) will be used to contract hiring a strategic planning resource group to facilitate a strategic planning process, which emphasize moving to a risk-based inspection approach and development of performance metrics.

The funds are to be budgeted as follows: AU# 5997

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 102 (Contracts for Program Services) will continue initiatives around strategic planning.
-

The funds are to be budgeted as follows: AU# 7964

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 102 (Contracts for Program Services) will continue Lead prevention initiatives.

The funds are to be budgeted as follows: AU# 5190

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 066 (Employee Training) to support Bureau professional development and training opportunities for staff.
- Funds in class 102 (Contracts for Program Services) will be used to contract in the area of injury prevention.

The funds are to be budgeted as follows: AU# 5170

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 066 (Employee Training) to support Bureau professional development and training opportunities for staff.
- Funds in class 102 (Contracts for Program Services) will be used for contracts in the Disease Control section to support program initiatives and funding to the Public Health Networks

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

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The geographic area to be served is the state of New Hampshire.


Source of funds: These funds are 100% Federal Funds from the Centers for Disease Control, Preventive Health and Health Services (PHHS) Block Grant. Attached is the Notice of Grant Award and award history. Notice of these funds was received on August 20, 2014. They were not added to the operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella Jordan Bobinsky, MPH
Acting Director

Approved by: 
Nicholas A. Toumpas
Commissioner

**Award History
Preventive Health Services Block Grant
B1NHPRVS**

Anticipated Award Ending 9/30/2016 (2,213,482/12*9)	1,660,112
Award Ending 9/30/2015	2,223,566
Expended Through 6/30/15	<u>(817,897)</u>
Available Award Balance 7/1/15	3,065,781
SFY 16 Appropriations *	(632,109)
OYR - brought forward	-
Available To Accept	<u>2,433,672</u>
Amount Requested this Action	<u>1,067,592</u>

* SFY 16CR Appropriations:

Preventive Hlth BG funding	2016 Budget	2016 CR*	OYR	Total	This Action	Revised SFY 16CR Budget
010-090-51100000	100,000	50,000		50,000		50,000
010-090-22030000	1,000	500		500	101,702	102,202
010-090-53620000		-		-	600,700	600,700
010-090-53900000		-		-	15,015	15,015
010-090-59970000	215,321	107,661		107,661	107,768	215,429
010-090-79640000	51,885	25,943		25,943	25,969	51,912
010-090-45270000	260,260	130,130		130,130	-	130,130
010-090-51900000	66,431	33,216		33,216	93,497	126,713
010-090-79670000	110,824	55,412		55,412	-	55,412
010-090-51700000	278,900	139,450		139,450	122,941	262,391
010-090-79660000	179,597	89,799		89,799		89,799
Total	1,264,218	632,109	-	632,109	1,067,592	1,699,701



PHS BLOCK GRANTS
Department of Health and Human Services
Centers for Disease Control and Prevention
Office for State, Tribal, Local and Territorial Support

Notice of Award

Issue Date: 08/20/2014



Grant Number: 2B01OT009037-14

FAIN: B01OT009037

Principal Investigator(s):

MARY ANN COONEY

Project Title: Preventive Health and Health Services Block Grant

29 HAVEN DRIVE

CONCORD, NH 033016527

Budget Period: 10/01/2013 - 09/30/2015

Project Period: 10/01/2013 - 09/30/2015

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$2,223,566 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPT OF HEALTH & WELFARE, DIV OF P in support of the above referenced project. This award is pursuant to the authority of PART A, TITLE XIX, PHS ACT, AS AMENDED and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Roslyn Curington
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 2B01OT009037-14

Award Calculation (U.S. Dollars)

Federal Direct Costs:	\$2,223,566
Approved Budget	\$2,223,566
Federal Share	\$2,223,566
TOTAL FEDERAL AWARD AMOUNT	\$2,223,566
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$2,223,566

Fiscal Information:

CFDA Number: 93.758
EIN: 1026000618B5
Document Number: 14B1NHPRVS

IC	CAN	2014
OT	939016K	\$2,223,566

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
14	\$2,223,566	\$2,223,566

CDC Administrative Data:

PCC: B / OC: 4115 / Processed: ERAAPPS 08/20/2014

SECTION II – PAYMENT/HOTLINE INFORMATION – 2B01OT009037-14

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhsips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave, SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 2B01OT009037-14

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- The grant program legislation and program regulation cited in this Notice of Award.
- The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award has been assigned the Federal Award Identification Number (FAIN) B01OT009037. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

4/12

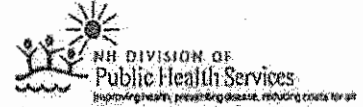


Nicholas A. Toumpas
Commissioner

José Thier Montero
Director

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6527
603-271-4517 1-800-852-3345 Ext.4517
Fax: 603-271-4519 TDD Access: 1-800-735-2964



Approved F/C # 4 FIS 15-005
F/C Approval Date: 01/23/2015
G&C Item # 12
G&C Approval Date: 01/28/2015

December 19, 2014

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services to accept and expend federal funds in the amount of \$641,559 from the Centers for Disease Control and Prevention, Preventive Health and Health Services (PHHS) Block Grant, effective upon Fiscal Committee and Governor and Council approval through June 30, 2015, and further authorize the funds to be allocated as follows. Grant funds awarded for periods after SFY 2015 will be included in the future operating budgets for SFY 2016 and SFY 2017. 100% Federal Funds.

05-95-90-900510-2203 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS,
HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH STATS AND INFORMATICS,
INFOMATICS

SFY 2015

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$67,856	\$99,000	\$166,856
000-000000	General Funds	\$154,998	\$0	\$154,998
Total Revenue		\$222,854	\$99,000	\$321,854
010-500100	Personal Services - Perm	\$71,481	\$0	\$71,481
012-500128	Personal Services - Unclassified	\$79,369	\$0	\$79,369
020-500200	Current Expense	\$1,500	\$0	\$1,500
039-500188	Telecommunications	\$860	\$0	\$860
041-500801	Audit Fund Set Aside	\$66	\$99	\$165
042-500620	Additional Fringe Benefits	\$1,363	\$0	\$1,363
060-500601	Benefits	\$67,915	\$0	\$67,915

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066-500543	Employee Training	\$0	\$3,850	\$3,850
070-500704	In State Travel	\$300	\$0	\$300
080-500710	Out of State Travel	\$0	\$7,607	\$7,607
102-500731	Contracts for Program Services	\$0	\$87,444	\$87,444
	Sub Total Expenses	\$222,854	\$99,000	\$321,854

05-95-90-901010-5362 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS,
 HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH SYSTEMS POLICY AND
 PERFORMANCE, POLICY AND PERFORMANCE

SFY 2015

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$270,115	\$361,917	\$632,032
000-000000	General Funds	\$482,323	\$0	\$482,323
	Total Revenue	\$752,438	\$361,917	\$1,114,355
010-500100	Personal Services - Perm	\$466,960	\$0	\$466,960
020-500200	Current Expense	\$9,829	\$0	\$9,829
039-500188	Telecommunications	\$4,300	\$0	\$4,300
041-500801	Audit Fund Set Aside	\$294	\$336	\$630
042-500620	Additional Fringe Benefits	\$15,331	\$0	\$15,331
050-500109	Personal Services - Part Time	\$22,639	\$0	\$22,639
060-500601	Benefits	\$228,085	\$0	\$228,085
066-500543	Employee Training	\$0	\$45,999	\$45,999
070-500704	In State Travel	\$2,500	\$0	\$2,500
080-500710	Out of State Travel	\$2,500	\$41,332	\$43,832
102-500731	Contracts for Program Services	\$0	\$274,250	\$274,250
	Sub Total Expenses	\$752,438	\$361,917	\$1,114,355

05-95-90-901010-5997 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS,
 HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH SYSTEMS POLICY AND
 PERFORMANCE, STRENGTHING PUBLIC HEALTH INFRASTRUCTURE

SFY 2015

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$244,712	\$52,231	\$296,943
Total Revenue		\$244,712	\$52,231	\$296,943
010-500100	Personal Services - Perm	\$61,407	\$0	\$61,407
018-500106	Overtime	\$1	\$0	\$1
020-500200	Current Expense	\$4,108	\$0	\$4,108
026-500251	Organizational Dues	\$1	\$0	\$1
030-500300	Equipment New Replacement	\$922	\$0	\$922
039-500188	Telecommunications	\$500	\$0	\$500
041-500801	Audit Fund Set Aside	\$216	\$52	\$268
042-500620	Additional Fringe Benefits	\$6,521	\$0	\$6,521
050-500109	Personal Service Part-Time	\$8,257	\$0	\$8,257
060-500601	Benefits	\$40,353	\$0	\$40,353
066-500543	Employee Training	\$76,199	\$52,179	\$128,378
070-500704	In State Travel	\$200	\$0	\$200
080-500710	Out of State Travel	\$4,200	\$0	\$4,200
102-500731	Contracts for Program Services	\$29,902	\$0	\$29,902
104-500742	Certification Expense	\$11,925	\$0	\$11,925
	Sub Total Expenses	\$244,712	\$52,231	\$296,943

05-95-90-901510-7964 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH PROTECTION, LEAD PREVENTION

SFY 2015

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$585,913	\$6,197	\$592,110
000-000000	General Funds	\$436,683	\$0	\$436,683
Total Revenue		\$1,022,596	\$6,197	\$1,028,793
010-500100	Personal Services Perm	\$498,086	\$0	\$498,086
020-500200	Current Expense	\$46,785	\$0	\$46,785
021-500211	Food Institutions	\$300	\$0	\$300
026-500251	Organizational Dues	\$625	\$0	\$625

030-500300	Equipment New Replacement	\$32,095	\$0	\$32,095
039-500188	Telecommunications	\$6,376	\$0	\$6,376
041-500801	Audit Fund Set Aside	\$519	\$6	\$525
042-500620	Additional Fringe Benefits	\$17,612	\$0	\$17,612
050-500109	Personal Services Part-time	\$30,462	\$0	\$30,462
060-500601	Benefits	\$298,547	\$0	\$298,547
066-500543	Employee Training	\$300	\$2,070	\$2,370
070-500704	In State Travel	\$2,713	\$0	\$2,713
080-500710	Out of State Travel	\$4,750	\$4,121	\$8,871
102-500731	Contracts for Program Services	\$82,826	\$0	\$82,826
229-500764	Sheriff Reimbursement	\$600	\$0	\$600
	Sub Total Expenses	\$1,022,596	\$6,197	\$1,028,793

05-95-90-902010-5190 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS,
 HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF POPULATION HEALTH AND COMMUNITY
 SERVICES, MATERNAL - CHILD HEALTH

SFY 2015

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$2,157,596	\$107,952	\$2,265,548
000-000000	General Funds	\$5,676,558	\$0	5,676,558
Total Revenue		\$7,834,154	\$107,952	\$7,942,106
010-500100	Personal Services - Perm	\$747,245	\$0	\$747,245
020-500200	Current Expense	\$38,768	\$0	\$38,768
026-500251	Organizational Dues	\$5,000	\$0	\$5,000
030-500300	Equipment New Replacement	\$4,000	\$0	\$4,000
039-500188	Telecommunications	\$4,479	\$0	\$4,479
041-500801	Audit Fund Set Aside	\$2,064	\$107	\$2,171
042-500620	Additional Fringe Benefits	\$56,893	\$0	\$56,893
060-500601	Benefits	\$373,116	\$0	\$373,116
066-500543	Employee Training	\$1,000	\$6,495	\$7,495
070-500704	In State Travel	\$7,833	\$0	\$7,833
080-500710	Out of State Travel	\$23,996	\$12,841	\$36,837
102-500731	Contracts for Program Services	\$6,569,760	\$88,509	\$6,658,269
	Sub Total Expenses	\$7,834,154	\$107,952	\$7,942,106

05-95-90-902510-5170 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS,
 HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF INFECTIOUS DISEASE CONTROL, DISEASE
 CONTROL

SFY 2015

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-400146	Federal Funds	\$946,501	\$14,262	\$960,763
000-000000	General Funds	\$865,401	\$0	\$865,401
Total Revenue		\$1,811,902	\$14,262	\$1,826,164
010-500100	Personal Services - Perm	\$766,494	\$0	\$766,494
018-500106	Overtime	\$48,000	\$0	\$48,000
020-500200	Current Expense	\$50,251	\$0	\$50,251
026-500251	Organizational Dues	\$2,450	\$0	\$2,450
030-500300	Equipment New Replacement	\$11,638	\$0	\$11,638
039-500188	Telecommunications	\$12,000	\$0	\$12,000
041-500801	Audit Fund Set Aside	\$873	\$14	\$887
042-500620	Additional Fringe Benefits	\$31,371	\$0	\$31,371
050-500109	Personal Service - Part-Time	\$36,944	\$0	\$36,944
060-500601	Benefits	\$458,625	\$0	\$458,625
066-500543	Employee Training	\$0	\$11,018	\$11,018
070-500704	In State Travel	\$41,270	\$0	\$41,270
080-500710	Out of State Travel	\$13,793	\$3,230	\$17,023
102-500731	Contracts for Program Services	\$93,069	\$0	\$93,069
546-500390	Patient Care	\$132,613	\$0	\$132,613
547-500394	Disease Control Emergencies	\$100,000	\$0	\$100,000
548-500396	Reagents	\$12,511	\$0	\$12,511
	Sub Total Expenses	\$1,811,902	\$14,262	\$1,826,164
	TOTAL EXPENSES	\$11,888,656	\$641,559	\$12,530,215

EXPLANATION

The Department of Health and Human Services received a \$2.2 million Notice of Award from the Centers for Disease Control and Prevention for the period ending September 30, 2015. This is a \$1.2 million increase from the prior year award, of which the Department is requesting \$641,559 in SFY 2015. Grant funds awarded for periods after SFY 2015 will be included in the future operating budgets for SFY 2016 and SFY 2017.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
December 19, 2014
Page 6

The PHHS Block Grant is administered by the United States Department of Health and Human Services and provides funding to states to address their unique public health needs in innovative and locally defined ways. This program gives grantees the flexibility to use funds to respond rapidly to emerging health issues and to fill funding gaps in programs that deal with leading causes of death and disability.

The New Hampshire Department of Health and Human Services (DHHS), Division of Public Health Services (DPHS) is designated as the principal state agency for the allocation and administration of the Block Grant within the State of New Hampshire.

Under the direction of the Division and the Block Grant advisory committee the PHHS Block Grant funds have been used and will continue to be used to fund core public health such as:

- testing for and investigation of foodborne illness, rabies, tuberculosis, meningitis, hepatitis A, vaccine preventable diseases, and West Nile Virus,
- financial and technical support to school and community based oral health programs;
- heart disease and stroke prevention;
- preventing intentional and unintentional injuries;
- continued education and training of public health workforce; and
- sexual assault services.

The additional \$1.2 million will be used to:

- to continue performance improvement and accreditation initiatives within the Division of Public Health Services;
- support statewide clinical integration and data development efforts to further progress outlined in the State Health Improvement Plan; and
- fund the Public Health Networks to further State Health Improvement efforts locally and strengthen local public health infrastructure.

The funds are to be budgeted as follows: AU# 2203

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 066 (Employee Training) are needed for staff to be trained to support Bureau professional development and training opportunities.
- Funds in class 080 (Out of State Travel) are needed to pay for out-of-state travel by the Principal Investigator to attend an all grantee meeting in Atlanta, GA.
- Funds in class 102 (Contracts for Program Services) will be used for Data development within Health Statistics Bureau.

The funds are to be budgeted as follows: AU# 5362

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 066 (Employee Training) training for Bureau staff on quality improvement and performance management and other training needs related to bureau's work.
- Funds in class 080 (Out of State Travel) additional funds for staff travel that has not been possible for the last 5 years due to previous cuts to the PHHS block grant funds.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and
Her Excellency, Governor Margaret Wood Hassan
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- Funds in class 102 (Contracts for Program Services) will be used to contract with 13 Regional Public Health Network Services contractors to develop a Community Health Improvement Plan (CHIP) that is aligned with the State Health Improvement Plan.

The funds are to be budgeted as follows: AU# 5997

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 066 (Employee Training) continued training for staff in QI and updating QI projects throughout the Division.

The funds are to be budgeted as follows: AU# 7964

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 066 (Employee Training) to support Bureau professional development and training opportunities for staff.
- Funds in class 080 (Out of State Travel) additional funds for staff travel that has not been possible for the last 5 years due to previous cuts to the PHHS block grant funds

The funds are to be budgeted as follows: AU# 5190

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 066 (Employee Training) to support Bureau professional development and training opportunities for staff.
- Funds in class 080 (Out of State Travel) additional funds for staff travel that has not been possible for the last 5 years due to previous cuts to the PHHS block grant funds.
- Funds in class 102 (Contracts for Program Services) will be used to contract with 13 Regional Public Health Network Services contractors to develop a Community Health Improvement Plan (CHIP) that is aligned with the State Health Improvement Plan efforts, specifically in the area of injury prevention.

The funds are to be budgeted as follows: AU# 5170

- Funds in Class 041 (Audit Funds Set Aside): per state requirement.
- Funds in class 066 (Employee Training) to support Bureau professional development and training opportunities for staff.
- Funds in class 080 (Out of State Travel) additional funds for staff travel that has not been possible for the last 5 years due to previous cuts to the PHHS block grant funds

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

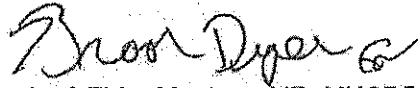
The geographic area to be served is the state of New Hampshire.

The Honorable Neal M. Kurk, Chairman
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Source of funds: These funds are 100% Federal Funds from the Centers for Disease Control, Preventive Health and Health Services (PHHS) Block Grant. Attached is the Notice of Grant Award and award history. Notice of these funds was received on August 20, 2014. They were not added to the operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

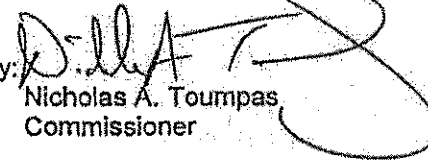
In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



José Thier Montero, MD, MHCDS
Director

Approved by:



Nicholas A. Toumpas
Commissioner

JTM/CSI

**Award History
Preventive Health Services Block Grant
B1NHPRVS**

Award Ending 9/30/2015 (2,223,566/12*9)	1,667,675
Award Ending 9/30/2014	994,054
Expended Through 6/30/14	<u>(710,806)</u>
Available Award Balance 7/1/14	1,950,923
SFY 15 Appropriations *	(1,264,218)
OYR - brought forward	(45,145)
Available To Accept	<u>641,559</u>
Amount Requested this Action	<u>641,559</u>



PHS BLOCK GRANTS
Department of Health and Human Services
Centers for Disease Control and Prevention
Office for State, Tribal, Local and Territorial Support

Notice of Award

Issue Date: 08/20/2014



Grant Number: 2B01OT009037-14
FAIN: B01OT009037

Principal Investigator(s):
MARY ANN COONEY

Project Title: Preventive Health and Health Services Block Grant

29 HAVEN DRIVE

CONCORD, NH 033016527

Budget Period: 10/01/2013 - 09/30/2015
Project Period: 10/01/2013 - 09/30/2015

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$2,223,566 (see "Award Calculation" in Section I and "Terms and Conditions" in Section II) to NH ST DEPT OF HEALTH & WELFARE, DIV OF P in support of the above referenced project. This award is pursuant to the authority of PART A, TITLE XIX, PHS ACT, AS AMENDED and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Roslyn Curington
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I - AWARD DATA - 2B01OT009037-14

Award Calculation (U.S. Dollars)

Federal Direct Costs: \$2,223,566
Approved Budget: \$2,223,566
Federal Share: \$2,223,566
TOTAL FEDERAL AWARD AMOUNT: \$2,223,566

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$2,223,566

Fiscal Information:

CFDA Number: 93.758
EIN: 1026000618B5
Document Number: 14B1NHPRVS

IC	CAN	2014
OT	939016K	\$2,223,566

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
14	\$2,223,566	\$2,223,566

CDC Administrative Data:

POB: B/OC: 4115/Processed: ERAAPPS.08/20/2014

SECTION II - PAYMENT/HOTLINE INFORMATION - 2B01OT009037-14

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-3477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhsips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III - TERMS AND CONDITIONS - 2B01OT009037-14

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- The grant program legislation and program regulation cited in this Notice of Award.
- The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award has been assigned the Federal Award Identification Number (FAIN) B01OT009037. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.



PHS BLOCK GRANTS
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR CHRONIC DISEASE PREV AND HEALTH PROMO

Notice of Award

Issue Date: 09/11/2013



Grant Number: 2B01DP009037-13

Contact Person:
MARY ANN ANN COONEY MS.

Program: PREVENTIVE HEALTH SERVICES.

NH STATE DEPT/HLTH STATISTICS/DATA MGMT.
OFFICE OF COMMUNITY AND PUBLIC HEALTH
29 HAZEN DRIVE
CONCORD, NH 033016504

Award Period: 10/01/2012 -- 09/30/2014

Dear Grantee:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$994,054 (see "Award Calculation" in Section I) to NH STATE DEPT/HLTH STATISTICS/DATA MGMT in support of the above referenced project. This award is pursuant to the authority of PART A, TITLE XIX, PHS ACT, AS AMENDED and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,

Roslyn Curington
Grants Management Officer
Division of Grants Management, OPS
Centers for Disease Control and Prevention

See additional information below

SECTION I - AWARD DATA - 2B01DP009037-13

FEDERAL FUNDS APPROVED: \$994,054
AMOUNT OF THIS ACTION (FEDERAL SHARE): \$994,054
UNAWARDED BALANCE OF CURRENT YEAR'S FUNDS: \$0
CUMULATIVE AWARDS TO DATE: \$994,054

Fiscal Information:

CFDA Number: 98.991
EIN: 102600061885
Document Number: 13B1NHPV5
Fiscal Year: 2013

IC	CAN	73
DP	9212687	\$994,054

PCC: B / OC: 4115

SECTION II - PAYMENT/HOTLINE INFORMATION - 2B01DP009037-13

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 8021, Rockville, MD 20852, Help Desk Support - Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III - TERMS AND CONDITIONS - 2B01DP009037-13

See attached.

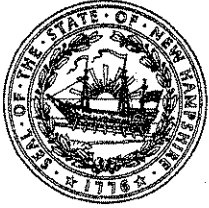
TERMS AND CONDITIONS OF THIS AWARD

NOTE 1: INCORPORATION: Preventive Health Services Block Grant Legislation, PI 97-35, 42 USC 300w-300w-10) and 45 CFR Part 96 and your Application are hereby made a part of this award by reference.

NOTE 2: APPROVED FUNDING: Funding in the amount of \$994,054 and includes Sex Offense is approved for Fiscal Year 2013 budget/project period, which is October 1, 2012 through September 30, 2014.

This action awards total funding of the 1st, 2nd, 3rd, and 4th quarter allotments in the amount of: \$994,054.

The total funding includes of the Sex Offense allotment in the amount of \$29,435.



FIS 15 178

STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION of PARKS and RECREATION
BUREAU OF TRAILS

172 Pembroke Road Concord, New Hampshire 03301
Phone: (603) 271-3254 Fax: (603) 271-3553 E-Mail: nhtrails@dred.nh.gov
Web: www.nhtrails.org

August 10, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Resources and Economic Development, Division of Parks and Recreation, Bureau of Trails, to accept and expend \$109,020 from the New Hampshire Fish and Game Department for anticipated shortages in Grants, Subsidies and Relief for Fiscal Year. This request is effective upon Fiscal Committee and Governor and Executive Council approval through December 31, 2015. **100% Transfer Funds**

Grants In Aid - Wheeled					
03-35-35-351510-34860000					
Fiscal Year 2016					
Class	Account	Description	Current Budget	Requested Action	Adjusted Budget
001	405877	Transfers from Other Agency	(\$127,455)	(\$109,020)	(\$236,475)
075	500590	Grants Subsidies and Relief	\$127,455	\$109,020	\$236,475

EXPLANATION

The Grant-in-Aid – Wheeled account is a dedicated fund derived from OHRV registration sales. These sales are recorded at the Fish and Game Department and subsequently transferred to The Department of Resources and Economic Development quarterly via inter-agency transfers of funds. The Fish and Game Department has sufficient funds available to meet this request.

The Department of Resources and Economic Development already has contracts in place with volunteer clubs which were approved by the Governor and Executive Council. The contracts are for maintenance projects to be completed during the first 6 months of Fiscal Year 2016 which address public safety issues and critical maintenance vehicle repairs.

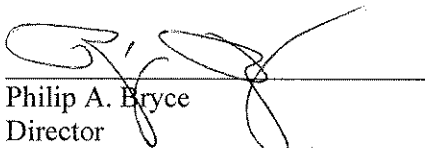
Listed below are answers to standard questions required of all Fiscal Committee item requests related to RSA 14:30-a, VI “Expenditure of funds over \$100,000 from any Non State Source” or RSA 124:15 “Positions Authorized” or both:

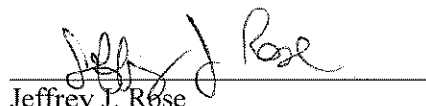
- 1. Is the action required of this request a result of the Continuing Resolution for FY 2016? Yes.** This action is required as a result of the continuing resolution.

2. **If this request is retroactive what is the significance and importance of the action being effective from an earlier date?** This is not a retroactive request.
3. **Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)** No. It is a budgeted account. The Bureau of Trails Grant in Aid Wheeled Program is established pursuant to RSA 215-A:3, II.
4. **Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?** This program was funded for FY 2014-2015 per Chapter 143, Laws of 2013.
5. **Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?** The program was included in the vetoed FY 2016-2017 operating budget.
6. **Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)** The program does not include any positions or consultants.
7. **What would be the effect should this program be discontinued or not initiated as a result of this request being denied?** Without funding to cover the grants, OHRV trail repair projects will not be completed, and several OHRV connector trails in Coos County will need to be closed. The volunteer organizations have the summer and fall construction seasons to complete this work. Failure to complete the projects in the first 6 months of the fiscal year will have an impact on safety and the economy.

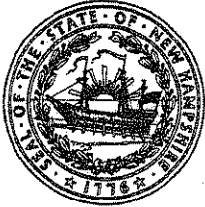
Respectfully submitted,

Concurred,


Philip A. Bryce
Director


Jeffrey J. Rose
Commissioner

STATE OF NEW HAMPSHIRE DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT DIVISION OF PARKS AND RECREATION - BUREAU OF TRAILS GRANTS IN AID - WHEELED FISCAL SITUATION - AUGUST 10, 2015	
<i>03-35-35-351510-34860000</i>	
Balance Forward – July 1, 2015	313,703
Estimated Revenue - FY 2016	127,455
Total Funds Available - FY 2016	441,158
Current Budget - FY 2016	127,455
Funds in Excess of Budget	313,703
Amount Reserved if Appropriation Request is Approved	204,683
Additional Appropriation Request - FY 2016	109,020



FIS 15 179

STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION of PARKS and RECREATION
BUREAU OF TRAILS

172 Pembroke Road Concord, New Hampshire 03301
Phone: (603) 271-3254 Fax: (603) 271-3553 E-Mail: nhtrails@dred.nh.gov
Web: www.nhtrails.org

August 10, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Resources and Economic Development, Division of Parks and Recreation, Bureau of Trails, to budget and expend additional funds as outlined below totaling \$189,276 for anticipated shortages in Grants, Subsidies and Relief for Fiscal Year 2016. This request is effective upon Fiscal Committee and Governor and Executive Council approval through December 31, 2015. **100% Transfer Funds**

Grants In Aid Equipment – Snowmobile					
03-35-35-351510-34840000					
Fiscal Year 2016					
Class	Account	Description	Current Budget	Requested Action	Adjusted Budget
001	405881	Transfers from Other Agency	(\$145,825)	(\$168,387)	(\$314,212)
075	500590	Grants Subsidies and Relief	\$145,825	\$168,387	\$314,212

Grants In Aid Equipment – Wheeled					
03-35-35-351510-34880000					
Fiscal Year 2016					
Class	Account	Description	Current Budget	Requested Action	Adjusted Budget
001	405879	Transfers from Other Agency	(\$54,712)	(\$20,889)	(\$75,601)
075	500590	Grants Subsidies and Relief	\$54,712	\$20,889	\$75,601

EXPLANATION

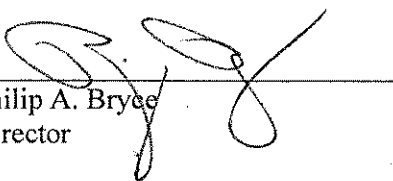
These Grant-in-Aid Equipment accounts are dedicated funds derived from snowmobile and Off Highway Recreational Vehicles (OHRV) registration sales. These sales are recorded at the Fish and Game Department and subsequently transferred to The Department of Resources and Economic Development quarterly via an inter-agency transfer of funds. The Department of Resources and Economic Development already has contracts in place with volunteer clubs which were approved by the Governor and Executive Council. The contracts are for maintenance projects to be completed during the first 6 months of Fiscal Year 2016 which address public safety issues and critical maintenance vehicle repairs.

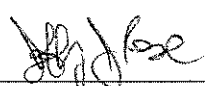
Listed below are answers to standard questions required of all Fiscal Committee item requests related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source" or RSA 124:15 "Positions Authorized" or both:

1. **Is the action required of this request a result of the Continuing Resolution for FY 2016?** Yes. This action is required as a result of the continuing resolution.
2. **If this request is retroactive what is the significance and importance of the action being effective from an earlier date?** This is not a retroactive request.
3. **Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)** No. These are budgeted accounts. The Bureau of Trails Grant in Aid Equipment – Snowmobile and Wheeled Programs were established pursuant to RSA 215-C:2, II and RSA 215-A:3, II.
4. **Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?** These programs were funded for FY 2014-2015 per Chapter 143, Laws of 2013.
5. **Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?** These programs were included in the vetoed FY 2016-2017 operating budget.
6. **Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)** These programs do not include any positions or consultants.
7. **What would be the effect should this program be discontinued or not initiated as a result of this request being denied?** Without funding to cover the grants, snowmobile trail improvement projects will not be ready for the upcoming winter riding season and several OHRV connector trails in Coos County will need to be closed. The volunteer organizations have the summer and fall construction seasons to complete this work. Failure to complete the projects in the first 6 months of the fiscal year will have an impact on safety and the economy.

Respectfully submitted,

Concurred,


Philip A. Bryce
Director


Jeffrey J. Rose
Commissioner

STATE OF NEW HAMPSHIRE DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT DIVISION OF PARKS AND RECREATION - BUREAU OF TRAILS GRANTS IN AID EQUIPMENT - SNOWMOBILE FISCAL SITUATION - AUGUST 10, 2015	
<i>03-35-35-351510-34840000</i>	
Balance Forward – July 1, 2015	226,221
Estimated Revenue - FY 2016	145,825
Total Funds Available - FY 2016	372,046
Current Budget - FY 2016	145,825
Funds in Excess of Budget	226,221
Amount Reserved if Appropriation Request is Approved	57,834
Additional Appropriation Request - FY 2016	168,387

STATE OF NEW HAMPSHIRE DEPARTMENT OF RESOURCES AND ECONOMIC DEVELOPMENT DIVISION OF PARKS AND RECREATION - BUREAU OF TRAILS GRANTS IN AID EQUIPMENT - WHEELED FISCAL SITUATION - AUGUST 10, 2015	
<i>03-35-35-351510-34880000</i>	
Balance Forward – July 1, 2015	139,118
Estimated Revenue - FY 2016	53,100
Total Funds Available - FY 2016	192,218
Current Budget - FY 2016	53,100
Funds in Excess of Budget	139,118
Amount Reserved if Appropriation Request is Approved	118,229
Additional Appropriation Request - FY 2016	20,889



STATE OF NEW HAMPSHIRE

FIS 15 172

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4988 1-800-852-3345 Ext. 4988
Fax: 603-271-7623 TDD Access: 1-800-735-2964



Nicholas A. Toumpas
Commissioner

José Thier Montero
Director

August 5, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

- 1. Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services, to accept and expend federal funds in the amount of \$257,214.00 from the Centers of Disease Control and Prevention to fund the New Hampshire Environmental Public Health Tracking Program effective upon date of approval by the Fiscal Committee and Governor and Council, retroactive to July 1, 2015, through December 31, 2015, and further authorize the funds to be allocated as follows. 100% Federal Funds
2. Pursuant to the provisions of NH RSA 124:15, Positions Restricted, and subject to the approval of Item 1 above, authorize the Department of Health and Human Services, Division of Public Health Services, to retroactively amend Fiscal Item #FIS 15-002, approved on 1/23/15 and Governor and Council Item #7, approved on 2/11/15 to establish three full-time temporary (class 059) positions, utilizing funds from the United States Centers for Disease Control/Maintenance and Enhancement of the Environmental Public Health Tracking Network, by extending the end date from June 30, 2015 to December 31,2015 effective upon Fiscal Committee and Governor and Council approval. The attached letter from the Division of Personnel contains the original approval for the establishment of these positions at requested classifications.

- Full-time, temporary (class 059) position – Senior Management Analyst, Labor Grade 26
Full-time, temporary (class 059) position – Program Planner III, Labor Grade 25
Full-time, temporary (class 059) position – Program Planner III, Labor Grade 25

05-95-90-900510-5173 HEALTH AND SOCIAL SERVICES, DEPARTMENT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH STATISTICS AND INFORMATICS, EPH TRACKING

SFY 2015

Table with 5 columns: Class/Object, Class Title, Current Modified Budget, Increase (Decrease) Amount, Revised Modified Budget. Row 1: 000-404369, Federal Funds, \$381,590.00, \$257,214.00, \$638,804.00

Total Revenue		\$381,590.00	\$257,214.00	\$638,804.00
010-500100	Personal Serv – Perm	\$102,368.00	\$0.00	\$102,368.00
020-500200	Current Expenses	\$2,653.00	\$0.00	\$2,653.00
022-500258	Rents-Leases Other Than State	\$150.00	\$0.00	\$150.00
026-500251	Organizational Dues	\$1.00	\$0.00	\$1.00
030-500330	Equipment	\$1.00	\$2,299.00	\$2,300.00
039-500188	Telecommunications	\$750.00	\$619.00	\$1,369.00
041-500801	Audit Fund Set Aside	\$304.00	\$257.00	\$561.00
042-500620	Additional Fringe Benefits	\$11,089.00	\$0.00	\$11,089.00
059-500117	Temp Full-Time	\$0.00	\$158,270.00	\$158,270.00
060-500601	Benefits	\$45,882.00	\$90,997.00	\$136,879.00
066-500543	Employee Training	\$1,385.00	\$4,600.00	\$5,985.00
070-500704	In State Travel Reimbursement	\$250.00	\$172.00	\$422.00
080-500710	Out of State Travel	\$4,500.00	\$0.00	\$4,500.00
102-500731	Contracts for Program Services	\$212,257.00	\$0.00	\$212,257.00
Total Expenses		\$381,590.00	\$257,214.00	\$638,804.00

EXPLANATION

This request is **retroactive** to July 1, 2015 due to the Continuing Resolution in effect for SFY 2016. These funds were not awarded until August 07, 2014, and were not included in the SFY 2015 budget. However, an Accept and Expend Request to the Fiscal Committee (FIS-15-002) and Governor and Council (Item# 7) was approved February 11, 2015.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?

No, this action would need to happen with or without the Continuing Resolution. It was anticipated that the new funds would be added to the Operating Budget for FY 2016-2017 during the working phase of the Budget. Due to staff shortages and timing, these funds did not get added to the Budget Request. This action accepts additional federal funds received after the development of the Operating Budget Request of FY 2016-2017.

2. If this request is **retroactive** what is the significance and importance of the action being effective from an earlier date?

This item is retroactive due to the positions funded by this grant. Based on the approval received in SFY 2015, the Department has successfully filled two of the new positions. We have one pending offer that have been accepted by a non-state

employee.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)

a. No, this is an ongoing grant, part of our Operating Budget. However, the increase in funding to support the newly created positions was established through Fiscal Committee and Governor and Council; but was not included in SFY 2016 approved Operating Budget. Fiscal Committee item #FIS 15-002, approved on 1/23/15 and Governor & Council Item #7, approved on February 11, 2015 with end dates of June 30, 2015. It was the intent to include these positions and funding in the FY16/17 Operating Budget, but was not.

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

The ongoing funding for the EPHT program was in the FY 2014- 2015 enacted budget. These additional funds were added by the Fiscal Committee and Governor and Council during SFY 2015.

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

a. The initial ongoing grant funding is included in the vetoed FY 2016-2017 Operating Budget. However, the increase and the new positions are not in the FY 2016-2017 budget.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

This program does include positions. With the new funding we created three new positions. Two full time Program Planner III positions and one Senior Management Analyst for the EPHT program. Two of these positions were filled during SFY 2015 and the remaining position has been offered employment awaiting approval of this item. This pending candidate had a signed start date issued by the State of 8/19. The candidate gave their notice of leave to their former employer. A new tentative start date of 9/14 has been tentatively agreed upon. This delay has personal financial consequences for this candidate.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

If this item is denied approval, the Department would need to initiate the lay-off of two of the newly hired staff and not be able to honor the existing offer on the last vacant

position.

The State of NH would lose analytical services related to environmental public health surveillance. The NH EPHT program has 4 filled FTEs which provide all of the environmental public health surveillance capacity within NH DPHS outside of infectious disease and healthy homes. NH EPHT is currently funded by CDC to work on 5 priority environmental health issues in NH and we have just started year 2 of the 3 year funding period.

The environment plays an important role in human health, and for this reason the Division of Public Health Services is responsible for the ongoing collection, integration, analysis, and interpretation of data about environmental hazards and the potential for resultant health effects. The main goal of this particular program is to protect communities by providing information to local public health authorities who will then be able to use the information to plan for, develop, apply, and evaluate public health actions designed to prevent and control environmentally related disease and illness. An example of a specific project would be to work in cooperation with the Department of Environmental Services to develop recommended guidelines for the safe use of freshwater beaches in the event of bacteriological contamination. At present beach safe use guidelines exist only for the marine environment.

Funds in class 030 are needed to purchase 2 laptop computers, docking stations, monitors and keyboards.

Funds in class 039 are being requested due to the increase in class 059.

Funds in class 041 are needed to pay the audit-fee set aside costs.

Funds in class 059 are needed to continue to fund two filled and one currently vacant positions as approved by Fiscal Committee and Governor and Council in SFY 2015.

Funds in class 060 are needed to fund the benefits for the three positions.

Funds in class 066 are needed are needed to provide new employees with training in the use of advanced statistical techniques for the analysis of health data.

Funds in class 070 are needed to pay for in-state travel costs associated with public presentations and working with local officials.

An increase in the number of staff increases personnel related costs including salary, benefits, in State travel expenses, training, and equipment.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

1. List of personnel involved:

Senior Management Analyst – 9T2744 **Filled with a non state employee**
Program Planner III (Data Analyst) – 9T2742 **Filled with a state employee**

Program Planner III (Communication Specialist) - 9T2743 **Vacant but offer made.**

2. Nature, Need and Duration:

Senior Management Analyst - to oversee the collection of health statistics, to prepare reports drawing on advanced statistical techniques, to contribute to national public health data utilization activities in cooperation with the US Centers for Disease Control and Prevention, to aid in the interpretation of analytical results, and finally to provide guidance for the presentation of statistical content.

Data Analyst - to create report templates for displaying environmental health data on the Division of Public Health Services web page, to contribute to national public health data utilization activities in concert with the US Centers for Disease Control and Prevention, to provide quality control for health data and content, and to lead State and local environmental health data utilization projects (tick/Lyme disease surveillance, for example) as required as a condition of federal funding.

Communications Specialist - to oversee all communication activities including collaborations, communication planning, marketing, and outreach in order to inform local officials, community groups, and members of the public of best practices for managing environmental health threats.

This action is to enhance an existing program, the nature of which and the need for was reviewed as part of the approved SFY 14/15 operating budget. The work is ongoing and will continue subject to continuing approval by the Fiscal Committee, Governor and Council, and as the SFY 16/17 budget process.

3. Relationship to existing agency programs:

The Environmental Public Health Tracking Program is an existing program within the Division of Public Health Services.

4. Has a similar program been requested of the legislature and denied:

No.

5. Why wasn't funding included in the agency's budget request?

The increased grant award was received on 8/07/2014

6. Can portions of the grant fund be utilized for other purposes?

This request is 100% federally funded and can only be used for the purposes of the grant award.

7. Estimate the funds required to continue these positions:

The Honorable Neil Kurk, Chairman
Fiscal Committee of the General Court, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
Page 6

Position (Salary & Benefits)	FY 2016	FY 2017
Senior Management Analyst	\$91,310	\$91,310
Program Planner III	\$87,411	\$87,411
Program Planner III	\$87,411	\$87,411

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

Area served: statewide

Source of funds: These funds are 100% Federal from Centers for Disease Control and Prevention (CDC) to fund the New Hampshire Environmental Health Tracking Program. Attached is the Notice of Grant Award and award history. Notice of these funds was received on 08/07/2014. They were not added to the operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.


In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella Jordan Bobinsky, MPH
Acting Director

Approved by:



Nicholas A. Toumpas
Commissioner

MJB/tl

**AWARD HISTORY
EPHT
EH000947-04**

Award Ending 07/31/2015	755,214	
Anticipated Award Ending 7/31/2016 (755,214/12*11)	<u>692,280</u>	
Expended through 6/30/15 Award Ending 7/31/2015 5U38EH000947-03 (14)	<u>(433,791)</u>	
Available Award Balance 7/1/15	1,013,703	
SFY 16 Appropriation **	(391,591)	
Bal Fwd	<u>(87,791)</u>	
Available to Accept in SFY 16	(479,382)	x 50% =
Amount Requested this Action	<u><u>257,214</u></u>	

CR 50% of 2015 budget
** SFY 16 Appropriation

5173-000	Current	OYR	Total	This Action	Revised Budget
EPHT	293,799	87,791	381,590	257,214	638,804
Adj for Other AU-Allocated	<u>97,792</u>	-	<u>97,792</u>		<u>97,792</u>
Total	391,591	87,791	479,382	257,214	736,596



COOPERATIVE AGREEMENTS
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR ENVIRONMENTAL HEALTH

Notice of Award

Issue Date: 08/07/2014



Grant Number: 2U38EH000947-04
FAIN: U38EH000947

Principal Investigator(s):
JOSE THEIR MONTERO, MD

Project Title: ENVIRONMENTAL PUBLIC HEALTH TRACKING PROGRAM-NETWORK
IMPLEMENTATION

DOLORES COOPER
B. COTTON, FINANCIAL MANAGER
NEW HAMPSHIRE DEPT/HLTH STATISTI
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 08/01/2014 – 07/31/2015
Project Period: 08/01/2011 – 07/31/2017

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$755,214 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPARTMENT OF HEALTH & HUMAN SERVICES in support of the above referenced project. This award is pursuant to the authority of 301(A)AND317(K)(2)PHS42USC241(A)247B(K)2 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Ralph U Robinson
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

EB
160

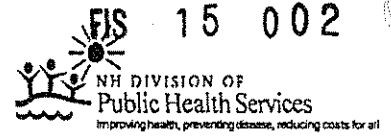
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DEC31 '14 PM 4:00 DAS



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4988 1-800-852-3345 Ext. 4988
Fax: 603-271-7623 TDD Access: 1-800-735-2964



Nicholas A. Toumpas
Commissioner

José Thier Montero
Director

December 19, 2014

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Rattson
Approved by Fiscal Committee 1/23/15
Date

REQUESTED ACTION

1. Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services, to accept and expend federal funds in the amount of \$111,692.00 from the Centers of Disease Control and Prevention to fund the New Hampshire Environmental Public Health Tracking Program effective upon date of approval by the Fiscal Committee and Governor and Council, through June 30, 2015, and further authorize the funds to be allocated as follows. Grant funds awarded for periods after SFY 2015 will be included in the operating budgets for SFY 2016 and SFY 2017.

100% Federal Funds

05-95-90-900510-5173 HEALTH AND SOCIAL SERVICES, DEPARTMENT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH STATISTICS AND INFORMATICS, EPH TRACKING

SFY 2015

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-404369	Federal Funds	\$646,336.00	\$111,692.00	\$758,028.00
Total Revenue		\$646,336.00	\$111,692.00	\$758,028.00
010-500100	Personal Serv – Perm	\$209,046.00	\$0.00	\$209,046.00
020-500200	Current Expenses	\$5,181.00	\$0.00	\$5,181.00
022-500258	Rents-Leases Other Than State	\$300.00	\$0.00	\$300.00
026-500251	Organizational Dues	\$1.00	\$0.00	\$1.00
030-500330	Equipment	\$1.00	\$2,299.00	\$2,300.00
039-500188	Telecommunications	\$1,500.00	\$619.00	\$2,119.00
041-500801	Audit Fund Set Aside	\$608.00	\$109.00	\$717.00
042-500620	Additional Fringe Benefits	\$22,179.00	\$0.00	\$22,179.00
059-500117	Temp Full-Time	\$0.00	\$85,790.00	\$85,790.00
060-500601	Benefits	\$92,616.00	\$18,103.00	\$110,719.00
066-500543	Employee Training	\$2,770.00	\$4,600.00	\$7,370.00

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court, and
 Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 December 19, 2014
 Page 2

070-500704	In State Travel Reimbursement	\$500.00	\$172.00	\$672.00
080-500710	Out of State Travel	\$9,000.00	\$0.00	\$9,000.00
102-500731	Contracts for Program Services	\$302,634.00	\$0.00	\$302,634.00
Total Expenses		\$646,336.00	\$111,692.00	\$758,028.00

2. Pursuant to the provisions of NH RSA 124:15, Positions Restricted, and subject to the approval of item 1 above, authorize the Department of Health and Human Services, Division of Public Health Services, to establish 3 full-time temporary (Class 059) positions, utilizing funds from The United States Centers for Disease Control/Maintenance and Enhancement of the Environmental Public Health Tracking Network, effective upon date of approval by the Fiscal Committee and Governor and Council, through June 30, 2015. The attached letter from the Division of Personnel contains approval for the establishment of these positions at the requested classifications.

- Full-time, temporary (Class 059) position – Senior Management Analyst, Labor Grade 26
- Full-time, temporary (Class 059) position – Program Planner III, Labor Grade 25
- Full-time, temporary (Class 059) position - Program Planner III Labor Grade 25

EXPLANATION

The environment plays an important role in human health, and for this reason the Division of Public Health Services is responsible for the ongoing collection, integration, analysis, and interpretation of data about environmental hazards and the potential for resultant health effects. The main goal of this particular program is to protect communities by providing information to local public health authorities who will then be able to use the information to plan for, develop, apply, and evaluate public health actions designed to prevent and control environmentally related disease and illness. An example of a specific project would be to work in cooperation with the Department of Environmental Services to develop recommended guidelines for the safe use of freshwater beaches in the event of bacteriological contamination. At present beach safe use guidelines exist only for the marine environment.

Funds in class 030 are needed to purchase 2 laptop computers, docking stations, monitors and keyboards.

Funds in class 039 are being requested due to the increase in class 059.

Funds in class 041 are needed to pay the audit-fee set aside costs.

Funds in class 059 are needed to fund the three new positions.

Funds in class 060 are needed to fund the benefits for the three new positions.

Funds in class 066 are needed are needed to provide new employees with training in the use of advanced statistical techniques for the analysis of health data.

Funds in class 070 are needed to pay for in-state travel costs associated with public presentations and working with local officials.

An increase in the number of staff increases personnel related costs including salary, benefits, in State travel expenses, training, and equipment.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

1) List of personnel involved:

Senior Management Analyst - 9T2744
Program Planner III (Data Analyst) – 9T2742
Program Planner III (Communication Specialist) – 9T2743

2) Nature, Need and Duration:

Senior Management Analyst - 9T2744 to oversee the collection of health statistics, to prepare reports drawing on advanced statistical techniques, to contribute to national public health data utilization activities in cooperation with the US Centers for Disease Control and Prevention, to aid in the interpretation of analytical results, and finally to provide guidance for the presentation of statistical content.

Data Analyst – 9T2742 to create report templates for displaying environmental health data on the Division of Public Health Services web page, to contribute to national public health data utilization activities in concert with the US Centers for Disease Control and Prevention, to provide quality control for health data and content, and to lead State and local environmental health data utilization projects (tick/Lyme disease surveillance, for example) as required as a condition of federal funding.

Communications Specialist – 9T2743 to oversee all communication activities including collaborations, communication planning, marketing, and outreach in order to inform local officials, community groups, and members of the public of best practices for managing environmental health threats

3) Relationship to existing agency programs:

The Environmental Public Health Tracking Program is an existing program within the Division of Public Health Services.

4) Has a similar program been requested of the legislature and denied:

No.

5) Why wasn't funding included in the agency's budget request?

This increased grant award was received on 8/07/2014.

- 6) Can portions of the grant fund be utilized for other purposes?

This request is 100% federally funded and can only be used for the purposes of the grant award.

- 7) Estimate the funds required to continue these positions:

Position (Salary & Benefits)	FY 2016	FY 2017
Senior Management Analyst	\$91,310	\$91,310
Program Planner III	\$87,411	\$87,411
Program Planner III	\$87,411	\$87,411

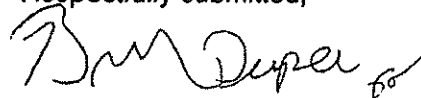
These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

Area served: statewide

Source of funds: These funds are 100% Federal from Centers for Disease Control and Prevention (CDC) to fund the New Hampshire Environmental Health Tracking Program. Attached is the Notice of Grant Award and award history. Notice of these funds was received on 08/07/2014. They were not added to the operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

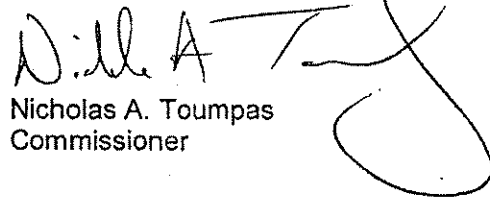
In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



José Thier Montero, MD, MHCDS
Director

Approved by:



Nicholas A. Toumpas
Commissioner

JTM/II



LINDA M. HODGDON
Commissioner
(603) 271-3201

State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

October 8, 2014

Marilyn Doe, Administrator II
Human Resources
Department of Health and Human Services
129 Pleasant St.
Concord, NH 03301

Regarding: Request to establish a full-time temporary Senior Management Analyst, labor grade 26

Dear Ms. Doe:

The Division of Personnel approves of your request dated September 26, 2014 to establish a full-time temporary Senior Management Analyst, labor grade 26 for the Division of Public Health Services, Public Health Systems and Informatics, and have assigned the position number of 9T2744 pending approval of funding.

This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Budget Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify me with documentation. Thank you.

Very truly yours,

Jennifer J. Eiberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel



LINDA M. HODGDON
Commissioner
(603) 271-3201

State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

October 8, 2014

Marilyn Doe, Administrator II
Human Resources
Department of Health and Human Services
129 Pleasant St.
Concord, NH 03301

Regarding: Request to establish a full-time temporary Program Planner III, labor grade 25

Dear Ms. Doe:

The Division of Personnel approves of your request dated September 26, 2014 to establish a full-time temporary Program Planner III, labor grade 25 for the Division of Public Health Services, Public Health Systems and Informatics, and have assigned the position number of 9T2742 pending approval of funding.

This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Budget Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify me with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel



State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex - 28 School Street
Concord, New Hampshire 03301

LINDA M. HODGDON
Commissioner
(603) 271-3201

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

October 8, 2014

Marilyn Doe, Administrator II
Human Resources
Department of Health and Human Services
129 Pleasant St.
Concord, NH 03301.

Regarding: Request to establish a full-time temporary Program Planner III, labor grade 25

Dear Ms. Doe:

The Division of Personnel approves of your request dated September 26, 2014 to establish a full-time temporary Program Planner III, labor grade 25 for the Division of Public Health Services, Public Health Systems and Informatics, and have assigned the position number of 9T2743 pending approval of funding.

This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Budget Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify me with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel

**AWARD HISTORY
EPHT
EH000947-04**

Award Ending 07/31/2015	692,280
Award Ending 7/31/2014 5U38EH000947-03 (13)	465,330
Award Ending 7/31/2014 5U38EH000947-02* (12)	784,625
Expended through 6/30/14	
Award Ending 7/31/2014 5U38EH000947-03 (13)	(346,003)
Award Ending 7/31/2014 5U38EH000947-02* (12)	(720,436)
Unobligated Balance Unable to Spend	<u> -</u>
Award Balance 7/1/14	875,796
SFY 15 Appropriation **	(710,528)
Bal Fwd	<u>(53,576)</u>
Available to Accept in SFY 15	111,692
Amount Requested this Action	<u><u>111,692</u></u>

**** SFY 15 Appropriation**

5173-000	Current	OYR	Total	This Action	Revised Budget
5173-000					
	875,796	875,796	875,796	111,692	987,488
Other AU-000					
	710,528	710,528	710,528	710,528	710,528



COOPERATIVE AGREEMENTS
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR ENVIRONMENTAL HEALTH

Notice of Award

Issue Date: 08/07/2014



Grant Number: 2U38EH000947-04
FAIN: U38EH000947

Principal Investigator(s):
JOSE THEIR MONTERO, MD

Project Title: ENVIRONMENTAL PUBLIC HEALTH TRACKING PROGRAM-NETWORK
IMPLEMENTATION

DOLORES COOPER
B. COTTON, FINANCIAL MANAGER
NEW HAMPSHIRE DEPT/HLTH STATISTI
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 08/01/2014 – 07/31/2015
Project Period: 08/01/2011 – 07/31/2017

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$755,214 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPARTMENT OF HEALTH & HUMAN SERVICES in support of the above referenced project. This award is pursuant to the authority of 301(A)AND317(K)(2)PHS42USC241(A)247B(K)2 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Ralph U. Robinson

Ralph U Robinson
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 2U38EH000947-04

Award Calculation (U.S. Dollars)

SALARIES AND WAGES	\$252,291
FRINGE BENEFITS	\$147,567
PERSONNEL COSTS (SUBTOTAL)	\$399,858
EQUIPMENT	\$3,000
SUPPLIES	\$3,685
TRAVEL COSTS	\$9,528
OTHER COSTS	\$47,101
CONSORTIUM/CONTRACTUAL COST	\$171,462

FEDERAL DIRECT COSTS	\$634,634
FEDERAL F&A COSTS	\$120,580
APPROVED BUDGET	\$755,214
FEDERAL SHARE	\$755,214
TOTAL FEDERAL AWARD AMOUNT	\$755,214

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$755,214

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

05 \$755,214
06 \$755,214

Fiscal Information:

CFDA Number: 93.070
EIN: 1026000618B3
Document Number: 000947EH14

IC	CAN	2014	2015	2016
EH	939ZPRT	\$755,214	\$755,214	\$755,214

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
4	\$755,214	\$755,214
5	\$755,214	\$755,214
6	\$755,214	\$755,214

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: N / OC: 4151 / Processed: ERAAPS 08/06/2014

SECTION II – PAYMENT/HOTLINE INFORMATION – 2U38EH000947-04

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

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COOPERATIVE AGREEMENTS
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR ENVIRONMENTAL HEALTH

Notice of Award

Issue Date: 04/03/2014



Grant Number: 5U38EH000947-02 REVISED

Principal Investigator(s):
JOSE THEIR MONTERO, MD

Project Title: PPHF-12-ENVIRONMENTAL PUBLIC HEALTH TRACKING PROGRAM-
NETWORK IMPLEMENTATION

B. COTTON, FINANCIAL MANAGER
NEW HAMPSHIRE DEPT/HLTH STATISTI
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 08/01/2012 – 07/31/2014
Project Period: 08/01/2011 – 07/31/2014

Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPARTMENT OF HEALTH & HUMAN SERVICES in support of the above referenced project. This award is pursuant to the authority of 301(A)AND317(K)(2)PHS42USC241(A)247B(K)2 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Ralph U Robinson
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 5U38EH000947-02 REVISED

Award Calculation (U.S. Dollars)

Salaries and Wages	\$216,912
Fringe Benefits	\$91,314
Personnel Costs (Subtotal)	\$308,226
Equipment	\$4,527
Supplies	\$2,375
Travel Costs	\$9,528
Other Costs	\$51,688
Consortium/Contractual Cost	\$279,186

Federal Direct Costs	\$655,530
Federal F&A Costs	\$129,095
Approved Budget	\$784,625
Federal Share	\$784,625
Less Unobligated Balance	\$68,733
TOTAL FEDERAL AWARD AMOUNT	\$715,892

OR

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

03 \$715,892

Fiscal Information:

CFDA Number: 93.538
 EIN: 1026000618B3
 Document Number: 000947NE12

IC	CAN	2012	2014
EH	939ZMPY	\$715,892	\$715,892

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
2	\$715,892	\$715,892
3	\$715,892	\$715,892

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: N / OC: 4151 / Processed: ERAAPPS 04/03/2014

SECTION II – PAYMENT/HOTLINE INFORMATION – 5U38EH000947-02 REVISED

For payment information see Payment Information section in Additional Terms and Conditions:

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 5U38EH000947-02 REVISED

-13-



COOPERATIVE AGREEMENTS
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR ENVIRONMENTAL HEALTH

Notice of Award

Issue Date: 08/28/2013



Grant Number: 5U38EH000947-03

Principal Investigator(s):
JOSE THEIR MONTERO, MD

465,330

Project Title: PPHF-13-ENVIRONMENTAL PUBLIC HEALTH TRACKING PROGRAM-
NETWORK IMPLEMENTATION

B. COTTON, FINANCIAL MANAGER
NEW HAMPSHIRE DEPT/HLTH STATISTI
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 08/01/2013 - 07/31/2014
Project Period: 08/01/2011 - 07/31/2014

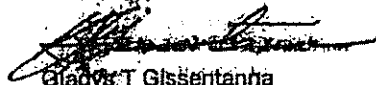
Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$465,330 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPARTMENT OF HEALTH & HUMAN SERVICES in support of the above referenced project. This award is pursuant to the authority of 301(A) AND 317(K) (2) PHS 42 USC 241(A) 247B(K)2 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,


Gladys T. Glissentanha
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 5U38EH000947-03

Award Calculation (U.S. Dollars)

Salaries and Wages	\$142,436
Fringe Benefits	\$85,357
Personnel Costs (Subtotal)	\$227,793
Equipment	\$12,109
Supplies	\$1,050
Travel Costs	\$6,815
Other Costs	\$52,909
Consortium/Contractual Cost	\$90,358

Federal Direct Costs	\$391,034
Federal F&A Costs	\$74,296
Approved Budget	\$465,330
Federal Share	\$465,330
TOTAL FEDERAL AWARD AMOUNT	\$465,330

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$465,330

Fiscal Information:

CFDA Number: 93.538
 EIN: 1026000618B3
 Document Number: 000947NE13

IC	CAN	2013
EH	939ZMPY	\$465,330

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
3	\$465,330	\$465,330

CDC Administrative Data:

PC: N / OC: 4141 / Processed: ERAAPPS 08/28/2013

SECTION II – PAYMENT/HOTLINE INFORMATION – 5U38EH000947-03

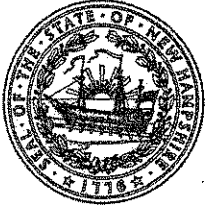
For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hinstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 5U38EH000947-03

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.



STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION OF ECONOMIC DEVELOPMENT

172 Pembroke Road Concord, New Hampshire 03301
Phone: 603-271-2341 www.nheconomy.com

July 27, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Resources and Economic Development, Division of Economic Development, Office of International Commerce to accept and expend grant funds in the amount of \$319,848 awarded by the US Department of Defense through the Office of Economic Adjustment effective upon Fiscal Committee and Governor and Executive Council approval through December 31, 2015. **100% Federal Funds**

Funding to be budgeted as follows:

03-35-35-350510-52700000 OEA Grant		2016 Requested Budget
000-403944	Federal Funds	(\$319,848)
040-500800	Indirect Costs	19,098
041-500801	Audit Fund Set Aside	320
046-500464	Consultants	48,000
057-500533	Books Periodicals Subscriptions	90,000
080-500719	Out-of-State Travel Reimbursement	2,800
102-500731	Contracts for Program Services	159,630
<i>Total:</i>		\$319,848

2. Upon approval of Requested Action number one and pursuant to RSA 124:15, authorize the Department of Resources and Economic Development to establish a consultant class (046) for the purpose of contracting with a Consortium Manager effective upon Fiscal Committee and Governor and Executive Council approvals through December 31, 2015. 100% Federal Funds.

EXPLANATION

The US Department of Defense, through its Office of Economic Adjustment (OEA), assists communities impacted by program changes. Founded in 1961, OEA has helped communities in all 50 states and major United States territories develop comprehensive strategies to adjust to defense industry cutbacks, base closures, personnel and logistical realignments, and incompatibilities between military operations and local development. The recently launched grant program provides states with the resources to provide technical assistance for growth and diversification opportunities for manufacturers serving the defense sector.

Aerospace and defense constitutes two of New Hampshire's largest manufacturing sectors, both of which have experienced diminished sales and activity due to the previous recession and impacts from sequestration. One solution to counter the downturn in military contracts available for New Hampshire companies is to provide businesses with the information and resources necessary so that they can expand their market base. This is achieved through identification of new market trends internationally, commercialization of products with military applications, adaptation of business processes to commercial business culture, educational programs and access to

key market research reports for global geographic markets and products trends. All of these strategies lead to entry and expansion opportunities in the global marketplace, and all of which are addressed in the grant's scope of work.

The New Hampshire Aerospace and Defense Export Consortium (NHADEC) was established in 2013 by the Office of International Commerce (OIC). In partnership with OIC, NHADEC focuses on fostering the most opportune foreign markets for its members through collaborative efforts and global brand messaging and awareness. In less than two years, the consortium developed programs, technical assistance and expertise to support and expand export activities of its members. The programs have included regular training sessions on export compliance, domestic and international trade shows, presentations by global market experts and business-to-business matchmaking. The focus of OIC's and NHADEC's efforts is on export capacity building for NH businesses-increasing sales by diversifying and building new markets.

Each activity in the grant will provide the NH aerospace and defense businesses with vital tools and resources that will assist them to diversify their products, elevate their position in the international market, and ultimately, be less dependent on fluctuations in US defense spending levels. As a result, these businesses will become more sustainable and will contribute to the growth of NH's overall economy. Most importantly, the OEA funds also support critical training for commercialized product development; a top priority for sector growth identified by the consortium membership. The OEA grant funds will also provide funding to hire a Consortium Manager consultant who will be tasked with growing the consortium, transitioning the organization to a sustainable non-profit organization, and growing the capacity of its membership.

The following appropriations are being requested for Fiscal Year 2016:

Class	Class Description	Amount	Purpose
040	Indirect Costs	\$19,098	Amount budgeted by OEA to cover portion of indirect cost rate (6.35%)
041	Audit Fund Set Aside	\$320	Amount needed to cover audit fund set aside percentage (.001)
046	Consultants	\$48,000	Amount needed to cover consultant contract for consortium organization and expansion
057	Books Periodicals Subscriptions	\$90,000	Amount needed to cover purchase of market research materials
080	Out-of-State Travel	\$2,800	Amount needed to cover travel expenses of 2 staff for OEA Grantee Training in Washington, DC
102	Contracts for Program Services	\$159,630	Amount needed to cover contractual and other services as specified in the grant award

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- List the personnel involved:** Class 046 – a contract with a consultant to provide consortium organization and expansion.
- Nature, need, and duration:** The contract will be in effect for the period effective upon Governor and Executive Council approval through December 31, 2015.
- Relationship to existing agency programs:** This grant funding is to be utilized specifically to contract with a Consortium Manager consultant.
- Has a similar program been requested of the legislature and denied?** No similar program has been requested or denied.
- Why wasn't funding included in the agency's budget request?** This project was not included in the Department's budget request because the funds were not available at the time the budget was crafted; nor were the funds expected to be available with any degree of certainty.

6. **Can portions of the grant funds be utilized?** No portion of these grant funds can be used for any other purpose.
7. **Estimate the funds required to continue this position:** It is estimated that it will cost \$48,000 for the contractual services to complete the project.


Listed below are answers to standard questions required of all Fiscal Committee item requests related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source" or RSA 124:15 "Positions Authorized" or both:

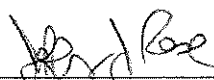
1. **Is the action required of this request a result of the Continuing Resolution for FY 2016?** This action is not required as a result of the continuing resolution.
2. **If this request is retroactive what is the significance and importance of the action being effective from an earlier date?** This is not a retroactive request.
3. **Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)** No, this is a new program being offered via a grant award of funds by the US Department of Defense through the Office of Economic Adjustment.
4. **Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?** Neither. This is a new program request and did not exist in FY 2014-2015.
5. **Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?** This is a new program request and was not part of the FY 2016-2017 operating budget as proposed.
6. **Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)** State funded positions are not included as part of the award for this program. However, a consultant will be hired as the Consortium Manager as noted above. The consulting offer has not yet been made but is pending per Fiscal Committee and Governor and Executive Council approval.
7. **What would be the effect should this program be discontinued or not initiated as a result of this request being denied?** Without these funds, the consortium will lack a manager and training resources and will not be self-sustaining; instead relying upon the support of the state to continue to meet its goals. In turn, the OIC will not have sufficient resources to continue to support the NHADEC, as well as the needs of the aerospace and defense sectors of NH, who have already been impacted by the global and national economic downturn.

In the event that Federal Funds are no longer available, General Funds will not be requested to continue the support of this program.

Respectfully submitted,

Concurred,


Armen Lorentz
Director


Jeffrey J. Rose
Commissioner

State of New Hampshire
Department of Resources and Economic Development

FISCAL SITUATION

03-35-35-350510-52700000 OEA Grant

Fiscal Year 2016 Grant Award	\$319,848
<i>Total Request</i>	<i>\$319,848</i>

Lavoie, Leanne

From: Kasim, Tina
Friday, July 17, 2015 9:17 AM
Way, Christopher; Marino, Christopher; Nelson, Nathaniel; Lavoie, Leanne; Myers, Patrice
Subject: RE: OEA grant

Further to my earlier email- any suggestions?:

"Tina,

This is our standard operating procedure, so our director won't sign another letter. The letterhead is being built into our new system, but that's a few months out (changing anything in our old system causes many other errors to pop up). Does it work to show the letter is in our official eGrants system?

If not, I can see if our grant administrator can write a notification letter on our letterhead - she can't sign the award letter or agreement, but simply say the attached, digitally signed materials are notification of award.

Thanks,
Margit

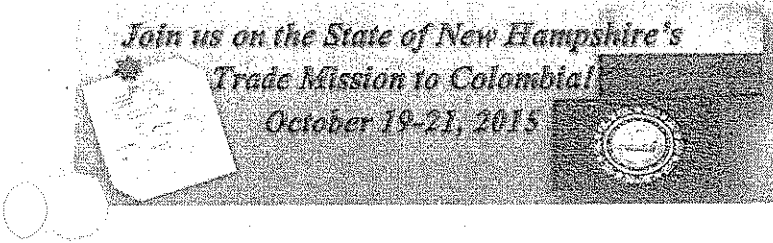
Margit Myers
Email: margit.a.myers.civ@mail.mil
Project Manager
Office of Economic Adjustment
2231 Crystal Drive Suite 520
Arlington, VA 22202
Phone: 703.697.2119

Tina Kasim

Program Manager
Office of International Commerce
Division of Economic Development
New Hampshire Department of Resources and Economic Development
172 Pembroke Road
Concord NH 03301

P: +1-603-271-8444
F: +1-603-271-6784
E: tina.kasim@dred.nh.gov
W: www.exportnh.org
Facebook and Twitter: ExportNH

*Join us on the State of New Hampshire's
Trade Mission to Colombia
October 19-21, 2015*



Federal Acquisition Regulation at \$3,000 may be used in order to expedite the completion of lowest-dollar small purchase transactions.

- (4) The Grantee shall maintain a code or standards of conduct which shall govern the performance of its officers, employees, or agents in contracting with and expending Federal Grant funds. Grantee's officers, employees, or agents shall neither solicit nor accept gratuities, favors, or anything of monetary value from a contractor or potential contractors. To the extent permissible by State or local law, rules, or regulations, such standards shall provide for penalties, sanctions, or other disciplinary actions to be applied for violations of such standards by the Grantee's officers, employees, or agents, or by contractors.
- (5) The Grantee shall ensure that every consultant and every contractor it employs under the Grant complies with the terms of this Agreement as though the consultant or contractor were a party to this Agreement.
- (6) The Grantee is the responsible authority, without recourse to the Grantor, regarding the settlement and satisfaction of all contractual and administrative issues arising out of procurements entered into in support of the Grant.

J. Contractor Deliverables

- (1) A disclaimer statement will appear on the title page of any study prepared under this Grant. It will read:

"This study was prepared under contract with the Resources and Economic Development, New Hampshire, with financial support from the Office of Economic Adjustment, Department of Defense. The content reflects the views of the Resources and Economic Development, New Hampshire, and does not necessarily reflect the views of the Office of Economic Adjustment."

- (2) The contractor identification will appear on the title page of the study funded by this grant.
- (3) Any final study shall be submitted electronically. The document will be dated the month and year that it is submitted to the Grantor.

K. Post-Award Monitoring

- (1) Grantee Reporting
 - (a) The Grantee shall provide interim performance reports and a final performance report. The performance reports will contain information on the following:

- (i) A comparison of actual accomplishments to the objectives established for the period.
 - (ii) The reasons for slippage if established objectives were not met.
 - (iii) Additional pertinent information when appropriate.
 - (iv) An accounting, by the budget line items approved for this project, of expenses incurred during the reporting period, including the amount of Grant funds on hand at the beginning and end, and non-Grantor share of contributions over the term.
 - (v) The final performance report must contain a summary of activities for the entire Grant period. All required deliverables should be submitted with the final performance report.
- (b) The attached "Schedule of Reports" provides reporting periods and dates due for this award.

- (2) The Grantor reserves the right to conduct on-site reviews and/or off-site desk reviews to confirm compliance with programmatic and administrative terms and conditions.

L. Activities Prohibited

- (1) **Duplication of Work:** The purpose and scope of work for which this Agreement is made shall not duplicate programs for which moneys have been received, are committed, or are applied for from other sources, public or private. Upon request of the Grantor, the Grantee shall submit full information about related programs that will be initiated within the Grant period.
- (2) **Other Funding Sources:** Grantor's funds budgeted or granted for this program shall not be used to replace any financial support previously provided or assured from any other source.
- (3) **Funds for Attorney/Consultant Fees:** The Grantee hereby agrees that no funds made available from this Grant shall be used, directly or indirectly, for paying attorneys' or consultants' fees in connection with securing grants or other services provided by the Grantor, for example, preparing the application for this assistance. However, attorneys' and consultants' fees incurred for meeting this Agreement's requirements may be eligible project costs and may be paid out of funds made available from this Agreement provided such costs are otherwise eligible.
- (4) The Grantee is prohibited from using funds provided from this Grant or personnel employed in the administration of this program for political

activities, sectarian or religious activities, lobbying, political patronage, or nepotism activities.

- (5) Grant funds may not be used for marketing or entertainment expenses.
- (6) Grant funds may not be used for capital assets, such as the purchase of vehicles, improvements and renovation of space, and repair and maintenance of privately owned vehicles.

M. Audits

- (1) The Grantee agrees to comply with audit requirements as specified in 2 CFR Part 200.
- (2) The Grantee shall ensure audits are properly performed, and furnish the required data collection forms and audit reporting packages to the Federal Audit Clearinghouse (FAC). The Grantee shall upload audit reports into the FAC through the Internet Data Entry System (IDES) at <https://harvester.census.gov/fac/collect/ddeindex.html#>.
- (3) The Grantee shall provide any audit with findings related to this award, with copies of the reporting package (including corrective action plans), management letters issued by an auditor, and audit working papers, to the Grantor.
- (4) The Grantor will seek to issue a management decision to the Grantee within six months of receipt of an audit report with findings, and the Grantee shall take timely and corrective action to comply with the management decision.
- (5) The Grantor reserves the right to conduct an independent follow-up audit.

VI. NATIONAL POLICY REQUIREMENTS

A. Debarment and Suspension

The Grantee agrees to comply with 2 CFR Parts 180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," and 1125, "Department of Defense Nonprocurement Debarment and Suspension." The Grantee also agrees to communicate the requirement to comply with Parts 180 and 1125 to entities and persons at the next lower tier with whom the recipient enters into transactions that are "covered transactions" under Parts 180 and 1125.

B. Drug-Free Workplace

The Grantee agrees to comply with Subpart B, "Requirements for Recipients Other Than Individuals," of 32 CFR Part 26, "Governmentwide Requirements for Drug-Free Workplace (Financial Assistance)."

C. Hatch Act

The Grantee is advised that its employees may be subject to the Hatch Act (5 U.S.C. § 1501-1508). If doubt exists in particular cases, the Grantee should seek legal counsel.

D. Universal Identifier Requirements and Central Contractor Registration

The Grantee agrees to comply with the requirements of 2 CFR Part 25, as amended, "Universal Identifier and Central Contractor Registration." The System for Award Management (SAM) has replaced the CCR system.

E. Trafficking Victims Protection Act of 2000

The Grantee agrees to comply with the requirements of 2 CFR Part 175, "Award Term for Trafficking in Persons."

F. Reporting Sub-award and Executive Compensation Information

The Grantee agrees to comply with the requirements of 2 CFR Part 170, "Reporting Sub-award and Executive Compensation Information."

G. Restrictions on Lobbying

The Grantee agrees to comply with the requirements of 31 U.S.C. § 1352, "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions." Appropriated funds cannot be used to pay any person to influence or attempt to influence employees of any agency or Congress.

H. Prohibition on Using Funds under Grants and Cooperative Agreements with Entities that Require Certain Internal Confidentiality Agreements

- (a) The recipient may not require its employees, contractors, or subrecipients seeking to report fraud, waste, or abuse to sign or comply with internal confidentiality agreements or statements prohibiting or otherwise restricting them from lawfully reporting that waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.
- (b) The recipient must notify its employees, contractors, or subrecipients that the prohibitions and restrictions of any internal confidentiality agreements inconsistent with paragraph (a) of this award provision are no longer in effect.

(c) The prohibition in paragraph (a) of this award provision does not contravene requirements applicable to Standard Form 312, Form 4414, or any other form issued by a Federal department or agency governing the nondisclosure of classified information. If the Government determines that the recipient is not in compliance with this award provision, it:

(1) Will prohibit the recipient's use of funds under this award, in accordance with section 743 of Division E of the Consolidated and Further Continuing Resolution Appropriations Act, 2015, (Pub. L. 113-235) or any successor provision of law; and

(2) May pursue other remedies available for the recipient's material failure to comply with award terms and conditions.

I. Links to the requirements referenced above as well as the full listing of all National Policy Requirements are provided on the OEA website at www.oea.gov.

VII. PROJECT SPECIFIC TERMS AND CONDITIONS

A. BUDGET

The approved budget for this grant award is as follows:

APPROVED BUDGET			
OBJECT CLASS CATEGORY	FEDERAL	NON-FEDERAL	TOTAL PROJECT COSTS
Personnel	\$0	\$25,150	\$25,150
Fringe Benefits	\$0	\$19,240	\$19,240
Travel	\$2,800	\$0	\$2,800
Equipment	\$0	\$0	\$0
Supplies	\$0	\$0	\$0
Contractual	\$148,000	\$0	\$148,000
Construction	\$0	\$0	\$0
Other	\$149,950	\$0	\$149,950
Total Direct Charges	\$300,750	\$44,390	\$345,140
Indirect Costs: 6.35% Base:	\$19,098	\$0	\$19,098
Total direct costs, less capital expenditures and passthrough funds			
Total Project Costs	\$319,848	\$44,390	\$364,238
Total Project Costs	87.8%	12.2%	100%
Program Income	\$	\$	\$
Total	\$319,848	\$44,390	364,238\$

- B. The Grantee assures that 12.2 percent of the total project costs shall be contributed by non-Grantor sources.
- C. The indirect cost rate of 6.35 percent of total direct costs, less capital expenditures and passthrough funds, based on the October 27, 2014 Indirect Cost Negotiation Agreement certified by Jeffrey J. Rose, Commissioner, on behalf of the Grantee, and accepted by the cognizant agency, is accepted for the term of this award.
- D. The Grant period is from April 1, 2015, through May 31, 2016. Eligible costs incurred between April 1, 2015, and the date of this Agreement are allowable and reimbursable.

THE TERMS OF THIS GRANT ARE AGREED TO BY:

Patrick O'Brien
Patrick J. O'Brien
Director
Office of Economic Adjustment

7/14/2015 1:03:15 PM
DATE

Tina Kasim
Tina Kasim
Program Manager
Office of International Commerce
New Hampshire Resources and
Economic Development

7/15/2015
DATE

Jeffrey J. Rose
Jeffrey J. Rose
Commissioner
Department of Resources and Economic
Development
State of New Hampshire

8/3/15
DATE

Schedule of Reports
For
State of New Hampshire
FAIN: HQ00051510015

April 1, 2015 through May 31, 2016

<u>Interim Performance Reports</u>	<u>Due Date</u>
04/01/2015 through 10/31/2015	11/30/2015
11/01/2015 through 04/30/2016	05/30/2016
05/01/2016 through 05/31/2016	08/31/2016

<u>Final Performance Report</u>	<u>Due Date</u>
04/01/2015 through 05/31/2016	08/31/2016

<u>Final Federal Financial Report (SF 425)</u>	<u>Due Date</u>
04/01/2015 through 05/31/2016	08/31/2016

<u>Deliverables</u>	08/31/2016
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State of New Hampshire

FIS 15 156

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

August 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 124:15, and contingent on the approval of request number two, authorize the Department of Safety, Division of Homeland Security and Emergency Management, to establish four (4) temporary part-time, class 050 positions for the purpose of providing training and technical assistance directly to New Hampshire school districts and their stakeholders in support of the New Hampshire Department of Education's grant entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire". Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding source: 100% Agency Income.

2. Authorize the Department of Safety, Division of Homeland Security, to accept and expend a sub-grant from the New Hampshire Department of Education (NHDOE) in an amount not to exceed \$85,000.00 for the purpose of assisting NHDOE in completing the scope of the US Department of Education grant entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire". Effective upon Governor and Council approval through December 31, 2015. Funding source: 100% Agency Income.

Funds will be budgeted as follows:

02-23-23-236010-08590000 Dept. of Safety – Div. of Homeland Security & Emer Mgt – HSEM Agency Income-Grant

<u>Class</u>	<u>Description</u>	<u>SFY 16 Current Adjusted Authorized</u>	<u>Requested Action</u>	<u>Revised SFY 2016 Adjusted Authorized</u>
009-407036	Agency Income	\$0.00	(\$85,000.00)	(\$85,000.00)
018-500106	Overtime	\$0.00	\$5,000.00	\$5,000.00
020-500200	Current Expense	\$0.00	\$6,500.00	\$6,500.00
030-500301	Equipment	\$0.00	\$1,000.00	\$1,000.00
040-500800	Indirect Costs	\$0.00	\$9,500.00	\$9,500.00
050-500109	Personal Serv - Temp	\$0.00	\$45,500.00	\$45,500.00
060-500601	Benefits	\$0.00	\$4,500.00	\$4,500.00
070-502970	In State Travel Reimbursement	\$0.00	\$6,000.00	\$6,000.00
080-500710	Out of State Travel Reimb	\$0.00	\$7,000.00	\$7,000.00
	08590000 Totals	\$0.00	\$85,000.00	\$85,000.00

Explanation

This request is to accept and expend a sub-grant from the NH Department of Education (NHDOE) and to establish four temporary positions to support NHDOE's federal grant entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire".

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016? **No.**
2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date? **No, this request is not retroactive.**
3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.) **This is a grant.**
4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied? **No.**
5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied? **No.**
6. Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.) **This grant provides for four (4) temporary positions that have not been filled at this time. Details of these positions are provided below.**
7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied? **This grant would not be utilized.**

This sub-grant will fund four (4) temporary part-time positions that will provide, under the HSEM School Preparedness Program, training and technical assistance directly to New Hampshire school districts, and their stakeholders. These positions will consist of one (1) School Program Coordinator (i.e., Program Specialist III), two (2) Emergency Management Trainers (i.e., Field Representative II), and one (1) Program Assistant II. The School Program Coordinator and Emergency Management Trainers will be responsible for coordinating with NH school districts for developing, planning, training, evaluating, and conducting school safety and security assessments; working with school district administration stakeholders to provide training, guidance, and assistance to conduct workshops, training drills, and exercises at the local, state, and regional levels; working closely with local, state, and federal entities, as well as other state agencies, to develop exercise design documents to support this training; and working closely with the Federal Emergency Management Agency (FEMA), serving as agency liaisons for state and federal initiatives. In addition, the School Program Coordinator will not only be responsible for developing, analyzing, interpreting, and implementing school preparedness program policies in order to comply with state and federal laws as well as grant requirements, but will also supervise the staff responsible for implementing the HSEM School Preparedness Program. The fourth position, the Program Assistant II will be responsible for performing record keeping and reporting duties, as well as performing other administrative support duties for the HSEM School Preparedness Program.

Class 018 – Overtime	Funds will be used for overtime associated with grant reporting and EOC activation.
Class 020 – Current Expense	Funds will be used for office supplies and costs associated with creating training materials, creating reports, etc.
Class 030 – Equipment	Funds will be used for equipment required to develop training materials and for training sessions.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court


Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 4, 2015
Page 3 of 3

Class 040 – Indirect Costs	Funds will be used for indirect costs.
Class 050 – Personal Serv-Temp	Funds will be used to pay salaries of four temporary part-time positions – 1 Program Specialist III – LG 21; 2 Field Representative II – LG 21; 1 Program Assistant II – LG 15.
Class 060 – Benefits	Funds will be used to pay the benefits associated with the temporary part-time positions.
Class 070 – In-State Travel	Funds will be used for in-state travel associate with the scope of work.
Class 080 – Out-of-State Travel	Funds will be used for out-of-state travel associated with working with federal entities.

The following information is provided in accordance with the comptroller's instructional memorandum dated September 12, 1981.

- 1) *List of personnel involved:* Four (4) temporary part-time positions of one (1) School Program Coordinator, two (2) Emergency Management Trainers, and one (1) Program Assistant II.
- 2) *Nature, Need, and Duration:* These positions are needed to assist the NH Department of Education with completing the scope of the grant from the US Department of Education entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire" through the end of the Memorandum of Agreement between HSEM and NH DOE in June 2017.
- 3) *Relationship to existing agency programs:* These positions will provide support to the HSEM School Preparedness Program.
- 4) *Has a similar program been requested of the legislature and denied?* No
- 5) *Why wasn't funding included in the agency's budget request?* These funds were unanticipated at the time the budget was created.
- 6) *Can portions of the grant funds be utilized?* Grant funds are being utilized for these positions.
- 7) *Estimate the funds required to continue this position:* Funds for these positions are estimated at \$124,465.00 for the duration of the MOA through June 30, 2017.

Respectfully submitted,


John J. Barthelmes
Commissioner

MEMORANDUM OF AGREEMENT

Between the Department of Education (DOE) and the Department of Safety, Division of Homeland Security and Emergency Management (HSEM) for completion of the scope of a grant the DOE received from the US Department of Education titled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire".

This Memorandum of Agreement (MOA) outlines the responsibilities of the DOE and HSEM relative to the completion of the scope of the grant, the proposed schedule for the work and the funding provided by the DOE to HSEM.

WHEREAS, the DOE has been awarded a grant from the US Department of Education titled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire".

WHEREAS, the DOE and HSEM have long been working collaboratively in providing school security and safety resources, including Emergency Operation Planning (EOP).

NOW THEREFORE, in order to meet the grant scope requirements, DOE and HSEM have agreed to complete the scope of services as described below.

SCOPE OF SERVICES

DEPARTMENT OF EDUCATION TASKS

Pre-grant Self-Assessment Data Collection

DOE has disseminated the *District Self-assessment Tool*, prepared and provided by the US Department of Education, to all of the State's SAU Superintendents. This tool is designed to determine the current status and quality of SAU-level EOPs across the State so that the specific SAU needs can be identified. Once the SAU needs are compiled, DOE and HSEM will be better able to understand the training and technical assistance to be provided.

Development and Population of SAU EOP Database

DOE will develop a database, using Microsoft Access, which will be populated with pertinent EOP information from each of the State's SAUs. The data will include, but not be limited to, SAU identification information, SAU emergency management contact information, EOP development date (if any), annual review date, evaluation of quality and notation of partner agreements. At the conclusion of the grant term, this database will be maintained by DOE staff.

Review of HSEM Prepared EOP Template

HSEM will be preparing an EOP template to be available for voluntary use by the SAUs. DOE will provide comments and approval of the final EOP template prior to dissemination to the SAUs and posting on the HSEM and DOE websites.

Coordination of Five Regional Training Sessions

DOE will coordinate the content, location and timing of the five regional EOP training sessions in cooperation with HSEM. DOE will be responsible for making all facility arrangements and coordinating announcements to the SAUs and their partners. DOE will also be responsible for supplying presentation equipment, meeting handouts and other required materials as needed by HSEM to complete the training.

Direct SAU Training and Technical Assistance

DOE will support HSEM staff efforts in providing training and technical assistance directly to the SAUs and their partners.

Post-grant Self-Assessment Data Collection

DOE will disseminate the District Self-assessment Tool, prepared and provided by the US Department of Education, but only to the SAU Superintendents that responded to the Pre-grant request. The purpose of collecting this data is to evaluate the success of the work completed by DOE and HSEM in improving the quality of SAU-level EOPs. This data will be provided to the US Department of Education which in turn will provide the data to the United States Congress.

HOMELAND SECURITY AND EMERGENCY MANAGEMENT TASKS

Temporary Part-time Staff

HSEM will hire part-time, temporary staff to complete the grant scope of services. Such staff would include an Emergency Management Specialist, two Field Representatives, and a Program Assistant to provide office support. The two Field Representatives and the Program Assistant would work on the order of 29 hours per week with the Emergency Management Specialist working 15 hours per week to oversee the grant work. HSEM shall notify DOE when staff are hired and provide contact information in a timely manner.

Development of EOP Template

HSEM shall develop an EOP template using readily available commercial software that encompasses the five mission areas of EOP's (and modeled to comply with Presidential Policy Directive 8 (PPD8)) while including those items specific to New Hampshire SAUs. The EOP template shall also include examples of Memorandum of Agreements for various common partners such as the local Fire and Police Departments, etc. The EOP template shall be reviewed and approved by the DOE prior to dissemination to the SAUs. The EOP template shall be made available as a permanent downloadable document on the HSEM and DOE websites once complete.

Completion of Five Regional Training Sessions

In coordination with DOE, HSEM will create an EOP development training session to be given at five regional locations to be determined by DOE. Training shall be provided to the SAUs and their partners

on how to complete the EOP development process focusing on both the HSEM-developed EOP template and the Readiness and Emergency Management for Schools (REMS) Technical Assistance (TA) Center EOP Interactive Tools. Training shall also include discussions on the basic plan, functional annexes and threat- and hazard-specific annexes. HSEM shall provide staff to complete the training sessions. HSEM shall provide DOE with a list of required presentation equipment, meeting handouts and other materials needed to complete the training at least a week prior to each session.

Direct SAU Training and Technical Assistance

HSEM staff shall provide direct training and technical assistance to SAUs that make a request for such services. Direct training and technical assistance may include, but not be limited to, individual SAU on-site training sessions, creation of the individual SAU basic plan and annexes, coordination of partnering meetings, development of partnering agreements and finalization of the SAU EOPs. HSEM shall provide DOE with a monthly summary of SAUs for which they have provided training and technical assistance and a brief description of the services provided. The format and the required monthly submittal date of the summary will be developed by DOE and provided to HSEM.

EOP Content Development

HSEM, following authorization by DOE, may create EOP content materials in support of the SAU EOP development efforts. Such content materials may include information sheets on threat and hazard types, state of practice documents on EOP testing exercises and security assessment techniques. The EOP content materials shall be made available as a downloadable document on the HSEM and DOE websites once complete.

FUNDING

The DOE will provide funding in the amount of \$215,170 from Account # 06-56-56-563510-59880000-029-500290 to HSEM as follows, \$162,170.00 for FY 16 and \$53,000.00 for FY 17.

TERMINATION

Either party may terminate this agreement upon providing written notice to the other party, thirty (30) days prior to termination. Upon termination, HSEM will retain funds equal to that expended to the termination date. The remaining funds shall be returned to DOE.

DURATION

This agreement shall continue in effect from Governor and Council approval, but not before July 1, 2015, until June 30, 2017, unless terminated earlier by either party, or extended in writing by a subsequent agreement of the parties and acceptance by Governor and Council.

AGREEMENT

In WITNESS WHEREOF, the parties hereto have executed this Agreement, which shall become effective on the date the Governor and Council of the State of New Hampshire approve this Agreement, but not

before July 1, 2015.

NH Department of Education

Virginia M. Barry
Virginia M. Barry, Ph.D.
Commissioner

6/22/15
Date

NH Department of Safety

John J. Barthelmes
John J. Barthelmes
Commissioner

6/22/15
Date

Approved as to form, substance, and execution.

[Signature]
Department of Justice

6/26/15
Date

**US Department of Education
Washington, D.C. 20202**

S184Q140019

GRANT AWARD NOTIFICATION

<p>1 RECIPIENT NAME</p> <p>New Hampshire Department of Education Program Support 101 Pleasant Street Concord, NH 03301 - 0000</p>	<p>2 AWARD INFORMATION</p> <p>PR/AWARD NUMBER S184Q140019 ACTION NUMBER 0 ACTION TYPE New AWARD TYPE Discretionary</p>						
<p>3 PROJECT STAFF</p> <p>RECIPIENT PROJECT DIRECTOR Judith D Fillion (603) 271-3855 judith.fillion@doe.nh.gov EDUCATION PROGRAM CONTACT Amy J Banks (202) 453-6704 amy.banks@ed.gov EDUCATION PAYMENT HOTLINE G5 PAYEE 888-336-8930 HELPDESK edcaps.user@ed.gov</p>	<p>4 PROJECT TITLE</p> <p>84.184Q Developing Capacity to Improve Emergency Operations Plans in New Hampshire</p>						
<p>5 KEY PERSONNEL</p> <table border="1"> <thead> <tr> <th>NAME</th> <th>TITLE</th> <th>LEVEL OF EFFORT</th> </tr> </thead> <tbody> <tr> <td>Judith D Fillion</td> <td>Project Director</td> <td>10 %</td> </tr> </tbody> </table>		NAME	TITLE	LEVEL OF EFFORT	Judith D Fillion	Project Director	10 %
NAME	TITLE	LEVEL OF EFFORT					
Judith D Fillion	Project Director	10 %					
<p>6 AWARD PERIODS</p> <table border="1"> <thead> <tr> <th>BUDGET PERIOD</th> <th>PERFORMANCE PERIOD</th> </tr> </thead> <tbody> <tr> <td>10/01/2014 - 03/31/2016</td> <td>10/01/2014 - 03/31/2016</td> </tr> </tbody> </table> <p>FUTURE BUDGET PERIODS N/A</p>		BUDGET PERIOD	PERFORMANCE PERIOD	10/01/2014 - 03/31/2016	10/01/2014 - 03/31/2016		
BUDGET PERIOD	PERFORMANCE PERIOD						
10/01/2014 - 03/31/2016	10/01/2014 - 03/31/2016						
<p>7 AUTHORIZED FUNDING</p> <table border="1"> <thead> <tr> <th></th> <th>THIS ACTION</th> </tr> </thead> <tbody> <tr> <td>BUDGET PERIOD</td> <td>\$250,000.00</td> </tr> <tr> <td>PERFORMANCE PERIOD</td> <td>\$250,000.00</td> </tr> </tbody> </table>			THIS ACTION	BUDGET PERIOD	\$250,000.00	PERFORMANCE PERIOD	\$250,000.00
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BUDGET PERIOD	\$250,000.00						
PERFORMANCE PERIOD	\$250,000.00						
<p>8 ADMINISTRATIVE INFORMATION</p> <p>DUNS/SSN 808590277 REGULATIONS EDGAR AS APPLICABLE 2 CFR AS APPLICABLE ATTACHMENTS A, C, E1, E2, E4, E5, E6, E7, F, N, O, S, U, V, W</p>							
<p>9 LEGISLATIVE AND FISCAL DATA</p> <p>AUTHORITY: PL 107-110 IV-A SAFE AND DRUG-FREE SCHOOLS & COMMUNITIES ACT OF ESEA, AS AMENDED PROGRAM TITLE: SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES - NATIONAL PROGRAMS CFDA/SUBPROGRAM NO: 84.184Q</p>							

**US Department of Education
Washington, D.C. 20202**

S184Q140019

GRANT AWARD NOTIFICATION

FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT
0203A	2014	2014	ES000000	B	GA6	QQQ	184	4101C	\$250,000.00

10

PR/AWARD NUMBER: S184Q140019
 RECIPIENT NAME: New Hampshire Department of Education
 Program Support

TERMS AND CONDITIONS

- (1) The Office of Management and Budget requires all Federal agencies to assign a Federal Award Identifying Number (FAIN) to each of their financial assistance awards. The PR/AWARD NUMBER identified in Block 2 is your FAIN.

If you subaward under this grant, you must document the assigned PR/AWARD NUMBER (FAIN) identified in Block 2 of this Grant Award Notification on each subaward made under this grant. The term subaward means:

1. A legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
2. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation on the principles that can be used in identifying a procurement as distinct from a subaward, see Sec. --- .210(b)-(d) of OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations")
3. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.

- (2) THE FOLLOWING ITEMS ARE INCORPORATED IN THE GRANT AGREEMENT:

- (1) THE RECIPIENT'S APPLICATION (BLOCK 2),
- (2) THE APPLICABLE EDUCATION DEPARTMENT REGULATIONS (BLOCK 8), AND
- (3) THE SPECIAL TERMS AND CONDITIONS SHOWN AS ATTACHMENTS (BLOCK 8).

IN ACCORDANCE WITH 34 CFR 74.25(c)(2), OR 34 CFR 80.30(d)(3) CHANGES TO KEY PERSONNEL IDENTIFIED IN BLOCK 5 MUST RECEIVE PRIOR APPROVAL FROM THE DEPARTMENT.

THE RECIPIENT IS REQUIRED TO SUBMIT ALL NECESSARY REPORTS TO THE DEPARTMENT OF EDUCATION WITHIN 90 DAYS AFTER THE END OF FEDERAL SUPPORT (BLOCK 6).

Debbie Kalnasy

 AUTHORIZING OFFICIAL

9/22/14

 DATE

TITLE IX

ACQUISITION OF LANDS BY UNITED STATES; FEDERAL AID

CHAPTER 124 FEDERAL AID

Miscellaneous

Section 124:15

124:15 Positions Restricted. –

I. In addition to the positions authorized by law, no new personnel positions, or consultants, or both may be created by the acceptance of federal moneys or moneys from any other source unless such positions, or consultants, or both are approved by the fiscal committee of the general court; provided, however, that the governor and council may accept all moneys available for any emergency or disaster as defined by the authority awarding such moneys; and provided further that all such moneys available to the general court or to either of its houses may be accepted by the respective presiding officers with the prior approval of the fiscal committee. Nothing herein shall be construed to affect the provisions of RSA 98:17-a.

II. Every board, agency, department or commission receiving such federal or other moneys shall attempt to apply them in whole or in part to the cost of personnel positions authorized by law so as to reduce the obligation of general funds, but if the salaries of such personnel positions cannot be paid out of such moneys then such positions shall be considered as specified in paragraph I.

III. All such moneys which fund personnel positions subject to the restrictions of this section shall be used only for the purposes or programs specified in the application for approval of the positions or as otherwise authorized by law, and all such moneys which are accepted in accordance with law are hereby appropriated.

Source. 1983, 469:84, eff. July 1, 1983.



STATE OF NEW HAMPSHIRE
 DEPARTMENT of CULTURAL RESOURCES
*State Council on the Arts, Division of Historical Resources
 State Library, Film & Television Office,
 Commission on Native American Affairs (administratively attached)*



20 Park Street
 Concord, New Hampshire 03301

VAN McLEOD
 Commissioner
 Van.McLeod@dcr.nh.gov

TEL: 603-271-2540
 FAX: 603-271-6826
 www.nh.gov/nhculture

July 13, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency Governor Margaret Wood Hassan
 And the Honorable Council
 State House
 Concord, NH 03301

REQUESTED ACTION

1. Authorize the Department of Cultural Resources, Division of Historical Resources, to accept and expend a grant from the State of NH Department of Transportation in the amount of \$29,456 for the preparation of a National Register Nomination for the Downtown Historic District. This action is effective upon Governor and Council approval for the period retroactive to July 1, 2015 through December 31, 2015. 100% Other Funds
2. Pursuant to RSA 124:15, authorize the Department of Cultural Resources, Division of Historical Resources, contingent upon item 1 above to retroactively amend Fiscal Item #FIS 14-029 approved on 3/21/14 and G&C Item #29 approved on 4/9/14 to establish an object class 046 Consultants in Account No. 01-34-3420-89050000 by extending the date from June 30, 2015 to December 31, 2015 effective upon Fiscal Committee and Governor and Council approval.

Department of Cultural Resources
 Division of Historical Resources
 National Register Nomination
 01-34-3420-89050000

<u>Class</u>	<u>Description</u>	<u>Current Modified Budget</u>	<u>Increase</u>	<u>Revised Modified Budget</u>
046	Consultants	31,500.00	23,625.00	55,125.00
050	Personal Svc Temp	0	5,418.00	5,418.00
060	Benefits	0.00	413.00	413.00
	Total	31,500.00	29,456.00	60,956.00
002-403509	Transfer from DOT	31,500.00	29,456.00	60,956.00

EXPLANATION

These Requested Actions are retroactive due to the Continuing Resolution. A request to accept and expend these grant funds was approved by Fiscal Committee on March 21, 2014 (Item #Fis 14-020) and by Governor and Council on April 9, 2014 (Item #29). Grant funds awarded for periods after December 31, 2015 will be included in the future operating budgets for FY 2016 and FY2017. The request to appropriate funds in Class 50 Personal Services Temp are needed to continue to pay a portion of a part time employee's hours to support this program.

In 2011, NHDOT began the process of replacing the Memorial Bridge between Portsmouth, NH and Kittery ME. One required aspect of its removal was completion of all required federal environmental planning and documentation, including Section 106 of the National Historic Preservation Act (NHPA), ensuring that appropriate mitigation was included in the project to offset the loss of the former Memorial Bridge. The mitigation included providing DHR with funding in an amount not to exceed \$175,000 to prepare a National Register nomination for the Portsmouth Downtown Historic District. It was agreed by the signatories of the project's Memorandum of Agreement- NHDOT, Maine DOT, DHR, Maine Historic Preservation Commission and the Federal Highway Administration- that DHR was best suited and uniquely qualified to administer this work effort. The Department of Transportation and the Division of Historical Resources entered into a Memorandum of Understanding (MOU) approved by Governor and Council December 20, 2014 #94. This action will allow the Division of Historical Resources to complete the tasks of the Memorandum of Understanding and select- a qualified 36 CFR 61 consultant to complete the nomination.

The City of Portsmouth contains a large number of historic resources. While a downtown historic district in Portsmouth has been recognized for years as National Register-eligible by federal and state agencies, a formal nomination will compile numerous previous historical surveys and must be completed and approved in order for official National Register status to be given. National Register nominations can help property owners better advocate and care for their properties and bolster community pride and heritage tourism. The information in a nomination can also be used for educational programming and in community planning and development. Listing also pre-qualifies a property for preservation grant programs such as the Land and Community Heritage Investment Program or the Conservation License Plate Program. Commercial rehabilitations that meet preservation standards of properties listed on the National Register are potentially eligible for a 20% federal tax credit. Listed properties are also identified early in the planning process for federally funded, licensed or permitted projects, as well as some state-assisted projects, reducing project costs and review time.

The following appropriation authority is being requested for Fiscal Year 2016

Class 046-Funds will be used to continue the consultants that meet the requirements of NPS Code of Federal Regulations, 36 CFR 61

Class 050-Partial costs of current DHR employee

Class 060-Benefits associated with part-time salaries, 7.65%

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a "Expenditure of funds over \$100,000 from any Non State Source" or RSA 124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?
Yes
2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date?
The contracted consultant is performing the duties as required and awaiting payment
3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action?
This program was approved through Fiscal Committee on 03/21/2014 # FIS 14-029 and Governor and Executive Council 04/09/2014 #29 through June 30, 2015
4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?
Funding was not included in the FY 2014-2015 enacted budget as the Memorandum of Understanding was not approved by Governor and Executive until December 20, 2013.
5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?
This program is included in the vetoed FY 2016-2017 budget
6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending.
This program includes a consulting contract with The Public Archaeology Lab, Inc that was approved through Governor and Executive Council June 18, 2014 #40
7. What would be the effect should this program be discontinued or not initiated as a result of this program being denied?
The Nomination for a Portsmouth Downtown Historic District would not be completed

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

1. List of personnel involved: There are no new part-time positions. Administrative support will be provided by current DHR staff. A contract for consultants was competitively bid and approved by Governor and Executive Council 06/18/14 #40.
2. Nature, need and duration: The DHR's Special Programs & Compliance Specialist will administer this project, among other assigned duties. Her responsibilities include publishing the Request for Proposals, working with the public and the selected consultant, record keeping, and assuring that the final product is complete and meets standards. Consultants will work within the defined time period as specific in the Request for Proposals. The Division of Historical Resources final product is due December 1, 2016; financials and final reporting in early 2017.
3. Relationship to existing agency program: This program is part of the services that the Division of Historical Resources offers to the citizens of New Hampshire in partnership with the National Park service
4. Has a similar program been requested of the legislature and denied? A program of this nature has not been requested of the legislature
5. Why wasn't funding included in the agency's budget request? The Memorandum of Understanding approval by Governor and Council on December 20, 2013 #94 occurred after the submission of the Fiscal Year 2014/2015 state budget


6. Can portions of the grant funds be utilized? 100% of other funds can be used to fund the personnel costs and all program costs associated with this request.
7. Estimate the funds required to continue this position(s). This position will continue to be funded with the federal funds we receive from the National Park Service. The Consultant costs will terminate upon completion of the nomination.


FISCAL SITUATION

Grant award	175,000.00
FY 2015 Expenditures	\$77,297.01
Balance Available	<u>\$97,702.99</u>
Requested Action	\$29,456.00

In the event other funds are no longer available, general funds will not be requested to support the position. Attached is a copy of the approved Memorandum of Understanding.

Respectfully submitted,



 Van McLeod
Commissioner



STATE OF NEW HAMPSHIRE
 DEPARTMENT OF CULTURAL RESOURCES
 Division of Arts, Division of Historical Resources,
 Division of Libraries, Film and Television Office
 Office of Curatorial Services
*American Canadian French Cultural Exchange Commission,
 Administratively Attached*
Van McLeod, Commissioner

FIS 14 028 29



February 21, 2014

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Patton 3/21/14
 Approved by Fiscal Committee Date

Her Excellency Governor Margaret Wood Hassan
 And the Honorable Council
 State House
 Concord, NH 03301

REQUESTED ACTION

- Pursuant to RSA 14:30-a VI, authorize the Department of Cultural Resources, Division of Historical Resources, to accept and expend funds from the State of New Hampshire Department of Transportation in the amount of \$114,625.00 for the preparation of a National Register Nomination for the Downtown Historic District, effective upon Fiscal Committee and Governor and Council approval through June 30, 2015.
 Source of funds is 100% Other funds
- Pursuant to RSA 124:15, authorize the Department of Cultural Resources, Division of Historical Resources to establish an object class 046 Consultants in Account No. 01-34-34-3420-89050000 for the period of Fiscal Committee and Governor and Council approval through June 30, 2015.

Department of Cultural Resources
 Division of Historical Resources
 National Register Nominations
 01-34-34-3420-89050000

<u>Class</u>	<u>Description</u>	<u>FY 2014</u>	<u>FY 2015</u>
046	Consultants	0.00	102,375.00
050	Personal Services	8,129.00	3,252.00
060	Benefits	621.00	248.00
	Total	<u>8,750.00</u>	<u>105,875.00</u>
002-403509	Transfer from DOT	8,750.00	105,875.00

EXPLANATION

In 2011, NHDOT began the process of replacing the Memorial Bridge between Portsmouth, NH and Kittery ME. One required aspect of its removal was completion of all required federal environmental planning and documentation, including Section 106 of the National Historic Preservation Act (NHPA), ensuring that appropriate mitigation was included in the project to offset the loss of the former Memorial Bridge. The mitigation included providing DHR with funding in an amount not to exceed \$175,000 to prepare a National Register nomination for the Portsmouth Downtown Historic District. It was agreed by the signatories of the project's Memorandum of Agreement- NHDOT, Maine DOT, DHR, Maine Historic Preservation Commission and the Federal Highway Administration- that DHR was best suited and uniquely qualified to administer this work effort. The Department of Transportation and the Division of Historical Resources entered into a Memorandum of Understanding (MOU) approved by Governor and Council December 20, 2014 #94. This action will allow the Division of Historical Resources to complete the tasks of the Memorandum of Understanding and select- a qualified 36 CFR 61 consultant to complete the nomination.

The City of Portsmouth contains a large number of historic resources. While a downtown historic district in Portsmouth has been recognized for years as National Register-eligible by federal and state agencies, a formal nomination will compile numerous previous historical surveys and must be completed and approved in order for official National Register status to be given. National Register nominations can help property owners better advocate and care for their properties and bolster community pride and heritage tourism. The information in a nomination can also be used for educational programming and in community planning and development. Listing also pre-qualifies a property for preservation grant programs such as the Land and Community Heritage Investment Program or the Conservation License Plate Program. Commercial rehabilitations that meet preservation standards of properties listed on the National Register are potentially eligible for a 20% federal tax credit. Listed properties are also identified early in the planning process for federally funded, licensed or permitted projects, as well as some state-assisted projects, reducing project costs and review time.

Class 046-Funds will be used to hire consultants that meet the requirements of NPS Code of Federal Regulations, 36 CFR 61

Class 050-Partial costs of current DHR employee

Class 060-Benefits associated with part-time salaries, 7.65%

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

1. List of personnel involved: There are no new part-time positions. Administrative support will be provided by current DHR staff. Contracts for Consultants will be competitively bid and brought before Governor and Executive Council for approval.
2. Nature, need and duration: The DHR's Special Programs & Compliance Specialist will administer this project, among other assigned duties. Her responsibilities include publishing the Request for Proposals, working with the public and the selected consultant, record keeping, and assuring that the final product is complete and meets standards. Consultants will work within the defined time period as specific in the Request for Proposals. The Division of Historical Resources final product is due December 1, 2016; financials and final reporting in early 2017.

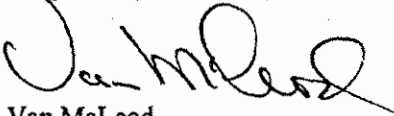
3. Relationship to existing agency program: This program is part of the services that the Division of Historical Resources offers to the citizens of New Hampshire in partnership with the National Park service
4. Has a similar program been requested of the legislature and denied? A program of this nature has not been requested of the legislature
5. Why wasn't funding included in the agency's budget request? The Memorandum of Understanding approval by Governor and Council on December 20, 2013 #94 occurred after the submission of the Fiscal Year 2014/2015 state budget
6. Can portions of the grant funds be utilized? 100% of other funds can be used to fund the personnel costs and all program costs associated with this request.
7. Estimate the funds required to continue this position(s). This position will continue to be funded with the federal funds we receive from the National Park Service. The Consultant costs will terminate upon completion of the nomination.

FISCAL SITUATION

Appropriation	175,000.00
FY 2014 Requested Appropriation	\$8,750.00
FY 2015 Requested Appropriation	\$105,875.00
Anticipated FY 2016 Agency Budget Request	\$55,375.00
Anticipated FY 2017 Agency Budget Request	<u>\$5,000.00</u>
 Total Appropriations	 \$175,000.00

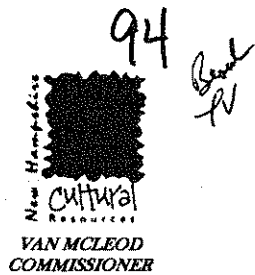
In the event other funds are no longer available, general funds will not be requested to support the position. Attached is a copy of the approved Memorandum of Understanding.

Respectfully submitted,


Van McLeod
Commissioner



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION
AND
DEPARTMENT OF CULTURAL RESOURCES



CHRISTOPHER D. CLEMENT, SR.
COMMISSIONER

VAN MCLEOD
COMMISSIONER

Department of Transportation
Bureau of Environment
November 12, 2013

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

11/20/13

Sole Source

REQUESTED ACTION

Authorize the Department of Transportation (DOT), Bureau of Environment, to enter into a **SOLE SOURCE** Memorandum of Understanding (MOU) with the Department of Cultural Resources, Division of Historical Resources (DHR) (Vendor #177886) in an amount not to exceed \$175,000, for preparation of a National Register Nomination for the Portsmouth Downtown Historic District, effective upon Governor and Council approval, through December 31, 2016. 100% Federal Funds (NH's share).

Funding is available as follows for FY 2014 and FY 2015, and is contingent upon the availability and continued appropriation of funds in FY 2016 and FY 2017:

	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>
04-96-96-963515-3054 Consolidated Federal Aid 400-500870 Highway Contract Payments	\$50,000	\$65,000	\$55,000	\$5,000

EXPLANATION

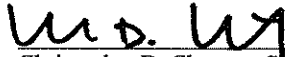
This item is sole source as stipulation #4 of a Memorandum of Agreement (MOA) for mitigation associated with replacement of the Memorial Bridge. In 2011, DOT began the process of replacing the Memorial Bridge between Portsmouth, NH and Kittery, ME. One required aspect to its removal was completion of all required federal environmental planning and documentation, including Section 106 of the National Historic Preservation Act (NHPA), ensuring that appropriate mitigation was included in the project to offset the loss of the former Memorial Bridge. The mitigation included providing DHR with funding in an amount not to exceed \$175,000 to prepare a National Register Nomination for the Portsmouth Downtown Historic District (*attached*). It was agreed by the signatories of the MOA - DOT, Maine Department of Transportation, DHR, Maine Historic Preservation Commission, and the Federal Highway Administration - that DHR was best suited and uniquely qualified to administer this work effort.

The nomination for the Portsmouth Downtown Historic District will define boundaries for a Portsmouth Historic District, which will provide an excellent planning tool for future projects in the area, while also reducing project planning costs for those projects, since required up-front surveys would have already been completed. In addition, interested property owners could realize potential voluntary incentives to maintaining the historic character of the downtown.

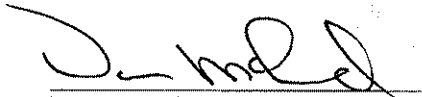
The project costs for the Memorial Bridge project is shared equally, 50% by the New Hampshire Department of Transportation and 50% reimbursement by the Maine Department of Transportation. Attached is a copy of the MOA between DOT and MaineDOT that was approved at the March 16, 2011 Governor and Council Meeting (Item #146), which outlines the funding breakdown. The New Hampshire share of this contract's funding is 80% Federal funds with 20% State match. Turnpike toll credit is being utilized for New Hampshire's match requirement, effectively using 100% federal funds.

Your approval of this resolution is respectfully requested.

Sincerely,



Christopher D. Clement, Sr.
Commissioner
Department of Transportation



Van McLeod
Commissioner
Department of Cultural Resources

CDC:VM/ktn

Attachments

STATE OF NH
DEPT OF JUSTICE
2013 NOV 20 AM 10:35

Portsmouth, NH-Kittery, ME
13678F
Fed #A000(911)
[Memorial Bridge Replacement]

MEMORANDUM OF UNDERSTANDING
Between the
NH DEPARTMENT OF TRANSPORTATION
And the
NH DIVISION OF HISTORICAL RESOURCES

WHEREAS, the NH Division of the Federal Highway Administration and the Maine Division of the Federal Highway Administration (FHWA) concurred with the NH Department of Transportation (NHDOT), Maine Department of Transportation (MaineDOT), NH Division of Historical Resources State Historic Preservation Office (NHSHP) and Maine State Historic Preservation Office (MESHPO) through a March 4, 2011 Finding of Adverse Effect Memorandum that the replacement of all spans of the 1923 Memorial Bridge that carried US Route 1 over the Piscataqua River between Portsmouth, NH and Kittery, Maine resulted in a Section 106 finding of Adverse Effect under the National Historic Preservation Act (16 U.S.C. 470f) to the Portsmouth, NH-Kittery, A000(911), 13678F Project (herein referenced as "Project"); and

WHEREAS, the FHWA in consultation with the NHDOT, MaineDOT, NHSHP and MESHPO and pursuant to regulations (36 CFR Part 800) implementing Section 106 of the National Historic Preservation Act (16 U.S.C. 470f) have signed a Memorandum of Agreement, dated March 15, 2011 (herein referenced as "2011-MOA") outlining mitigation measures to be undertaken; and

WHEREAS, the signatories of the 2011-MOA have agreed that the preparation of a nomination to the National Register of Historic Places (hereinafter called the "Nomination") for the Portsmouth Downtown Historic District is an appropriate mitigation measure for the adverse effects presented by the Memorial Bridge project; and

WHEREAS, as a signatory to the MOA, the NHSHP has agreed to coordinate the preparation of this Nomination given its experience with the National Register of Historic Places Program; and

WHEREAS, the FHWA and NHDOT, as the project lead, have agreed to provide Project funding up to, but not to exceed, \$175,000 to NHSHP for the completion of this mitigation stipulation;

NOW, THEREFORE, NHDOT and NHSHP agree that the Nomination will adhere to the following:

Stipulations

The Nomination objective and process is to:

- Compile sufficient research and fieldwork within the study area to identify historic properties (i.e. contributing and non-contributing to historic district);
- Formally delineate the Portsmouth Downtown Historic District boundary line and period of significance;
- Prepare the Nomination, including mapping and photography, to the standards and guidance of the National Park Service and the NHSHP;
- Undertake Public Outreach to inform property owners within the District and Portsmouth City Officials how a National Register Nomination is developed and how nomination/listing will, and will not, impact and benefit the community; and
- Provide benefits and information to property owners, the City of Portsmouth, and various other entities, such as recognition, tax credit and grant opportunities, and information that can be used for fundraising, advocacy, and planning purposes.

For the purposes of this MOU, NHDOT and NHSHPO agree to cooperate as follows:

1. The NHDOT agrees to:
 - a. Prepare and submit all needed documentation for approval by the Governor and Executive Council to establish this project.
 - b. Authorize Project funding up to, but not to exceed, \$175,000 for the NHSHPO to have completed the Portsmouth Downtown National Register Historic District Nomination;
 - c. Reimburse NHSHPO for administrative and certified consultant costs up to the amount in Section 'b' above.
 - d. Provide support, if needed, for the NHSHPO at public meetings to assist the public's understanding of the relationship between the Nomination and the Memorial Bridge transportation project.

2. The NHSHPO agrees to:
 - a. Develop a Scope of Services and Request for Proposals (RFP) and select and contract with a qualified consultant (36 CFR 61) to complete the Nomination;
 - b. Seek reimbursement from NHDOT, not to exceed \$175,000, for the demonstrated costs to prepare the Nomination;
 - c. Agree that the selection process shall be based upon qualification based, low-bid determination;
 - d. Obtain Governor and Executive Council approval to enter into contract with consultant to complete the Portsmouth Downtown Historic District National Register Nomination;
 - e. Provide administrative support for the consultant contract, including administrative management of consultant invoices and processing payments;
 - i. Consultant invoicing shall be billed no more than on a quarterly schedule base, unless approved by NHDOT based upon consultant schedule and progress;
 - f. Oversee and approve all products developed by the consultant including:
 - i. Assessment of methodologies;
 - ii. Draft and final product reviews;
 - g. Provide a series of public meetings where interested citizens can learn more about the project and, as appropriate, participate in it.
 - h. Provide quarterly financial updates to NHDOT and FHWA for TIGER Grant reporting; and
 - i. Make available all nomination documentation, as may be requested, for State and Federal auditing as may be necessary.

3. The NHDOT and NHSHPO agree to the following deliverable/schedule for the completion of the Nomination:
 - a. National Register Nomination for the Portsmouth Downtown Historic District
 - b. December 2016

Termination of any paragraph, part, or section of this Agreement per the conditions described herein shall not be interpreted as termination of the entire Agreement. The period of this Agreement shall be from the date of Governor and Executive Council approval through December 31, 2016.

In WITNESS WHEREOF, the respective parties have hereunto set their hands on the dates indicated.

Christopher D. Clement, Sr.
Christopher D. Clement, Sr.
Department of Transportation

11/5/2013
Date

Elizabeth H. Muzzey
Elizabeth H. Muzzey
Division of Historical Resources
State Historic Preservation Office

11/5/13
Date

John J. Conboy
Print Name: John J. Conboy
Office of Attorney General

11/21/13
Date

SECRETARY OF STATE

I hereby certify that the foregoing contract was approved by the Governor and Executive Council of the State of the State of New Hampshire at there meeting on _____

Signed _____

Memorial Bridge Replacement Project
Portsmouth, NH-Kittery, ME
A000(911)
13678F
(Page 1)

Memorandum of Agreement
SUBMITTED TO THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
PURSUANT TO 36 CFR PART 800.6(a)

WHEREAS, the NH Division of the Federal Highway Administration (NHFHWA) and the Maine Division of the Federal Highway Administration (MEFHWA) propose the replacement of all spans of the Memorial Bridge that carries US Route 1 over the Piscataqua River between Portsmouth, NH and Kittery, Maine; and

WHEREAS, the NHFHWA and MEFHWA in consultation with the NH State Historic Preservation Officer (NHSHP) and the Maine State Historic Preservation Officer (MESHPO) and pursuant to regulations (36 CFR Part 800) implementing Section 106 of the National Historic Preservation Act (16 U.S.C. 470f) have determined that the proposed undertaking will have an adverse effect on the following properties that are eligible for listing in the National Register of Historic Places:

Memorial Bridge Historic District, Portsmouth, NH and Kittery, Maine
Scott Avenue Bridge, Portsmouth, NH
Memorial Bridge (lift span and two flanking spans), Portsmouth, NH and Kittery, Maine
Memorial Park, Portsmouth, NH
Portsmouth Historic District, Portsmouth, NH
John Paul Jones Memorial Park, Kittery, Maine

and;

WHEREAS, the NHFHWA and the MEFHWA have agreed that the New Hampshire Department of Transportation (NH DOT) and the Maine Department of Transportation (Maine DOT) shall participate in the consultation with the NHSHP and MESHPO respectively to find ways to mitigate the effects to the above six properties through their respective standard consultation processes; and

WHEREAS, the NH DOT and Maine DOT on behalf of the NHFHWA and MEFHWA respectively will execute these efforts to mitigate the adverse effects of the project; and

WHEREAS, the NH DOT and Maine DOT have solicited public comment through the public involvement process and the consulting party procedures with NHFHWA and MEFHWA as stated in 36 CFR 800 (2); and

WHEREAS, the FHWA has consulted with the Aroostook Band of MicMacs, the Houlton Band of Maliseet Indians, the Passamaquoddy Tribe, and the Penobscot Nation and will apprise them of any findings; and

Memorial Bridge Replacement Project
Portsmouth, NH-Kittery, ME
A000(911)
13678F
(Page 2)

WHEREAS, the NHDOT and Maine DOT have consulted with the following Consulting Parties to the Section 106 Process: the National Trust for Historic Preservation, Port of Portsmouth Maritime Museum and Albacore Park, Historic Bridge Foundation, and the Portsmouth Historical Society in the development of this agreement; and

WHEREAS, the NHPHWA and MEFHWA have agreed that the NHDOT and Maine DOT shall participate in consultation with the NHPHPO and the MESHPO to find ways to mitigate its effects on impacted archaeological sites found eligible for the National Register of Historic Places under its standard phased investigations; and

WHEREAS, to the best of our knowledge and belief, no human remains and/or associated or unassociated funerary objects or sacred objects of cultural patrimony as defined in the Native American Graves Protection Act (25 U.S.C. 3001), are expected to be encountered in the archaeological work; and

WHEREAS, in accordance with 36 CFR 800.6(a)(1), NHPHWA has notified the Advisory Council on Historic Preservation (Council) of its adverse effect determination with specified documentation and the Council has chosen not to participate in the consultation pursuant to 36 CFR 800.6(a)(1)(iii).

NOW, THEREFORE, NHPHWA with the assistance of MEFHWA, NHDOT, and Maine DOT shall ensure that the following terms and conditions will be implemented by the NHDOT and Maine DOT under this MOA in a timely manner and with adequate resources in compliance with the National Historic Preservation Act of 1966 16 U.S.C. 470.

Stipulations

NHPHWA, with the assistance of MEFHWA, NHDOT, and Maine DOT will ensure that the following stipulations are carried out:

Project Development

1. The NHPHPO, the MESHPO, FHWA and the Parties consulted during the Section 106 and National Environmental Policy Act (NEPA) processes as listed above will be provided the opportunity to review and comment on the bridge design during the design-build process *at the following milestones: 25-30% (30 day review), 60% (10 day review), and 90% design (10 day review)*. The bridge design for the replacement of the bridge will follow *the Secretary of the Interior's Standards for Rehabilitation and Guidelines for the Rehabilitation of Historic Buildings*, Standards 9 and 10, as determined by FHWA in consultation with NHPHPO and MESHPO. This continued consultation will focus on the design's conformance with Standards 9 and 10.

2. NHDOT will ensure that the Memorial Bridge, including the three-span Memorial Bridge, the Scott Avenue Bridge (Portsmouth Approach Span), and the Kittery Approach Spans are marketed together for reuse in compliance with 23 USC Sec. 144 for relocation. Marketing will occur once within a New Hampshire and Maine state and local newspaper by June 1, 2011. Additionally, the NHDOT and Maine DOT will market the bridge on their websites between April 1 and June 1, 2011. Ownership transfer will require the use of preservation covenants or other instruments to ensure the long-term protection of the qualifying characteristics of the Memorial Bridge.
3. FHWA shall ensure that NHDOT provides a letter report on all activities carried out under this agreement to the MESHPO, NESHPO, and the consulting parties to the Section 106 process. Each party who contributes to the mitigation of the Memorial Bridge Replacement Project will prepare a section of this letter report relative to such involvement annually due one month prior to the due date of the letter report on April 1 of each year beginning in 2012 and terminating at the termination of this MOA.

Historical Resources

4. NHDOT will provide NESHPO with funding in an amount not to exceed \$175,000 to prepare a National Register Historic District Nomination for the Portsmouth Downtown District.
5. The Maine DOT, in coordination with the MESHPO will develop a phased plan to identify National Register Eligible properties in the Town of Kittery. The survey plan will include geographically relevant areas of the town and a priority order to survey the areas. The Maine DOT will provide \$50,000 from the project for the completion of the survey that will be completed in accordance with the phased plan.
6. The NHDOT will fund and oversee the development of an interpretive panel as follows. A 36 CFR 61(Appendix A)-qualified architectural historian will prepare an interpretive panel explaining the history of the Memorial Bridge crossing, the Engineering significance of the bridge, and background of its design engineer, J.A.L. Waddell, the preeminent designer of lift bridges. The panel will be placed in Prescott Park or a location near the bridge identified by the Public Outreach Committee (see stipulation 10 below). The first location is contingent upon continuing consultation with and agreement by the Prescott Park Trustees, Trustees of Trust Funds. Any other location will be coordinated with the landowner. NHDOT will ensure that the interpretive panel is manufactured and erected with appropriate American with Disabilities Act access as part of this design-build project. The design and content of the panel will be subject to the

approval of the NESHPO and MESHPO, who will be provided 45 calendar days for review; and will be subject to approval by the property owner on which the panel is placed. The panel will be erected as part of the design-build contract.

7. The preparation of the Historic Structures Report (HSR) for the Memorial Bridge, which included the distribution of thirty copies to state and local repositories and its placement on the NHDOT website, has been completed. The Historic American Engineering Record for the bridge is within this document and includes the detailed description, narrative history, discussion of engineering significance, archivally stable large format photographs, and archivally stable copies of the original design plans. NHDOT will also ensure that an annotation of the bibliography of the HSR is completed to provide the location and a brief description of the contents of primary sources. The bibliography will be placed on the NHDOT and NESHPO websites. The bibliographic annotation will be prepared by an architectural historian qualified under 36 CFR 61 (Appendix A) under the direction of the NHDOT and reviewed by NESHPO and MESHPO within 45 calendar days of submission. It will be completed by December 1, 2014.
8. For the 2012 meeting schedules, the NHDOT will work towards setting up pre-defined educational forums for the maintenance and rehabilitation of historic bridges by the NHDOT at an appropriate venue, such as: Technology Transfer Center at UNH (Local Government Center), the American Council of Engineering Companies, Structural Engineers of New Hampshire, and the NHDOT Training. The NHDOT will ensure that this training is offered by qualified persons with demonstrated expertise in historic bridge maintenance and rehabilitation.

Archaeologically-Based Impacts

9. All necessary archaeological investigations will be completed before or during construction as specified in the stipulations below. If preservation in place is found necessary, then NHEHWA and MEFHWA will consult with their respective SHPOs and identified Native American groups that may attach religious or cultural importance to the affected property to resolve the treatment of such archaeological deposits. Such Native American groups will be identified prior to the commencement of construction under the design-build contract. NHDOT will oversee the following efforts.
 - a. Portsmouth Approach Span (Scott Avenue and Memorial Park)

NHEHWA will ensure that NHDOT conducts all necessary phases of archaeological investigation based on archaeological protocols and research designs incorporated into the design-build contract. Although the replacement

of the Portsmouth abutment may broaden the scope of work, the protocols and scope of work for the Rehabilitation of the Memorial Bridge were adequately defined in the following documents: *Memorial Bridge Rehabilitation: Scope of work for Archaeological Monitoring During Construction (October 23, 2007)* and *Memorial Bridge Rehabilitation: Archaeological Monitoring Protocol (April 2006)* by Independent Archaeological Consulting. The archaeological monitoring and investigations will be conducted by a historical archaeologist qualified under 36 CFR 61 (Appendix A) with the ability to consult with an archaeologist qualified in Native American archaeological studies. The historical archaeologist will have five years' experience in historical archaeology in the New England region. The final report will be reviewed and finalized by December 1, 2016.

b. Maine Approach Span

Independent Archaeological Consulting assessed the archaeological sensitivity of the Kittery Approach for the ME-NH Connections Study in the *ME-NH Connections Study Summary Report on Phase 0 (ME) / Phase 1A (NH) Archaeological Sensitivity Assessment* (Rev. August 27, 2009). This portion of the project area was assessed at moderate archaeological sensitivity. If accessible prior to construction, all necessary phases of archaeological investigation will be completed prior to construction. If portions of the sensitive area are not accessible prior to construction, then a monitoring protocol and research goals will be developed for this portion of the construction monitoring. An archaeologist qualified under 36 CFR 61 (Appendix A) will conduct the archaeological investigations with the ability to consult with an archaeologist qualified in historical archaeological studies. The archaeologist will have five years' experience in the archaeology of Native American cultures in the New England region. The final report will be reviewed and finalized by December 1, 2016.

c. Data Recovery Process

The NHDOT and NHSPO agree that recovery of significant information from affected significant archaeological sites will be done in accordance with published guidance. In accordance with 36 CFR 800, the NHFHWA and MEFHWA acknowledge and accept the advice and conditions outlined in the Advisory Council on Historic Preservation's "Recommended Approach for Consultation on the Recovery of Significant Information from Archaeological Sites," and other mitigation procedures published in the Federal Register on May 18, 1999. Additionally, all consulting parties agree that Native American tribes that may attach religious or cultural importance to the affected property

will be consulted in the development of a mitigation approach to each significant Native American site as noted above in this stipulation.

d. Discovery of Burials

If human remains and/or grave-associated artifacts are discovered while carrying out the activities pursuant to this MOA, the NHFHWA, MEFHWA, NHDOT, and Maine DOT will immediately notify the appropriate authorities, as prescribed by New Hampshire and Maine statutes to determine an appropriate course of action in accordance with the Advisory Council on Historic Preservation's (Council's) Revised "Policy Statement Regarding Treatment of Burial Sites, Human Remains, and Funerary Objects," adopted by the Council on February 23, 2007 at its quarterly business meeting in Washington, D.C.

Economic-Based Impacts

10. NHDOT/Maine DOT and FHWA will provide funding through the project to hire and supervise a Public Outreach Coordinator, that is 36 CFR 61 (Appendix A) qualified, for two years or for the duration of construction whichever is greater. This individual will, in part, act as the project's conduit for communication and interface with the public. To this end, NHDOT/ Maine DOT and FHWA will seek first to augment an existing part-time position at an existing entity, such as the Portsmouth or Kittery city/town offices and attempt to use existing office facilities. If no appropriate position(s) exist, NHDOT/ Maine DOT, and FHWA will create a new fulltime, temporary position for this purpose. This individual will be qualified under 36 CFR 61 (Appendix A) as a historian or architectural historian or closely related field with demonstrated five years' experience in conducting public programming, public coordination, and promotion of businesses and organizations within a historical setting. This position will have the following functions:
 - a. Coordinate with an advisory committee, known as the Public Outreach Committee, established for this purpose. The Public Outreach Coordinator will invite the business community in Portsmouth and Kittery (i.e., Seacoast Chamber of Commerce); city and town representatives; historical societies and historic district commissions; museums; historic house museums; the arts community; and trustees of public parks and lands within the two communities to participate in the Public Outreach Committee.
 - b. Market and promote the business districts in Portsmouth and Kittery during construction.
 - c. Monitor visitorship at local museums / National Historic Landmarks (for example Strawberry Banke and the MacPhaedris-Warner House respectively).

- d. Assist the Design-Build Contractor and other city and state entities involved in access issues with continued vehicle access to the downtowns by designation of the placement and wording of directional signs; mapping; internet communication; coordination with the design/build contractor to establish sufficient parking; and any other transportation issues.
- e. Assist in access to planned events in the City of Portsmouth and the Town of Kittery.
- f. Coordinate planned cultural events within the two communities to promote the downtowns.
- g. Arrange public educational outreach programs concerning the history of the two communities, the significance of the Memorial Bridge, and other effective types of outreach education determined through the Public Outreach Committee. The program(s) identified by the committee will generate a lasting physical product, such as a book or film, which would be available to the communities and be within the budget established for this effort.

One suggested approach to fulfilling the above objectives would be to use the National Trust for Historic Preservation's Main Street Program model. The Trust provides guidance for this type of position. Guidance for this will be sought through Kathy LaPlante of the National Trust's Washington Office or her designated representative

Construction-Based Impacts

- 11. The existing plaques on the Memorial Bridge and in Memorial Park will be conserved and re-installed on the proposed replacement bridge and in an appropriate area adjacent to the bridge entrance. This installation will be completed by the Design-Build Contractor under the direction of NHDOT in close consultation with the conservator described below. The conservation of the plaques will follow the original proposal completed for the former Rehabilitation of the Memorial Bridge Project, but there would need to be some design modifications of the plaques to fit them onto the replacement bridge. The scope of this work will be incorporated in the Design-Build contract. The Design-Build Contractor will contract with an established and qualified metal conservator. The conservator will hold a Masters of Arts Degree in Art History or related field with a certificate or similar designation in Conservation and at least ten years of experience in the field of conservation that includes at least three major successful projects involving metal conservation. The NH Division of Historical Resources will approve a list of at least three metal conservators. The conservator will be hired within a timeframe sufficient to re-examine the plaques, verify the method of safe removal, and conserve the plaques for their installation on the replacement bridge during the design-build contract.

12. Modern dedication signs will be prepared and installed at each portal of the proposed bridge. They will place the bridge and other plaques into their historical contexts. The wording of the signs will be prepared by a 36 CFR 61 (Appendix A) architectural historian and reviewed by the NHSHP and MESHPO within 45 days of submission. The signs will be fabricated within sufficient time for their placement by the Design-Build contractor under the direction of NHDOT.
13. Vibration levels will be monitored during construction. The Design-Build Contractor will hire a qualified individual whose qualifications are specified below to conduct a preconstruction survey. This survey will establish the area of vibration impact, provide details about the fragility of building materials, and specify the environmental conditions in the area of impact that would affect transmission of vibrations. This preconstruction survey will establish the baseline conditions for monitoring during construction, the construction activities that require monitoring, the general timeframes for monitoring, and the thresholds of vibration levels that will be maintained during construction. These elements will be placed in a Vibration Monitoring Plan. The NHSHP and MESHPO will be provided fourteen days in which to comment on the Vibration Monitoring Plan prior to its finalization prior to the beginning of construction. The NHSHP and MESHPO will also be afforded five days to review any modifications to the Vibration Monitoring Plan made during construction. While it is noted that the national standard for vibration threshold is established at 0.2 inches per second, the vibration limit for this project will be based on the findings of the preconstruction survey. Vibration will remain within safe levels for the historic buildings and structures within the Portsmouth Historic District that lie adjacent to the project area, including the National Historic Landmarks such as the MacPhaedris-Warner House, and Kittery properties in the vicinity of the construction. If vibrations are found to exceed the thresholds established for this project, the work causing that vibration will cease and corrective action will be taken to return the vibration level to acceptable thresholds. The vibration monitoring for these particular structures will be incorporated into the design-build "Request for Proposals" for the requirements of the contract. If damage should occur to buildings within the area of vibration impact, then the contractor will be responsible for repairing the damage in accordance with *the Secretary of the Interior's Standards for Rehabilitation and Guidelines for the Rehabilitation of Historic Buildings*.

When developing the vibration thresholds and preparing the Vibration Monitoring Plan, the Design-Build Contractor will contract with an individual trained in Historic Architecture or closely related field. The individual will have five years of professional experience as a Building Conservation Specialist and will have successfully completed three building conservation projects where he/she has taken into account the effects of different levels of vibration on historic masonry

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and frame buildings. The standards cited herein are the *Secretary of the Interior's Historic Preservation Professional Qualification Standards 62, Fed. Reg. 33, 707 (June 20, 1997/Historic Architecture [http://www.cr.nps.gov/local-law/gis/html/quals.html])*. The NESHPO will provide the names and contact information of at least three individuals who would be qualified to perform such services.

Discovery of Unidentified Properties

14. The NHDOT and Maine DOT will ensure that if additional previously unidentified architectural and / or archaeological properties are discovered, which may be affected by the undertaking or known properties are affected in an unanticipated manner, it will notify FHWA and the NESHPO and MESHPO. FHWA and the NESHPO and MESHPO will apply the criteria of eligibility and consult pursuant to 36 CFR 800.13.

NHFHWA and MEFHWA shall also ensure that the following terms and conditions are implemented:

1. Dispute Resolution

Should the any party to this agreement or a consulting party to the Section 106 process for this project object within 30 days to any actions proposed or findings submitted for review, NHFHWA and MEFHWA shall consult with the objecting party(ies) to resolve the objection. If NHFHWA and MEFHWA determine that any objection(s) remains unresolved, NHFHWA and MEFHWA shall:

- a. Forward all documentation relevant to the dispute to the ACHP in accordance with 36 CFR 800.2(b)(2). On receipt of adequate documentation, the ACHP shall review and advise NHFHWA and MEFHWA on the resolution of the objection within 30 days. Any comment provided by the ACHP, and all comments from the parties to the agreement will be taken into account by FHWA in reaching a final decision regarding the dispute.
- b. If the ACHP does not provide comments regarding the dispute within 30 days after receipt of adequate documentation, NHFHWA and MEFHWA may render a decision regarding the dispute. In reaching its decision, NHFHWA and MEFHWA will take into account all comments regarding the dispute from the parties to this agreement.
- c. NHFHWA's and MEFHWA's responsibility to carry out all other actions subject to the terms of this agreement that are not subject of the dispute remain unchanged. NHFHWA and MEFHWA will notify all parties of its decision in writing before implementing that portion of the undertaking subject to the dispute under this stipulation. NHFHWA's and MEFHWA's decision will be final.

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2. Termination of Agreement

If any signatory determines that the terms of the MOA cannot be executed, the signatories shall consult to seek amendment of the agreement. If the agreement is not amended, any signatory may terminate the agreement. If the terms of this agreement have not been implemented by December 1, 2016, this agreement shall be considered null and void. In such event, the agency shall notify the parties to this agreement, and if it chooses to continue with the undertaking, shall reinitiate review of the undertaking in accordance with 36 CFR 800.

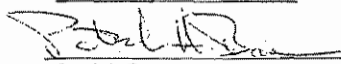
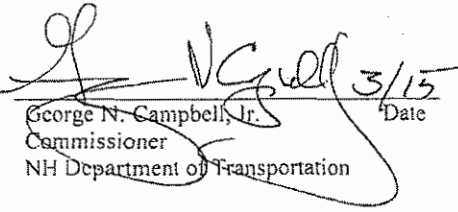
3. Amendment

Any party to this agreement may propose to other parties that the agreement be amended, whereupon the agency will consult with the other parties to this agreement to consider the amendment. An amendment shall be executed when it has been signed by all of the signatories to this MOA.

Execution of this MOA by NHPHWA, NHSHPO, NHDOT, MEFHWA, MESHPO, and the Maine DOT and its subsequent filing with the Council, and implementation of its terms are evidence that FHWA has afforded the Council an opportunity to comment on this project, and that FHWA has taken into account the effects of the undertaking on historic properties.

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Portsmouth, NH-Kittery, ME
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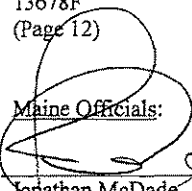
New Hampshire Officials:

	<u>3-15-11</u>	Date		<u>3/15</u>	Date
Patrick Bauer			George N. Campbell, Jr.		
Acting Administrator			Commissioner		
Federal Highway Administration			NH Department of Transportation		
NH Division					

3/15/2011
Under Ray Wilson, DSHPO, for
Elizabeth H. Muzzey Date
State Historic Preservation Officer
NH Division of Historical
Resources


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(Page 12)

Maine Officials:


Jonathan McDade
Administrator
Federal Highway Administration
ME Division

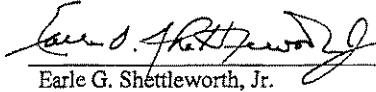
Date

3/15/11


David Bernhardt
Commissioner
ME Department of Transportation

Date

3/15/11


Earle G. Shettleworth, Jr.
State Historic Preservation Officer
ME Historic Preservation Commission

Date

3/15/11

MEMORANDUM OF AGREEMENT

Between the States of

NEW HAMPSHIRE and MAINE

Regarding the

THREE BRIDGES BETWEEN PORTSMOUTH, NH AND KITTERY, ME

This Memorandum of Agreement (the "Agreement") is entered into this ___ day of February, 2011, by and between the State of New Hampshire through its Department of Transportation (NHDOT) and the State of Maine through its Department of Transportation (MaineDOT) concerning the Memorial Bridge, the Sarah Mildred Long Bridge, and the I-95 High Level Bridge, all being over the Piscataqua River between Portsmouth, New Hampshire and Kittery, Maine.

BACKGROUND

New Hampshire and Maine are jointly responsible for three bridges described herein.

The I-95 High Level Bridge, also known as the Piscataqua River Bridge (designated by NHDOT as Portsmouth Bridge Number 247/084 and by MaineDOT as Bridge Number 6330) is a high level, steel through truss with shared truss spans being 1,334 feet in length. Completed in 1972, it is the primary economic connection between the states, carrying over 74,000 vehicles a day (2007 AADT), being about 75% of the traffic carried by all three bridges. It is obviously critical to the viability of Maine Turnpike specifically, and Interstate Commerce more generally, and based upon economic data, is considered by Maine transportation officials to be the most important bridge in Maine to the overall Maine economy.

The Sarah Mildred Long Bridge (designated by NHDOT as Portsmouth Bridge Number 251/108 and by MaineDOT as Bridge Number 3641) is a steel vertical lift bridge with deck truss, roadway, rail and approaches having a total span length of about 2,800 feet. Completed in 1940, it carries the US Route 1 Bypass and provides a critical link across the river. It includes a rail line used to transport heavy freight to the Portsmouth Naval Shipyard, which employs approximately 4,200 workers, serves as a critical back-up route in the event of a disruption of service on Interstate 95, services heavy truck transit to and from the commercial service stations along the US 1 Bypass, and has experienced structural deterioration reducing its life expectancy to five to seven years for vehicle traffic. It is currently posted for 20 tons, and therefore cannot service 100,000 pound vehicles using I-95.

The Memorial Bridge (designated by NHDOT as Portsmouth Bridge Number 247/084 and by Maine DOT as Bridge Number 2546) is a steel vertical lift bridge with through truss approaches having a total truss span length of about 900 feet. Completed in 1923, it carries US Route 1 and links the thriving business, social, religious and arts communities of Kittery and Portsmouth, is the only bicycle and pedestrian crossing of the Piscataqua River, supports community cohesion and quality of life. It is in extremely poor condition and is in near

immediate need of replacement or closure. It is currently posted for 3 tons, thus currently only servicing cars and pickup trucks.

In 2008, after bids received for a then-planned rehabilitation of the Memorial Bridge were about 30 % higher than anticipated, MaineDOT and NHDOT decided to conduct an extensive study to determine the long-term transportation needs of the host communities and the region and determine the best long-term solution for connecting the two states (the Connections Study). Commencing in March of 2009 and lasting through 2010, the Connections Study included a planning level study, detailed bridge inspections, and planning-level cost estimates for alternatives. The two state DOT's partnered fully on the Study, with MaineDOT taking the lead on the planning study portion and NHDOT taking the lead in the bridge inspections and cost estimates. The total consultant costs of the Study were about \$4.1 million (\$1.5 million for the planning portion and \$2.7 million for the detailed bridge inspections).

From the Connections Study, it was determined that the Memorial Bridge needed to be replaced, and that three bridges should be maintained to best serve the needs of all the existing modes of transportation including vehicles, railroad, bicycle, and pedestrian.

On October 4, 2010, the Governors of both states issued Executive orders to create a "Bi-State Bridge Funding Task Force" ("Task Force"). See NH Executive Order No. 2010-4 and ME Executive Order No. 04 FY11/12. In order to seek a "cooperative, comprehensive, sustainable, cost-effective and long-term solution that addresses all three bridges", the order established a six member Task Force (three from each state). Members included the Commissioners of Transportation from both states and the Chair of the Maine Turnpike Authority. Task Force duties included identifying funding mechanisms for the replacement, rehabilitation, repair, maintenance and operation of the three bridges, with a report deadline of December 15, 2010.

The Task Force met five times between October 5 and December 14, 2010. The Task Force quantified the 30 year capital, repair, rehabilitation, maintenance and operations needs for the three bridges at an estimated \$506 million.

To address these extraordinary needs, the Task Force recommended, among other things, to fund the \$90 million replacement of the Memorial Bridge to commence in 2011, fund a \$110 million rehabilitation of the Sarah Mildred Long Bridge, create a sinking fund to be funded equally by both states (with the MTA assisting with the Maine share) to fund the Capital Repair and Rehabilitation of the I-95 High Level Bridge and the Sarah Mildred Long Bridge, combine operator duties to significantly reduce operator costs, and to revitalize the Interstate Bridge Authority (IBA) with legislation in both states to oversee the capital repair and rehabilitation needs of all three bridges and to serve as the administrator of the sinking fund.

In light of the foregoing, the primary purposes of this Agreement are to establish the respective responsibilities of the two state DOTs regarding the design, contracting, and future construction work associated with the replacement of the Memorial Bridge and the rehabilitation of the Sarah Mildred Long Bridge and to memorialize and forward the Task Force recommendations.

AGREEMENT

Accordingly, NHDOT and MaineDOT hereby agree as follows.

Memorial Bridge Project

1. NHDOT will be the lead agency for the Memorial Bridge, devising and implementing a design-build process for its replacement including permitting, procurement, design, environmental mitigation, construction, inspection, and all related work as defined herein. NHDOT has issued a Request for Qualification for design-build teams and anticipates issuance of the Request for Proposals in the 3rd quarter of 2011. A Notice to Proceed is scheduled for the 4th quarter of calendar year 2011, and the new bridge is expected to be open to traffic in October 2013. The Memorial Bridge connection will be closed during construction.

2. NHDOT anticipates that it will undertake the following tasks and activities associated with design and contract development for the replacement of the Memorial Bridge:

- a. Environmental documentation, including section 4f/106 documentation and coordination, and NEPA classification. This work effort is anticipated to be completed by McFarland-Johnson, Inc. under contract with NHDOT and documented in scope of work dated October 15, 2010.
- b. Preliminary conceptual design of a superstructure replacement for use in coordination with cultural resource agencies. This work effort is anticipated to be completed by HDR under contract with NHDOT and documented in scope of work dated October 13, 2010.
- c. Development of design/build procurement documents and general technical support in the design/build selection process. Design/build is anticipated as a means to expedite the project. This work effort is anticipated to be completed by HDR under contract with NHDOT and documented in scope of work dated October 13, 2010.
- d. Development of a Quality Assurance Shop Inspection contract for Quality Assurance support services during construction. This is anticipated to be a low-bid contract to provide inspection services to assist NHDOT Construction staff.
- e. Other tasks or activities as might be needed to successfully develop and design this project.

3. The scope of the Memorial Bridge Project includes replacement of the fixed spans, moveable span, towers, abutments, the Scott Avenue Bridge, the Kittery approach, all engineering, and related work. More specifically, as set forth by NHDOT in its RFQ, the scope is as follows.

- Replacement of the Fixed spans, Movable span, Towers; and abutments,

- Increase the overall cross-section of the Memorial Bridge by four feet by providing for a 11-4 typical and a clear 6-foot sidewalk on each side as a minimum,
- Maintain or improve the current navigational clearances in both the closed and open position,
- Rehabilitation of the piers and existing fender system with the possibility of a new dolphin fendering system, if required,
- Replacement of the Scott Avenue Bridge including piers, abutment and superstructure,
- Replacement of the Kittery Approach Structure including piers, abutments and superstructure,
- Relocation of Utilities and services for the structure, and
- Civil, Highway and drainage improvements.

The Towers, Fixed spans, and Movable span for the Memorial Bridge are to be replaced with new structure, which is in compliance with the memorandum of understanding with the New Hampshire State Historic Preservation Office (SHPO) and Maine State Historic Preservation Office. This requires that the structure meet Secretary of Interior Standards for Rehabilitation of Historic Buildings with special attention to items 9 and 10. A major goal of the new structure will be to eliminate details, which are prone to corrosion. The new fixed spans and movable span may consist of a similar truss design, which is visually similar to the existing span or any alternative design that meets the project's objectives while meeting the Secretary of Interior Standards for Rehabilitation of Historic Buildings.

The Memorial Bridge should be able to remotely operate other bridges or be remotely operated from another bridge or location.

A new dolphin fendering system may be constructed for the main bridge river piers in accordance with current standards. Strengthening and rehabilitation of the existing piers may be required based upon the new superstructure loads.

A major goal for the design of the Memorial Bridge is reduced long-term operating and maintenance costs of the lift structure and components.

The Scott Avenue Bridge shall be replaced in accordance with the design established by the 2008 contract documents. This work will include a detour of traffic, demolition of the existing structure and construction of the new structure along the lines of the work proposed in 2008 contract documents. The work shall be coordinated with any planned outages for the movable span to minimize impacts to the travelling public. A standby generator with automatic transfer switch and bridge operator parking shall be located under the new Scott Avenue Bridge within the existing right of way.

The Kittery Approach Structure shall be replaced with a low maintenance structure. The new structure should employ longer spans than the existing bridge, thus eliminating several existing piers. This work shall include detour of traffic, demolition of the existing structure and

construction of the new structure. The work shall be coordinated with any planned outages for the movable span to minimize impacts to the traveling public.

The work includes utility coordination, civil work, drainage and all other work required to complete the Project.

4. NHDOT has established the estimated cost of the above described Memorial Bridge Project at \$90 Million, based upon the HDR estimate dated July 1, 2010.

5. The parties are relying on a federal TIGER II grant of \$20 million to partially fund the Memorial Bridge Project. This leaves a funding need of \$70 Million, or \$35 million for each state.

6. The parties have or will include such funding in their respective federal STIPs and have or will include such funding in their respective state capital plans.

7. At the time of award, if the cost of the successful design-build proposal, construction engineering, and all related work is such that Maine's 50% share exceeds \$38.5 million (being MaineDOT's estimated share of \$35 million plus 10%), then NHDOT agrees that NHDOT will not award the contract without the written concurrence of the Commissioner of MaineDOT. NHDOT understands and acknowledges that such concurrence will be contingent upon a review of the proposal, a policy level review, and fiscal arrangements agreeable to both parties.

8. After award, MaineDOT agrees to reimburse NHDOT for 50% of qualifying Memorial Bridge Project Costs, or \$38.5 million (being MaineDOT's estimated share of \$35 million plus 10%), whichever is less. Qualifying costs are costs directly related to and necessary for Project completion as reasonably determined by both parties.

9. MaineDOT also agrees to pay 50% of unanticipated construction costs in excess of its \$38.5 million cost cap that are determined to be directly and primarily caused by differing site conditions and/or uncontrollable and uninsurable events and to be unrelated to design, plans and specifications, construction engineering, inspection, inadequate design-build procedures or oversight, or any other causes that are within the reasonable control of NHDOT.

10. NHDOT agrees to pay for all other costs related to the Memorial Bridge Project in excess of MaineDOT's share as described in paragraphs 8 and 9 above including all extra work orders, change orders, escalators, claims, delay costs, litigation expenses, attorneys' fees, etc.

Sarah Mildred Long Bridge Project

11. MaineDOT will be the lead agency for the rehabilitation of the Sarah Mildred Long (SML) Bridge Project, using a traditional design-bid-build process including permitting, design, bidding, environmental mitigation, construction, inspection, and all related work as defined herein. Design work is to commence in the 3rd quarter of calendar year 2011, advertisement for bids is anticipated for spring of 2014 (after the new Memorial Bridge is open to traffic), and the project is expected to be substantially complete in 2017. The Sarah Mildred Long Bridge will be

closed to highway traffic during rehabilitation construction. It will remain open to periodic railroad traffic with careful advance coordination.

12. Maine DOT anticipates that it will undertake the following tasks and activities associated with the SML Bridge project:

- a. Environmental documentation, including section 4f/106 evaluation; documentation and coordination, NEPA classification and supporting preliminary conceptual design to determine the selected alternative of rehabilitation or replacement. This work effort is anticipated to be completed by MaineDOT.
- b. Further development and refinement of preliminary conceptual design for the selected alternative as necessary to determine and support the final design and/or procurement process.
- c. Development of final design plans, specifications, estimate, and contract documents.
- d. Other tasks or activities as might be needed to successfully develop and design this project.

13. The scope of work for the SML Bridge Project is to retain and completely rehabilitate the existing truss spans, replace the retractable railroad span, remove and replace all of the girder approach spans, reconstruct the approach highways within the project limits as shown in the TransSystems report of December 3, 2010, and improve intersections at the Market Street Extension ramp, at Bridge Street, and at Oak Street. This scope is further detailed in the TransSystems report of December 3, 2010.

14. MaineDOT has established a total budget of \$119 Million for the rehabilitation of the SML Bridge Project (based upon the \$110 million TransSystems 2010 estimate plus 2% annual inflation for four years). This consists of an estimated \$12 million (about 10%) for engineering after the date of this agreement (preliminary engineering and construction engineering) and \$107 million for construction.

15. The costs of engineering will be shared equally; an estimated \$ 6 million for each state, except that NHDOT will not be responsible for amounts in excess of \$6.6 million (being its share plus 10%) without written concurrence from NHDOT contingent upon review of the additional cost rationale.

16. The bid documents shall provide for separate bid subtotals for the following three components of the work: (1) all costs related to the girder approach spans, highway, rail and intersection work located in Maine (Maine Construction Costs), (2) all costs related to the girder approach spans, highway, rail and intersection work located in New Hampshire (New Hampshire Construction Costs), and (3) all cost related to the truss spans and the retractable railroad span (Gross Shared Construction Costs).

17. At the time of award, if the construction cost is in excess of \$117.7 million (being the total estimated share of construction - \$107 million - plus 10%), then MaineDOT agrees that MaineDOT will not award the contract without the written concurrence of the Commissioner of NHDOT. MaineDOT understands and acknowledges that such concurrence will be contingent upon a review of the proposal, a policy level review, and fiscal arrangements agreeable to both parties.
18. MaineDOT will pay 100% of the Maine Construction Costs and NHDOT will pay 100% of the New Hampshire Construction Costs.
19. The parties agree to seek Department of Defense (DOD) funding (due to the use of the rail by the Shipyard) and other project-specific, non-formula federal funding sources (TIGER, other designated funding, etc.) The placeholder amount in the Task Force report for such funding is \$30 million. The amount of any such funding will be subtracted from the Gross Shared Construction Costs, yielding the Net Shared Construction Costs.
20. NHDOT agrees to reimburse MaineDOT for 50% of qualifying Net Shared Construction Costs, provided that NHDOT will not be responsible for amounts in excess of 10% of such costs determined at the time of contract award, except as provided below. Qualifying costs are costs directly related to and necessary for Project completion as reasonably determined by both parties.
21. Due to the longer girder approach spans and more substantial intersection work, New Hampshire's share of the total construction costs for the SML Bridge project will be more than 50%. The parties agree to revisit cost shares and caps, and if necessary amend this Agreement, if more detailed information at the PS&E stage, or after bid, shows costs materially different from those contained herein.
22. NHDOT also agrees to pay 50% of unanticipated construction costs in excess of its share of the Net Shared Construction Costs as provided in paragraph 20 above that are determined to be directly and primarily caused by differing site conditions and/or uncontrollable and uninsurable events and to be unrelated to design, plans and specifications, construction engineering, inspection, or any other causes that are within the reasonable control of MaineDOT.
23. MaineDOT agrees to pay for all other costs related to the SML Bridge Project in excess of NHDOT's share as described in paragraphs 20 and 22 above including all extra work orders, change orders, escalators, claims, delay costs, litigation expenses, attorneys' fees, etc.
24. The parties will include such funding in their respective state capital plans, and agree to seek all required funding and approvals for the same. The Maine funding share includes, in accordance with the Task Force report, at least \$28 million to be derived from a sale of the southerly 1.9 miles portion of I-95 to the Maine Turnpike Authority.

General Project Provisions

25. The parties agree to jointly address any areas of concern by mutual concurrence, if reasonably possible.

26. NHDOT and MaineDOT agree that utility relocation cost reimbursement factors must be addressed and minimized to the greatest extent practicable in any of the design contracts for the bridges, that utility relocation reimbursement costs may be subject to different eligibility requirements under the laws of each state and are, therefore, not necessarily subject to the equal sharing provisions of this agreement, and that such issues may be addressed in a later agreement if necessary.

Task Force Recommendations

27. Except as otherwise provided herein, the parties agree to submit legislation, assemble capital plans, make budget requests, and take all other reasonable actions to implement the essential recommendations contained in the Bi-State Bridge Funding Task Force Final Report, as long as the basic assumptions in that report remain accurate. Such assumptions include scopes of work for the Memorial Bridge and Sarah Mildred Long projects (replacement and rehabilitation, respectively), federal and state funding, and the financial contribution of turnpike authorities.

28. The parties agree to seek authorization to establish and fund the sinking fund at a rate of \$1.7 million per year for each state. MaineDOT will be seeking a funding share from the Maine Turnpike Authority in at least the amount contained in the Task Force Report.

29. The parties agree to submit legislation regarding the Interstate Bridge Authority to expand its jurisdiction to include oversight of the capital repair and rehabilitation of all three bridges, and administration of the sinking fund to be used for the I-95 High Level Bridge and the Sarah Mildred Long Bridge. The maintenance and operation of the bridges, including inspections, shall remain the equally shared responsibility of the state DOT's.

I-95 High Level Bridge Work

30. The Task Force report sets forth substantial anticipated future capital repair and rehabilitation work for the I-95 High Level Bridge including paint, deck and wearing surface, and below deck steel rehabilitation. The Interstate Bridge Authority will be responsible for reviewing inspections and determining the capital repair and rehabilitation needs of the I-95 High Level Bridge.

31. Each state agrees to be 100% responsible for the cost of work to the approach spans located in each state.

32. The parties agree to equally share the cost of work relating to the shared truss spans (being 1,334 feet in length) that is not funded by the sinking fund administered by the Interstate Bridge Authority.

General Provisions

33. This Agreement sets forth a cooperative, long-term understanding between the state DOT's for these three bridges. Like all such long-term agreements between states, the parties cannot bind future Governors or Legislatures, so the policy and financial obligations set forth in

this Agreement obviously are contingent upon ongoing policy support and subject to legislative appropriation.

34. A basic purpose of this Agreement is to determine respective responsibilities and define and limit the overall financial obligations of both parties with respect to the three bridges. If the basic cost financial assumptions contained in this Agreement prove to be materially invalid (examples- fundamental project scope changes, extraordinary material cost inflation, or policy direction that makes assumed funding sources no longer viable), then the parties will renegotiate in good faith to redefine the obligations in mutually agreeable manner.

35. This agreement and all the obligations of the parties hereunder, shall become effective on the date of approval of this Agreement.

WITNESS:

By: [Signature]

STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION

By: [Signature]
COMMISSIONER

WITNESS:

By: [Signature]

STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

By: [Signature]
COMMISSIONER

This Agreement has been reviewed and is approved as to form and execution.

Date

Office of the Attorney General
State of New Hampshire

This is to certify that the GOVERNOR and EXECUTIVE COUNCIL of the State of New Hampshire approved this Agreement as Item # _____ on _____, 2011.

Date

Secretary of State
State of New Hampshire



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



WILLIAM CASS, P.E.
ASSISTANT COMMISSIONER

Bureau of Turnpikes
 August 5, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 124:15-I, authorize the Department of Transportation, Bureau of Turnpikes to establish a consultant to contract for the replacement of the back office system that manages the EZPass program effective from the date of Fiscal Committee approval through December 31, 2015.

EXPLANATION

The Department requests authorization to hire a consultant for the replacement of the Back Office System (BOS) development. The back-office system manages the E-Zpass transactions, reporting, billing and customer service for the Turnpike System.

- Proposed New System – The proposed new system will include all of the features included in the old system. In addition to the deployment of the enhanced BOS, the second portion of the contract includes operation and maintenance of the NH E-ZPass BOS, including a locally established and operated CSC. The scope of services includes but is not limited to account maintenance, invoice and violation processing, reciprocity file management, website maintenance, customer service support and operation of three Walk-in-Centers.
- The initial portion of the contract is estimated to be 14 months and involves the design, development, testing and installation of an enhanced BOS system and CSC with the following project goals as described in the RFP.
 - Goal 1 – Procure a hosted back office platform which is sustainable and scalable for a minimum of 14 years (the maximum life of this contract).
 - Goal 2 – Transition from the existing legacy system with minimal impact to operations and impacts to patrons.
 - Goal 3 – Provide call center and back office operations supported locally.
 - Goal 4 – Ability for greater adaptation to meet MAP-21 mandates.
 - Goal 5 – Incorporate streamlined processes for capturing customer information, tracking violations through their lifecycle and license plate updates.

- Goal 6 – Ability to identify and to pursue multiple plate types with special characters.
- Goal 7 – Ability to pursue out-of-state violators with the potential to utilize registration holds with the corresponding DMVs.
- Goal 8 – Redesign the www.ezpassnh.com website to be more customer friendly and interactive.
- Overall the new system is highly configurable and adaptable, which will reduce the potential for change orders over the course of the contract term. This will allow the Bureau of Turnpikes to be more adaptive to future changes such as new interfaces and to support national tolling interoperability, other state DMV's for address lookup, violation processing reciprocity and DMV Holds. The system provides for strong financial controls allowing for the tracking, reconciliation and reporting of the financial history of transactions, particularly unpaid transactions. It will also provide Bureau of Turnpikes' Finance Section with a general ledger as well as the ability to interface with the Department's Financial System (NHFIRST) in an automated fashion. The system is user friendly for various users of the BOS system to address customer service matters, financials, reconciliation of files, alerts to the customer service representatives, as well as includes ad-hoc reporting queries utilizing user friendly 'drag and drop' type functions. Additional benefits include the ability for full system access to be achieved via a single interface using a web browser.
- Reason Must Occur Now - The enhanced BOS system will be designed, developed, tested and installed by September 30, 2016. The data migration of the system must be complete by the current contract expiration of September 30, 2016. This short time frame requires the vendor to begin working on the project as soon as the contract is approved. Any delay will result in additional payments to the current vendor.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?
Yes, in the 2016-2017 Budget, the Department requested funding for this consultant, but the funding did not exist in the FY 2015 budget.
2. If this request is **retroactive** what is the significance and importance of the action being effective from an earlier date?
It is not retroactive. The consultant contract has not been approved.
3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)
The Back Office System (BOS) is a previously funded and ongoing program, but the new system design will enhance it to be sustainable and scalable for a minimum of 14 years, provide

a locally-supported call center and back office operations, streamline processes for capturing customer information and out of state violators, and redesign the website to be more customer friendly and interactive.

The current BOS contract expires on September 30, 2016. The final contract renewal option was approved on Aug 24, 2011, Item 81. The approved documents are attached.

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

The funding for the existing BOS contract was included in the FY 2014-2015 enacted Budget. The funding for the continuation of the program and the conversion and enhancement of the BOS was included in the FY16 Agency Budget request. It was not included in the FY2015 enacted Budget because the conversion was not scheduled to occur until FY2016.

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

The program was included in part in the FY 2016-2017 Operating Budget proposal.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

An RFP for a consultant contract was completed as the current contract for the BOS expires on September 30, 2016 and is being prepared for G&C approval.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

The enhanced BOS system will be designed, developed, tested and installed by September 30, 2016. The data migration of the system must be complete by the current contract expiration of September 30, 2016 with an estimated time frame for completion of 14 months. This short time frame requires the vendor to begin working on the project as soon as the contract is approved. Any delay will result in additional payments to the current vendor.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

Consultant:

1. List of personnel involved: Consultant
2. Nature, Need, and Duration: The Consultant contract is for the replacement of the Back Office system. The back-office system manages the E-Zpass transactions, reporting, billing and customer service for the Turnpike System. The term of the contract is for five years with the option of three 3-year extensions.
3. Relationship to existing agency programs: Continuation of current system.

4. Has a similar program been requested of the legislature and denied? No, a similar program has not been requested and denied.
5. Why wasn't funding included in the agency's budget request? Funding was included in the FY16 Agency Budget request.
6. Can portions of the grant fund be utilized? Not applicable, these are not grant funds.
7. Estimate the funds required to continue this position(s): There are no positions associated with this request.

It is respectfully requested that this resolution be approved.

Sincerely,



William Cass, P.E.
Assistant Commissioner

Attachment



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



JEFF BRILLHART, P.E.
ASSISTANT COMMISSIONER

August 11, 2011
Bureau of Turnpikes

His Excellency, Governor John H. Lynch
And the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Authorize the Department of Transportation to exercise a final contract renewal option with ACS State and Local Solutions, Inc (ACS), Germantown, MD, 20876, Vendor Code #81705, in an amount not to exceed \$28,075,000 for a professional services agreement for operation of New Hampshire E-ZPass Customer Service Center from October 1, 2011 to September 30, 2016. The original contract was approved by Governor and Council on September 22, 2004, late item letter F. 100% Turnpike Funds.

Funding is available for FY 2012 and 2013 in account, Turnpikes Division, as follows with the authority to adjust encumbrances for the State fiscal year through the Budget Office if needed and justified. Funding for FY 2014 to 2017 is contingent upon availability and continued appropriation.

	<u>FY 2012</u>	<u>FY2013</u>
04-96-96-961017-7050	9 months	12 months
Toll Collection		
102-500731 Contract for program services	\$3,850,000	\$6,875,000
	<u>FY2014</u>	<u>FY2015</u>
04-96-96-961017-7050	12 months	12 months
Toll Collection		
102-500731 Contract for program services	\$5,100,000	\$5,300,000
	<u>FY2016</u>	<u>FY2017</u>
04-96-96-961017-7050	12 months	3 months
Toll Collection		
102-500731 Contract for program services	\$5,500,000	\$1,450,000

EXPLANATION

RSA 237:16-b as amended in 2001 authorized the Department to participate in a regional Electronic Toll Collection system (E-ZPass). Governor and Council on August 22, 2001 authorized the Department to enter into an Interagency Group (IAG) agreement, Item # 317, to address electronic toll collection issues in a manner that provides a seamless toll system for motorists and commercial operators along the eastern seaboard of the United States.

On September 22, 2004, Governor and Council approved the original contract with ACS, Late Item F, for the operation of the NH E-ZPass Customer Service Center to be effective for a 3-year period through October 1, 2007, including provisions for three 3-year extensions through September 30, 2016.

On October 3, 2007, Governor and Council approved contract amendment #1 with ACS, Item #84, for the first three-year extension in the amount of \$14,350,000, to be effective through September 30, 2010.

On May 20, 2009, Governor and Council approved contract amendment # 2 to increase the contract amount (\$750,000) with ACS, Item #120, for the operation of the NH E-ZPass Customer Service Center to be effective through September 30, 2010.

On June 9, 2010, Governor and Council approved contract amendment # 3 to increase the contract amount (\$400,000) with ACS, Item #194, for the operation of the NH E-ZPass Customer Service Center to be effective through September 30, 2010.

On September 22, 2010, Governor and Council approved contract amendment # 4 with ACS, Item # 237, for a one year extension in the amount of \$5,975,000 to be effective through September 30, 2011.

The Department presently has provisions in the contract to extend ACS's contract for a two-year extension followed by another three-year extension, for a total of five years. The Department is requesting that a five-year extension be approved. The five-year extension will provide the Department the following:

- ACS agrees to restructure the price of the contract, resulting in annual contract savings of approximately \$1,300,000.
- ACS will provide a software upgrade to "Vector 4" and perform the necessary data migration at no additional cost to the Department. Some of the key functionalities of the software upgrade for customer service representatives and Department staff working with E-ZPass customers are as follows:
 - Web based User Interface.
 - Dashboard view that will allow the customer service representative to see several screens at once instead of the current inefficient mechanism of going through one screen at a time to resolve issues posed by customers.

- Improved workflow capabilities with the implementation of a paperless office that will allow on-line viewing by customer service representatives.
- Ability for customer service representatives to view embedded license plate images, invoices, and customer correspondence, and easily share this information with the customer.
- Added customer security (encryption feature). Encryption is used to protect electronic data being transferred from one network to another.
- Enhanced reporting capabilities using Crystal Reporting tools and Ad hoc database, as well as automated report scheduling and distribution.
- Fully Payment Card Industry (PCI) Compliant.
- Generally Accepted Accounting Principles (GAAP) compliant to ensure financial integrity and be audit-ready.
- All existing programming enhancements such as plate types, DMV Hold, EZPass-on-the-Go, and transponder leasing will be maintained with the upgraded software.

The additional software upgrades offer the following enhancements to further improve customer convenience:

- Enhanced self service functions on the website.
- Ability to access account history and statement/invoice data, along with improved capability to download information in multiple formats for easy printing.
- Increased payment options (pre-payment and post-payment options, cash replenishment cards).
- Customizable customer messages (email, text, voice, and or mail).
- Website improvements for easy navigation.
- Easy access to view vehicle and device data for large commercial accounts (i.e. UPS, trucking, etc).

ACS will continue to provide the following key services as they have over the past seven years:

- Opening and closing NH E-ZPass accounts.
- Fund management on behalf of the State.
- Automatic replenishments to accounts backed by credit cards.
- Processing of E-ZPass transactions in-state and by NH accounts at out-of-state toll agencies (LAG financial reconciliation).
- Processing violation documents in accordance with RSA 236:31.
- Operation of Walk in Centers in Portsmouth, Nashua, and Hooksett.
- Account management functions.
- Transponder management.
- Customer Call Center functions.
- Website hosting and management.
- SSAE 16 Audit.
- Processing all incoming mail and payments.
- Computer programming enhancements.

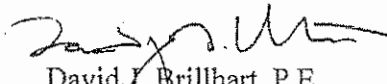
ACS has consistently demonstrated responsiveness, attention to detail, cooperation, and good communication in working with the Department, other State Agencies and vendors during their first seven years as NH's Customer Service provider. During the recent one-year contract extension, ACS has made improvements to NH's E-ZPass system to accommodate E-ZPass-On-The-Go and the transponder leasing program. This proposed contract extension also includes the cost for future E-ZPass initiatives, which include the Transponder Swap Program in FY 13 at an approximate cost of \$1.9M, and a possible Frequent User Discount Plan at an approximate cost of \$50,000.

Through all the years of service, ACS's cost for its core operations involving the Customer Service Center, violation processing, and Walk-in-Centers have remained within the contract allowances with increases limited to annual Cost of Living Adjustments (COLA) as specified in the contract, and additional work and overages negotiated and approved. It is the Department's recommendation to extend this contract with ACS given their cooperation, professionalism, experience, expertise with electronic tolling, and willingness to alter the contract pricing structure, which results in significant savings.

The contract has been approved by the Attorney General as to form and execution and the Department has verified that the necessary funds are available as noted above. Copies of the fully executed contract are on file at the Secretary of State's Office and the Department of Administrative Services' Office; and subsequent to Governor and Council approval will be on file at the Department of Transportation.

It is respectfully requested that this resolution be approved.

Sincerely,



David J. Brillhart, P.E.
Assistant Commissioner

cc: C. Waszczuk
J. Corcoran



STATE OF NEW HAMPSHIRE
DEPARTMENT OF INFORMATION TECHNOLOGY
27 Hazen Dr., Concord, NH 03301
Fax: 603-271-1516 TDD Access: 1-800-735-2964
www.nh.gov/doit

S. William Rogers
Commissioner

August 11, 2011

Jeff Brillhart P.E., Assistant Commissioner
State of New Hampshire
Department of Transportation
John O. Morton Bldg., 7 Hazen Drive
Concord, NH 03302-0483

Dear Assistant Commissioner Brillhart:

This letter represents formal notification that the Department of Information Technology (DoIT) has approved your agency's request to enter into a contract amendment with ACS State and Local Solutions, Inc. of 1200 K Street NW, Washington, DC, 20005 as described below and referenced as DoIT number 2004-017E as described below.

This is a request to enter into a contract amendment for the professional services agreement for operation of New Hampshire's E-Z Pass Customer Service Centers from October 1, 2011 to September 30, 2016 upon approval by Governor and Executive Council. The amendment includes a software upgrade to Vector 4 to enhance system security, usability and operability as well as an annual cost savings of approximately \$1,300,000. The contract amendment increases the contract value by \$28,075,000 from \$37,925,000 to a not to exceed amount of \$66,000,000.

This project is set forth in the Department of Transportation Strategic Information Technology Plan dated February 4, 2008 Project Name: EZ Pass Toll Collection, active initiative # 7.

A copy of this letter should accompany the submission to the Governor and Executive Council for approval.

Sincerely,


S. William Rogers

SWR/dcp
DoIT 2004-017E
RID 11611

cc: John Corcoran, PE, Department of Transportation, Senior Engineer, Bureau of Turnpikes



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
08/03/2011

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MARSH USA, INC. 1166 AVENUE OF THE AMERICAS NEW YORK, NY 10036 Attn: ACS.CertRequest@Marsh.com 303099-GOVT-CAS-11-12	CONTACT NAME: _____	
	PHONE (A/C, No, Ext): _____	FAX (A/C, No): _____
E-MAIL ADDRESS: _____		
INSURER(S) AFFORDING COVERAGE		NAIC #
INSURER A: ACE American Insurance Company		22667
INSURER B: N/A		N/A
INSURER C: Indemnity Ins Co Of North America		43575
INSURER D:		
INSURER E:		
INSURER F:		

COVERAGES **CERTIFICATE NUMBER:** NYC-005796081-15 **REVISION NUMBER:** 6

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	GENERAL LIABILITY		HDO G25521900	01/01/2011	01/01/2012	EACH OCCURRENCE \$ 2,000,000
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY		41PR201807 (PUERTO RICO)	01/01/2011	01/01/2012	DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 2,000,000
C	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR		41US202281 (US VIRGIN ISLANDS)	01/01/2011	01/01/2012	MED EXP (Any one person) \$ N/A
C			705-00187 (GUAM)	01/01/2011	01/01/2012	PERSONAL & ADV INJURY \$ 2,000,000
C			705-00188 (SAIPAN)	01/01/2011	01/01/2012	GENERAL AGGREGATE \$ 10,000,000
GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						PRODUCTS - COMP/OP AGG \$ INCLUDED \$
AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY		WLR C46470989 (AOS)	01/01/2011	01/01/2012	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTH-ER
A	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	Y/N	WLR C46470977 (CA, MA)	01/01/2011	01/01/2012	E.L. EACH ACCIDENT \$ 1,000,000
A	If yes, describe under DESCRIPTION OF OPERATIONS below	N/A	SCF C46470990 (WI)	01/01/2011	01/01/2012	E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
C	WORKERS COMPENSATION		601 N 00367 (GUAM)	01/01/2011	01/01/2012	SEE ABOVE
C	CONTINUES		601 N 00366 (SAIPAN)	01/01/2011	01/01/2012	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Re: NHDOT RFP #2004-017 Customer Service Center. ACS State and Local Solutions, Inc.
 The State of New Hampshire, Department of Transportation is an Additional Insured under the above General Liability with respect to liability arising from negligent acts or omissions of Affiliated Computer Services, Inc. (ACS).

CERTIFICATE HOLDER The State of New Hampshire Department of Transportation Attn: John O. Morton Building 7 Hazen Drive O. Box 483 Concord, NH 03302-0483	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE of Marsh USA Inc. Henry Swayne <i>Henry Swayne</i>
---	---

CERTIFICATE OF INSURANCE



Factory Mutual Insurance Company
301 Merritt Seven
P.O. Box 5414 Norwalk, CT 06856-5414 USA
T: 203 849 0010 F: 203 845 7675 www.fmglobal.com

We hereby certify that insurance coverage is now in force with our Company as outlined below. This Certificate does not amend, extend or alter the coverage afforded by the Policy.

TITLE OF INSURED:

Affiliated Computer Services, Inc. and any subsidiary, and Affiliated Computer Services, Inc.'s interest in any partnership or joint venture in which Affiliated Computer Services, Inc. has management control or ownership as now constituted or hereafter acquired.

Policy No: LE615

Effective: 31-March-2011

Account No: 1-39406

Expires: 31-March-2012

Description & Location of Property Covered:

Personal Property
Miscellaneous Unnamed Locations
2828 N. Haskell Ave.
Dallas, TX 75204

Coverage in Force: (Subject to limits of liability, deductibles and all conditions in the Policy)

Insurance Provided:	Peril:	Amount of Insurance:
Property Damage	All Risks of Physical Loss or Damage	USD10,000,000
Includes Boiler & Machinery	on a Repair or Replacement Basis	PD & BI Combined
Valuable Papers		
Business Interruption		
Earthmovement		
Flood		

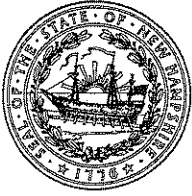
ADDITIONAL INTERESTS:

Additional Interests under the Policy, consisting of, but not limited to mortgagees, lenders loss payees, loss payees, and additional named insured's, are covered in accordance with Certificates of Insurance issued to such interests and on file with this Company. Loss, if any, shall be payable to such additional interests, as their interests may appear, and in accordance with loss payment provisions of the Policy.

Type: Evidence of Coverage with respects to loss or damage to owned and/or rented equipment; tools brought onto and/or used on any property by the Service Contractor; property covered under Master Lease Agreements and/or servicing agreements, in accordance with Additional Interests Clause stated above.

Certificate: 39406-005
Effective Date: 31-March-2011

By:  31-Mar-11
Authorized Signature Date



Nicholas A. Toumpas
Commissioner

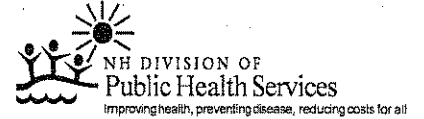
Marcella Jordan Bobinsky
Acting Director

STATE OF NEW HAMPSHIRE

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6527
603-271-4612 1-800-852-3345 Ext. 4612
Fax: 603-271-4827 TDD Access: 1-800-735-2964

FIS 15 184



August 6, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Population and Community Health Services, Maternal & Child Health Section, to accept and expend federal funds in the amount of \$28,093.00 from the Department of Health and Human Services, Centers for Disease Control and Prevention to fund the Pregnancy Risk Assessment Monitoring System (PRAMS) effective upon Governor and Council approval for the period **retroactive** to July 1, 2015, through December 31, 2015, and further authorize the funds to be allocated as follows. 100% Federal Funds.
2. Pursuant to the provisions of NH RSA 124:15, Positions Restricted, and subject to the approval of item 1 above, retroactively authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Population and Community Health Services, Maternal & Child Health Section, to establish one part time position utilizing funds from the US Centers for Disease Control and Prevention, Pregnancy Risk Assessment Monitoring System Cooperative Agreement effective upon Fiscal Committee and Governor and Council approval for the period **retroactive to** July 1, 2015 to December 31, 2015. Grants funds awarded for periods after SFY 2015 have been requested in the operating budgets for SFY 2016 and SFY 2017.

Personal Serv - temporary (Class 050) position – Program Specialist III, Labor Grade 23

05-95-90-902010-08360000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SERVICES, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF POPULATION HEALTH AND COMMUNITY SERVICES, PREGNANCY RISK ASSESSMENT MONITORING SYSTEM

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-403948	Federal Funds	\$226,548.00	\$28,093.00	\$254,641.00
000-000000	General Funds	\$0.00	\$0.00	\$0.00
Total Revenue		\$226,548.00	\$28,093.00	\$254,641.00
010-500100	Personal Serv - Perm	\$62,714.00	\$0.00	\$62,714.00
020-500200	Current Expense	\$63,773.00	\$0.00	\$63,773.00
030-500330	Equipment	\$129.00	\$2,392.00	\$2,521.00
039-500188	Telecommunications	\$853.00	\$0.00	\$853.00
041-500801	Audit Fund Set Aside	\$209.00	\$29.00	\$238.00
042-500620	Transfer to COLA	\$4,002.00	\$0.00	\$4,002.00
050-500109	Personal Serv-Temp	\$0.00	\$23,848.00	\$23,848.00
060-500602	Benefits	\$68,740.00	\$1,824.00	\$70,564.00
066-500545	Employee Training	\$1,500.00	\$0.00	\$1,500.00
070-500704	In State Travel	\$2,922.00	\$0.00	\$2,922.00
080-500710	Out of State Travel	\$21,706.00	\$0.00	\$21,706.00
Total Expenses		\$226,548.00	\$28,093.00	\$254,641.00

EXPLANATION

This request is **retroactive** to July 1, 2015 due to the Continuing Resolution in effect for SFY 2016. The Personal Serv-Temporary position was not included in the SFY 2015 budget as of July 1, 2014. However, a Department Transfer request to the Fiscal Committee and Governor and Council was approved April 22, 2015, Item # 8. Grants funds awarded for periods after SFY 2015, have been requested in the operating budgets for SFY 2016-SFY 2017.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?

Yes

2. If this request is **retroactive** what is the significance and importance of the action being effective from an earlier date?

This item will fund a currently filled Part Time position hired based on 2015 actions.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)

This funding was added in a Department Transfer request to Fiscal Committee on April 3, 2015, Item #FIS 15-063 and Governor and Council on April 22, 2015, Item #8; effective through June 30, 2015.

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

Funding for the PRAMS grant was included in the 2014-2015 Budget. However, the funding for the Part Time position was not as it was received during SFY 2015.

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

Yes, this program is funded in total in the FY 2016-2017 Operating Budget request.

6. Does this program include either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

This program only funds this one currently filled part time position.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

If this item is not approved, we would need to lay off one part time staff. PRAMS would cease to exist. The State would not be able to collect and provide information on vital topics concerning the health and well-being of pregnant and parenting women and their children.

The funds are to be budgeted as follows:

- Funds in Equipment (Class 030) are included to purchase a flatbed scanner to create promotional materials to be used in mailings as well as outreach efforts to program participants and stakeholders. Analysis reports, fact sheets and other materials will be produced for health care professionals in the state.
- Funds in Audit Fund Set Aside (Class 041) are included per state requirements.
- Funds in Personal Serv-Temp (Class 050) are for a temporary position for the sole purpose of the PRAMS grant and will not be added to the departments total authorized position counts.
- Funds in Benefits (Class 060) are to support the required federal benefits, not to include Health Insurance cost.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

- 1) List of personnel involved:

Currently filled Part-time Program Specialist III, LG 23, Position # TMPPT1882

- 2) Nature, need and duration:

Part-time Program Specialist III, LG 23, Position # TMPPT1882

This Program Specialist III (data manager) will analyze and interpret statewide data, policies and procedure for use in planning, developing and implementing the Maternal and Child Health (MCH) Pregnancy Risk Assessment Monitoring System (PRAMS) to ensure the highest standards of this survey to benefit pregnant women and infants.

Position is from the date of hire until the project completion.

- 3) Relationship to existing agency programs:

Improving the health of mothers and infants is a priority for New Hampshire's Maternal and Child Health program. Pregnancy Risk Assessment Monitoring System activities are directly related to Maternal & Child Health Section priorities, enabling the Section to collect data on maternal attitudes and experiences before, during, and shortly after pregnancy. These data will be used to plan data-based prevention activities designed to impact poor birth and other health outcomes among pregnant women and infants, specifically among sub-populations at greatest risk; inform the Title V needs assessment process to help plan for and allocate Title V Block Grant funds as well as apply for other grant funds; and support practical training of the Section.

- 4) Has similar program been requested of the Legislature and denied?

No

- 5) Why wasn't funding included in the agency's budget request?

This award was not received in time to include in the SFY 2015 budget. Due to the Continuing Resolution in effect for SFY 2016, dollars budgeted in SFY 2016 are not available.

- 6) Can portions of the grant funds be utilized for other purposes?

Federal funds allocated to the State cannot be used for other purposes.

- 7) Estimate the funds required to continue this position:

Position (Salary & Benefits)	SFY 2016
Program Specialist III	\$49,551.

This position is currently filled.

The Honorable Neal M. Kurk, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
August 6, 2015
Page 5 of 5

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

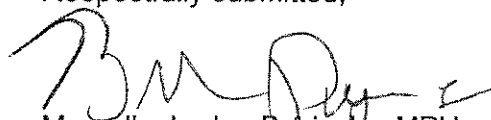
These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

Area served: Statewide

Source of funds: These funds are 100% Federal from the Department of Health and Human Services, Centers for Disease Control and Prevention to fund the Pregnancy Risk Assessment Monitoring System. Attached is the Notice of Grant Award and award history. Notice of these funds was received on July 25, 2014. These funds had been budgeted for SFY16 and were anticipated to be available. However, due to the Continuing Resolution these funds are not available on July 1, 2015.

In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella Jordan Bobinsky, MPH
Acting Director

Approved by:



 Nicholas A. Toumpas
Commissioner

MJB/cl

Award History
Pregnancy Risk Assessment Monitoirng System (PRAMS)
SFY 2016

Award Ending 9/29/15 5U01DP003156-04 <small>award 9/30/14-9/29/15)</small>	164,584
Anticipated Award Ending 9/29/2016 <small>(9/30/15-9/29/16 \$164,584/12*9</small>	123,438
Expenses Through 6/30/15	<u>(67,590)</u>
Available Award Balance 7/1/15	220,432
SFY 16 Appropriations	(58,849)
Anticipated OYR - brought forward on 6/30/15	-
Available To Accept	<u>161,583</u>
Amount Requested this Action	<u>28,093</u>

*** SFY 16 Appropriations:**

	Current	Total	This Action	Revised Budget
010-090-08360000 SFY 2015CR	58,849	58,849	28,093	86,942
Other federal	<u>167,698</u>	<u>167,698</u>	-	<u>167,698</u>
Total	226,547	226,547	28,093	254,640



Notice of Award

RESEARCH PROJECT COOPERATIVE AGREEMENT Issue Date: 07/25/2014
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR CHRONIC DISEASE PREV AND HEALTH PROMO



Grant Number: 5U01DP003156-04
FAIN: U01DP003156

Principal Investigator(s):
Patricia Valliere

Project Title: Pregnancy Risk Assessment Monitoring System

Kiely, Marie
SSDI Coordinator
29 Hazen Drive
Concord, NH 033016504

Budget Period: 09/30/2014 – 09/29/2015
Project Period: 09/30/2011 – 09/29/2016

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$164,584 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST OFFICE OF THE GOVERNOR in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 31 USC 6305 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Tracey M Sims
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 5U01DP003156-04**Award Calculation (U.S. Dollars)**

Salaries and Wages	\$87,152
Fringe Benefits	\$28,777
Personnel Costs (Subtotal)	\$115,929
Supplies	\$24,589
Travel Costs	\$1,200
Other Costs	\$22,866

Federal Direct Costs	\$164,584
Approved Budget	\$164,584
Federal Share	\$164,584
TOTAL FEDERAL AWARD AMOUNT	\$164,584

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$164,584

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

05 \$164,584

Fiscal Information:

CFDA Number: 93.946
EIN: 1026000618A1
Document Number: UDP003156A

IC	CAN	2014	2015
DP	939ZMVQ	\$164,584	\$164,584

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
4	\$164,584	\$164,584
5	\$164,584	\$164,584

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: N / OC: 4141 / Processed: ERAAPPS 07/25/2014

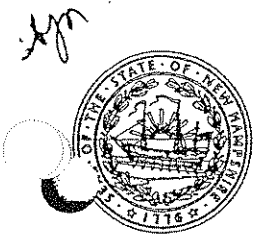
SECTION II – PAYMENT/HOTLINE INFORMATION – 5U01DP003156-04

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 5U01DP003156-04

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:



Nicholas A. Toumpas
Commissioner

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF THE COMMISSIONER

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 1-800-852-3345 Ext. 9200
Fax: 603-271-4912 TDD Access: 1-800-735-2964

FIS 15 063

8

March 3, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

[Signature]
Approved by Fiscal Committee 4/3/15 Date

REQUESTED ACTION

Pursuant to the provisions of Chapter 3:7, II, Laws of 2014 and RSA 14:30-a VI, authorize the Department of Health and Human Services to transfer general funds in the amount of \$4,228,910, increase related Federal revenues in the amount of \$1,113,016 and increase related Other revenues in the amount of \$371,939 in the Department of Health and Human Services. The transfers and adjustments are summarized below and detailed in the attached worksheets, effective upon approval of the Fiscal Committee and the Governor and Executive Council through June 30, 2015.

<u>From: (Various Accounts):</u>	<u>Account</u>	<u>Amount</u>
Division for Children, Youth and Families	Various	(\$1,473,222)
Bureau of Homeless and Housing Services	Various	\$0
Division of Child Support Services	Various	(\$44,153)
Division of Family Assistance	Various	(\$37,503)
Division of Client Services	Various	(\$103,773)
Office of Medicaid & Business Policy	Various	(\$87,500)
Bureau of Elderly and Adult Services	Various	(\$357,692)
Division of Community Based Services	Various	(\$326,400)
Division of Public Health Services	Various	(\$300,265)
Glenclyff Home for the Elderly	Various	(\$159,339)
Bureau of Behavioral Health	Various	(\$271,520)
Bureau of Developmental Services	Various	(\$73,710)
New Hampshire Hospital	Various	(\$610,890)
Office of the Commissioner	Various	(\$178,455)
Office of Improvement and Integrity	Various	(\$4,398)
Office of Operations Support and Program Integrity	Various	(\$10,090)
Office of Administration	Various	(\$148,000)
Office of Information Systems	Various	(\$42,000)
Total Department of Health and Human Services		<u>(\$4,228,910)</u>

<u>To: (Various Accounts):</u>	<u>Account</u>	<u>Amount</u>
Division for Children, Youth and Families	Various	\$639,392
Bureau of Homeless and Housing Services	Various	\$0
Division of Child Support Services	Various	\$9,503
Division of Family Assistance	Various	\$37,503
Division of Client Services	Various	\$78,773
Office of Medicaid & Business Policy	Various	\$2,338,328
Bureau of Elderly and Adult Services	Various	\$40,350
Division of Community Based Services	Various	\$0
Division of Public Health Services	Various	\$65,118
Glenclyff Home for the Elderly	Various	\$159,339
Bureau of Behavioral Health	Various	\$42,700
Bureau of Developmental Services	Various	\$12,642
New Hampshire Hospital	Various	\$626,337
Office of the Commissioner	Various	\$44,310
Office of Improvement and Integrity	Various	\$4,399
Office of Operations Support and Program Integrity	Various	\$19,616
Office of Administration	Various	\$7,400
Office of Information Systems	Various	\$103,200
Total Department of Health and Human Services		<u>\$4,228,910</u>

EXPLANATION

These transfers reflect adjustments to various Salary class lines to address projected expenses in the Department. Expenditure patterns for the first six months of SFY 2015 have been analyzed and taken into consideration when projecting expenditures for the balance of the year. Based upon this review, a number of accounts were found to require additional funds, while other accounts were experiencing less than originally anticipated expenditures. This transfer will provide for the continued efficient operation of the Department.

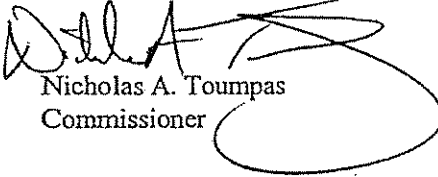
The following is the information specifically required when transfers are requested, in accordance with the Budget Officer's instructional memorandum dated April 17, 1985, to support the above requested actions:

- A. Justification:
See the attached appendix for justification of the availability of funds and required additional funds.
- B. Does this transfer involve continuing programs or one-time projects?
This transfer involves continuing programs.
- C. Is this transfer required to maintain existing program levels or will it increase the program level? This transfer is required to maintain existing program levels.
- D. Cite any requirements which make this program mandatory.

- The programs of the Department are mandated by various state and federal laws.
- E. Identify the source of funds on all accounts listed on this transfer.
See the attached worksheet for the source of funds for all accounts.
 - F. Will there be any effect on revenue if this transfer is not approved?
The effect on revenue, including Federal participation, as a result of this transfer is detailed in the attached appendix.
 - G. Are funds expected to lapse if this transfer is not approved?
It is anticipated that some funds will lapse whether this transfer is approved or not.
 - H. Are personnel services involved?
No positions are being transferred as a result of this request.

The Department has conducted a detailed review of line items in the budget to ensure that available funds are maximized to the greatest degree possible. An appendix is attached which summarizes the changes across the Department.

Respectfully submitted,


Nicholas A. Toumpas
Commissioner

Attachment

Salaries	Account From	General Funds Only			Net FF/Oth	Account To
		From	To	Net		
Division for Children, Youth and Families	Various	\$ (1,473,222)	\$ 639,391	(833,830)	(712,652)	Various
Bureau of Homeless and Housing Services	Various	\$ -	\$ -	-	42,500	Various
Division of Child Support Services	Various	\$ (44,153)	\$ 9,503	(34,650)	(90,350)	Various
Division of Family Assistance	Various	\$ (37,503)	\$ 37,503	-	-	Various
Division of Client Services	Various	\$ (103,773)	\$ 78,773	(25,000)	(25,000)	Various
Office of Medicaid & Business Policy	Various	\$ (87,500)	\$ 2,338,328	2,250,828	2,251,057	Various
Bureau of Elderly and Adult Services	Various	\$ (357,692)	\$ 40,350	(317,342)	(180,742)	Various
Division of Community Based Services	Various	\$ (326,400)	\$ -	(326,400)	(66,600)	Various
Division of Public Health Services	Various	\$ (300,265)	\$ 65,118	(235,147)	882	Various
Glenclyff Home	Various	\$ (159,339)	\$ 159,339	-	-	Various
Bureau of Behavioral Health	Various	\$ (271,620)	\$ 42,700	(228,820)	(116,180)	Various
Bureau of Developmental Services	Various	\$ (73,710)	\$ 12,642	(61,068)	(49,132)	Various
New Hampshire Hospital	Various	\$ (610,890)	\$ 626,337	15,447	519,153	Various
Office of the Commissioner	Various	\$ (178,455)	\$ 44,310	(134,145)	(85,855)	Various
Office of Improvement and Integrity	Various	\$ (4,388)	\$ 4,399	1	(1)	Various
Office of Operations Support and Program Integrity	Various	\$ (10,090)	\$ 19,616	9,526	6,474	Various
Office of Administration	Various	\$ (148,000)	\$ 7,400	(140,600)	(49,400)	Various
Office of Information Systems	Various	\$ (42,000)	\$ 103,200	61,200	40,800	Various
Total Department of Health and Human Services		(4,228,910)	4,228,910	(0)	1,484,955	
			Net Federal Funds		1,113,016	1,113,016
			Net Other Funds		371,939	371,939
					1,484,955	

	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1	Fund	Agcy	Org	Cla	Rcpt	Class Title	Increase/	Net Genl	Net Genl					
2					Acc't		Decrease	Fund By	Fund By	GF			Transfer Amount	
3							Amount	Org. Code	Agency	Amount	S/T	FF	OF	GF
604														
605	010	090	56670000	010	500100	Personal Services Perm Clas	\$ 10,759			\$ -		\$ 10,759	\$ -	\$ -
606	010	090	56670000	018	500106	Overtime	\$ -			\$ -		\$ -	\$ -	\$ -
607	Total Expense						\$ 10,759			\$ -				
608														
609	ACA HOMEVISITING													
610	010	090	58960000	000	408114	Federal Funds	\$ 2,557							
611	010	090	58960000			Other Funds	\$ -							
612	010	090	58960000			General Funds	\$ -							
613	Total Revenue						\$ 2,557							
614														
615	010	090	58960000	010	500100	Personal Services Perm Clas	\$ 2,557			\$ -		\$ 2,557	\$ -	\$ -
616	Total Expense						\$ 2,557			\$ -				
617														
618	CLIMATE/EFFECTS STATE HEALTH													
619	010	090	79360000	000	400146	Federal Funds	\$ 10,901							
620	010	090	79360000			Other Funds	\$ -							
621	010	090	79360000			General Funds	\$ -							
622	Total Revenue						\$ 10,901							
623														
624	010	090	79360000	010	500100	Personal Services Perm Clas	\$ 10,901			\$ -		\$ 10,901	\$ -	\$ -
625	Total Expense						\$ 10,901			\$ -				
626														
627	PRAMS													
628	010	090	08360000	000	403948	Federal Funds	\$ (25,788)							
629	010	090	08360000			Other Funds	\$ -							
630	010	090	08360000			General Funds	\$ -							
631	Total Revenue						\$ (25,788)							
632														
633	010	090	08360000	010	500100	Personal Services Perm Clas	\$ (58,452)			\$ -		\$ (58,452)	\$ -	\$ -
634	010	090	08360000	050	500109	Personal Services Temp App	\$ 32,664			\$ -		\$ 32,664	\$ -	\$ -
635	Total Expense						\$ (25,788)			\$ -				
636														
637	COMBINED CHRONIC DISEASE													
638	010	090	12270000	000	400146	Federal Funds	\$ 55,895							
639	010	090	12270000			Other Funds	\$ -							
640	010	090	12270000			General Funds	\$ -							
641	Total Revenue						\$ 55,895							
642														
643	010	090	12270000	010	500100	Personal Services Perm Clas	\$ 55,895			\$ -		\$ 55,895	\$ -	\$ -
644	Total Expense						\$ 55,895			\$ -				
645														
646	FEDERAL PROJECT LAUNCH													
647	010	090	12990000	000	400338	Federal Funds	\$ 11,725							
648	010	090	12990000			Other Funds	\$ -							
649	010	090	12990000			General Funds	\$ -							
650	Total Revenue						\$ 11,725							
651														
652	010	090	12990000	050	500109	Personal Services Temp App	\$ 11,725			\$ -		\$ 11,725	\$ -	\$ -
653	Total Expense						\$ 11,725			\$ -				



05-95-090-902010-58960000

ACA- HomeVisiting

Funding in this organization represents costs associated with the ACA HomeVisiting grant within the Division of Public Health Services. Funds are needed in classified personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 15.

Source of Funds: 100% Federal

05-95-090-901510-79360000

Climate Effects State Health

Funding in this organization represents costs associated with the Climate Effects Section within the Division of Public Health Services. Funds are needed in classified personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 15.

Source of Funds: 100% Federal

05-95-090-902010-08360000

PRAMS

Funding in this organization represents costs associated with the PRAMS grant within the Division of Public Health Services. Funds are available in classified personnel (Class 010) as the actual cost of salaries will be less than the adjusted authorized for currently filled positions in SFY15. Funds are needed in (Class 050) Personal Services Temp Appt as the actual cost will be greater than originally anticipated.

Source of Funds: 100% Federal

05-95-090-902010-12270000

Combined Chronic Disease

Funding in this organization represents costs associated with the Combined Chronic Disease grant within the Division of Public Health Services. Funds are needed in Classified Personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 15.

Source of Funds: 100% Federal

05-95-090-902010-12990000

Project LAUNCH

Funding in this organization represent costs associated with the Project LAUNCH grant within the Division of Public Health Services. Funds are needed in Class 50 (Personal Services-Temp) as expenses are higher than anticipated.

Source of funds: 100% Federal.

05-95-090-902010-22150000

CDC Oral Health Grant

Funding in this organization represents costs associated with the two Federal Oral Health Grants within the Division of Public Health Services. Funds are available in Class 050 (Personal Services Temp) due to delays in recruitment of a part-time position.

Source of Funds: 100% Federal.

05-95-090-901510-79640000

Lead Prevention

Funding in this organization represents costs associated with the Lead Prevention section within the Division of Public Health Services. Funds are needed in Class 50 (Personal Services-Temp) as expenses are higher than anticipated.

Source of Funds: 100% Federal

05-95-090-903010-18350000

NH ELC

Funding in this organization represents costs associated with the ELC section within the Division of Public Health Services. Funds are available in classified personnel (Class 010), as the actual cost of salaries will be less than anticipated for currently filled positions in SFY 15. Funds are needed in overtime (Class 018) as hours needed are greater than anticipated.

Source of Funds: 100% Federal

05-95-090-903010-30560000

USDA FERN GRANT

Funding in this organization represents costs associated with the USDA FERN Grant within the Division of Public Health Services. Funds are available in classified personnel (Class 010), as the actual cost of salaries will be less than anticipated for currently filled positions in SFY 15.

Source of Funds: 100% Federal

05-95-90-903010-30670000

Division of Public Health Services, Emergency Response Radiochem

Funding in this organization represents costs associated with the Emergency Response Radiochemistry Section within the Division of Public Health Services. Funds are needed in Classified Personnel (Class 010), as the actual cost of salaries will be greater than the adjusted authorized for currently filled positions in SFY 15.

Source of Funds: 100% Other Funds (Utilities).

05-95-090-903010-53500000

FED FDA Micro

Funding in this organization represents costs associated with the FDA Micro section within the Division of Public Health Services. Funds are needed in classified personnel (Class 010) and overtime (Class 018), as the actual cost of salaries will be greater than anticipated for currently filled positions in SFY 15.

Source of Funds: 100% Federal Funds

05-95-90-903010-79660000

Public Health Laboratories

Funding in this organization represents costs associated with testing activities within the Division of Public Health Services. Funds are available in Class 018 (Overtime) to cover costs in other areas of the PH Lab

Source of Funds: 35% Federal, 65% General Funds

GLENCLIFF HOME

05-095-91-910010-57100000

Glenclyff Home, Professional Care

Funding in this organization represents costs associated with the Professional Care Services delivered to clients. Funds are available in Class 017 (Personal Services-Other) and Class 050 (Personal Services – Temporary) due to savings from vacancies and from temporarily downgraded licensed personnel position expenses. Funds are needed in Class 010 (Personal Services-Permanent) and Class 018 (Overtime) due to vacancies and staffing shortages.

Source of Funds: 100% General



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



WILLIAM CASS, P.E.
ASSISTANT COMMISSIONER

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Bureau of Rail and Transit
August 3, 2015

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Transportation to Budget and Expend prior year carry-forward funds in the amount of \$750,000 from the Special Railroad Fund for the maintenance and repair of State-owned railroad lines effective upon Fiscal Committee and Governor and Council approval through December 31, 2015.

Funds are to be budgeted as follows: 100% Other Funds (Special Railroad Funds).

04-096-096-960010-2991 Special Railroad Fund	FY 2016 Budget	Requested Change	FY 2016 Revised Budget
Expenses:			
020 500241 Current Expense	\$0	\$10,000	\$10,000
022 500242 Rents-Leases Other than State	0	\$30,000	\$30,000
046 500463 Engineering Consultants	0	\$65,000	\$65,000
073 500579 Grants Non Federal	0	\$65,000	\$65,000
400 500871 Construction	296,919	\$580,000	\$876,919
Total	\$296,919	\$750,000	\$1,019,919
Source of Funds			
Revenue:			
009 407323 Agency Income	\$296,919	\$750,000	\$1,019,919
Total	\$296,919	\$750,000	\$1,019,919

2. Pursuant to RSA 228:69, I (b), authorize the Department of Transportation, Bureau of Rail & Transit, to expend an amount not to exceed \$750,000 from the Special Railroad Fund for maintenance and repair of State-owned railroad lines and bridges effective upon Fiscal Committee and Governor and Council approval through December 31, 2015.

EXPLANATION

RSA 228:68 establishes the Special Railroad Fund for the deposit of revenues produced on the state-owned railroad corridors through user fees paid by railroads, leases and fees paid by other landowners, and other revenues. This dedicated fund is required to comply with Federal regulations that require lease or other income on property acquired with Federal funds to be used to maintain those properties.

RSA 228:69, I (a) requires that 20 percent of the 2015 calendar year railroad user fees deposited in the Special Railroad Fund be paid to the cities and towns through which the state-owned railroad lines pass. These amounts will be paid out to the respective towns at the end of fiscal year 2016.

RSA 228:69, I (b) authorizes the Department of Transportation to expend funds from the Special Railroad Fund on maintenance and repair of state-owned railroad lines. The Department will use these funds to continue repairs and maintenance activities including track, minor bridge and drainage projects on state-owned lines in 2016. The cost of engineering and construction administration will also be paid from these funds. The Special Railroad Fund receives revenues derived from railroad user fees, leases and crossing agreements, and a portion of the state railroad tax. The Department of Transportation, Bureau of Rail and Transit, will reimburse the railroads operating on the State-owned rail lines and other responsible parties for their infrastructure construction and maintenance expenses on the State-owned rail lines and bridges. The operating railroads on the State owned rail corridors and the estimated construction funds (400 500871) to be encumbered and expended on their operating lines are:

Department of Transportation	(Vendor 177927)	\$ 30,000
Plymouth & Lincoln Railroad	(Vendor 157711)	\$ 205,000
New Hampshire Central Railroad	(Vendor 157337)	\$ 30,000
Conway Scenic Railroad	(Vendor 154819)	\$ 205,000
New England Southern Railroad	(Vendor 161682)	\$ 40,000
Milford-Bennington Railroad	(Vendor 156441)	\$ 40,000
Claremont-Concord Railroad	(Vendor 156559)	\$ 30,000
<hr/>		
TOTAL CLASS 400		\$ 580,000

To resolve unanticipated events and circumstances on the State-owned rail lines, the estimated amounts listed above are subject to adjustment by the Administrator of the Bureau of Rail & Transit.

Approximately \$30,000 of the funds budgeted in Class 046 Consultants is to address an ongoing environmental hazard pertaining to the Frankenstein Trestle that the Department has been addressing with the NH Department of Environmental Services (NHDES). The Frankenstein Trestle continues to excrete creosote from the bridge timbers as it has done since installation of the timbers in 2008. Due to the existing environmental hazard, NHDES is now requiring the Department to complete a site-specific risk assessment, conduct additional soil testing, and complete a remedial action plan within state fiscal year 2016. An existing environmental consultant will complete these tasks, but as their completion will take at least ten months, immediate access to funds will be required to meet the NHDES deadline. The Bureau of Environment has been actively involved in the project, has been the liaison with NHDES, and has a statewide consultant that is able to complete these tasks upon funding availability.

Consultant costs will be from statewide contracts; therefore, new consultants will not be contracted.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?

No, this request is the result of RSA 228:68, 228:69,I (a), and 228:69, I(b) as described above.

2. If this request is **retroactive** what is the significance and importance of the action being effective from an earlier date?

No, this is not a retroactive request.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)

No, this is a non-budgeted, non-lapsing special fund established by RSA 228:68. Authorization is requested annually of Governor and Council and the joint legislative Fiscal Committee to expend funds as described in the request.

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

No, this is a non-budgeted, non-lapsing special fund established by RSA 228:68.

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

No, this is a non-budgeted, non-lapsing special fund established by RSA 228:68.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

No, this program does not include positions. Consultant costs will be from statewide contracts; therefore, new consultants will not be contracted.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

RSA 228:69 as established, distributes funds from this special account to cities and towns annually and upon approval of the Governor and Council and the joint legislative Fiscal Committee, funds the operation and maintenance of state owned railroad properties.

Your approval is respectfully requested.

Sincerely,

Patricia M. Kane, For

William Cass, P.E.
Assistant Commissioner

Attachment

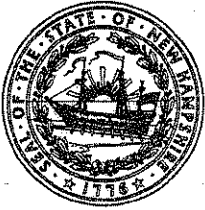
Department of Transportation
Fiscal Situation Fiscal Year 2016
04-096-096-960010-2991

Special Railroad Fund

Estimated revenue budgeted YTD:	\$ 11,892
Prior year carryforward revenue:	\$ 779,965
Additional non-budgeted revenue:	<u>\$ -</u>
Amount available to budget:	\$ 791,857
Less current FY16 Budget Authorization	<u>\$ -</u>
Total available for budgeting:	\$ 791,857
Amount to be budgeted this request:	<u>\$ 750,000</u>
Amount available to budget with future requests:	<u>\$ 41,857</u>

<u>Source of Non-Budgeted Revenue</u>	<u>Amount</u>	<u>Expenses</u>	<u>Balance</u>
		<u>through 6/30/15</u>	
Prior year carry forward	\$ 779,965	\$ -	\$ 779,965
Revenue received up to 8/8/2015	\$ 11,892	\$ -	\$ 11,892
Totals	<u>\$ 791,857</u>	<u>\$ -</u>	<u>\$ 791,857</u>

Amounts from Statement of Appropriations for A/U 2991 Dated 8-08-2015



STATE OF NEW HAMPSHIRE
 DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
 DIVISION OF PARKS AND RECREATION

172 Pembroke Road Concord, New Hampshire 03301
 Phone: (603) 271-3556 Fax: (603) 271-3553 E-Mail: nhparks@dred.nh.gov
 Web: www.nhstateparks.org

August 7, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 and
 Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Executive Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 158, sub-paragraph I,(a), Laws of 2015, the Department of Resources and Economic Development requests authorization to exceed the 6/12 limitation of said resolution for the accounts listed on the attached analysis, in the total amount of \$1,135,000, to the extent shown as projected deficits, for the period of Fiscal Committee and Governor and Council approval through December 31, 2015. **100% Other Funds**

Service Parks					
03-035-035-351510-37200000					
FY 2016					
Class	Class Description	Account	Current Budget	Requested Change	Adjusted Budget
Revenue					
009	Agency Income	403072	(3,910,654.50)	(1,135,000.00)	(5,045,654.50)
		<i>Total:</i>	(3,910,654.50)	(1,135,000.00)	(5,045,654.50)
Appropriations					
010	Personal Services Perm	500100	588,567.50		588,567.50
018	Overtime	500106	10,500.00		10,500.00
019	Holiday Pay	500105	1,000.00		1,000.00
020	Current Expenses	500200	291,527.38	185,000.00	476,527.38
022	Rents & Leases	500255	33,998.38		33,998.38
023	Heat, Elec. & Water	500210	184,999.00		184,999.00
024	Maint. Other Than B&G	500225	9,500.00		9,500.00
029	Intra Agency Transfers	500290	484,147.50		484,147.50
030	Equip. New/Replacement	500300	305,780.88		305,780.88
039	Telecommunications	500180	42,250.00		42,250.00
042	Additional Fringe Benefit	500620	61,000.00		61,000.00
044	Debt Service	500400	15,934.00		15,934.00
047	Own Forces Mnt	500240	41,906.67		41,906.67
048	Contract Maint. B&G	500226	54,175.00		54,175.00
050	Personal Service / Temp	500109	1,213,500.00	950,000.00	2,163,500.00

059	Full Time Temp	500117	75,000.00	75,000.00
060	Benefits	500601	496,505.50	496,505.50
066	Training	500543	500.00	500.00
070	In State Travel	500700	750.00	750.00
102	Contract for Prog Serv	500634	60,333.00	60,333.00
103	Contract for Oper Serv	502664	257,558.42	257,558.42
<i>Total:</i>			4,229,433.23	5,364,433.23

EXPLANATION

This request is due to the continuing resolution. The Department estimates shortfalls in the Service Parks accounting unit which will affect the Department's ability to maintain seasonal operations of the State's Parks and Recreation system for the remainder of the 2015 season. The accounts listed in the above table represent a major portion of the operational activity funded in the Parks and Recreation system during the first and second quarter of the fiscal year.

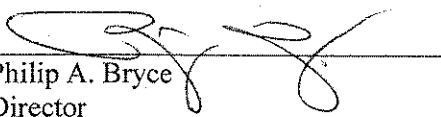
The majority of revenue and expenses for the Park System occur in the first 6 months of the fiscal year due to the summer season. In 2015 60% of expenses occurred and 70% of revenue was generated in the first 6 months. Spending at FY 2015 levels (adjusted for pay increases in class 10 and 50 and an increase in contracted services for septic services) compared against the current budget under the continuing resolution results in total estimated spending above the CR budget of \$1.4 million across class lines, primarily in Class 50 (seasonal labor) and Class 20 (current expense). Most of the classes that will have negative balances by December 31, 2015 will be covered with reductions in expenses or transfers in from other classes pursuant to RSA 216-A:3-m, the Department's authority to transfer funds between and among the appropriations for the operation of the state park system. We estimate we will be able to transfer approximately \$347,000 in available savings between and among classes to manage most class shortfalls. However, there are insufficient funds to cover current expenses and seasonal labor.

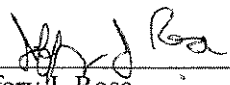
Without approval of this request, the Division of Parks and Recreation will have to curtail operations and close parks due to lack of staffing and supplies. This will affect access to outdoor recreation by the citizens of the state and visitors to the state during the late summer and fall. The closure of parks will also result in a loss of revenue to the Parks Fund.

Your approval of this request will ensure that parks stay open and that the revenues anticipated from parks operations are realized.

Respectfully submitted,

Concurred,


Philip A. Bryce
Director


Jeffery J. Rose
Commissioner



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



WILLIAM CASS, P.E.
ASSISTANT COMMISSIONER

Bureau of Turnpikes
August 3, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 158, sub-paragraph I,(a), Laws of 2015, the Department of Transportation requests authorization to exceed the 6/12 limitation of said resolution for the accounts listed below, in the amount of \$3,000,000, to the extent shown as projected deficits, for the period of Fiscal Committee and Governor and Council approval through December 31, 2015.

04-096-096-961017-70250000	Current Appropriation FY 2016	Need	Projected (Deficit)
Renewal & Replacement			
Expenses:			
020 500200 Current Expense	\$177,346	\$177,346	\$0
046 500188 Consultants	597,851	597,851	0
047 500240 Own Forces Maint	62,500	62,500	0
048 500226 Contract Maint Bldgs & Grnds	709,885	709,885	0
400 500871 Construction Repair Material	6,435,210	9,435,210	(3,000,000)
Total	\$7,982,792	\$10,982,792	(\$3,000,000)
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$7,982,792	\$10,982,792	(\$3,000,000)

EXPLANATION

Renewal and Replacement (70250000) – 100% Turnpike Funds

Class 400 Increase Construction Repair Materials by \$3,000,000. This transfer will allow for payment of eight (8) Renewal & Replacement Program contracts that will include the majority of expenditures from

July to December 2015. Encumbrances totaling \$2,208,536 were brought forward from FY 2015 and represent contractual obligations for that year. The additional funds are needed for commitments that have been made with vendors to complete construction on Bridge Rehabilitations, Resurfacing, Signage and Guardrail Replacement before November 2015. See attached list of Turnpike Contracts.

It is respectfully requested that this resolution be approved.

Sincerely,

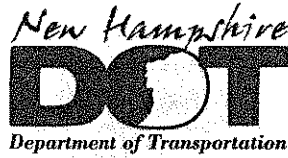


William Cass, P.E.
Assistant Commissioner

Attachment

Turnpike R&R Contracts - EXHIBIT B

Project Name	Project No	Bid Date	G&C Approval Date	G&C Agenda No.	Competition Date	Project Description	Construction Cost	Expenditures Anticipated FY 2016 (July to Dec 2015)
Statewide Signing	28914	August 28, 2014	October 29, 2014	26	July 31, 2015	Signing along FEET, Spaulding and I-95	\$500,000	\$125,000
Central Corridor Drainage	23786	December 4, 2014	February 11, 2015	23	September 25, 2015	FEET, I-93, I-293 : Drainage Rehabilitation	\$534,000	\$535,000
Hooksett	29494	February 5, 2015	March 25, 2015	22	October 2, 2015	Bridge Rehabilitation--Deck replacement for Toll Plaza Access Road over NB Off Ramp at I-93 Exit 11 Interchange	\$615,000	\$375,000
Nashua-Concord	29021	March 10, 2015	April 22, 2015	41	September 11, 2015	Central TPK Resurfacing: Nashua FEET NB/SB pavement from mm 0.0 to mm 3.0 and Concord mm 35.8 to 36.6	\$4,200,000	\$4,200,000
Portsmouth-Dover-Seabrook-Hampton	29022	March 11, 2015	April 22, 2015	40	September 11, 2015	Eastern Turnpike Resurfacing Portsmouth-Newington from mm 0.0 to mm 1.6; Spaulding Tpk NB and SB Ramps to I-95; Exit 1 Seabrook	\$3,125,000	\$2,800,000
Statewide Signing	29731	May 21, 2015	July 22, 2015	39	November 13, 2015	Signing along FEET, Spaulding and I-95	\$515,000	\$515,000
Dover-Bedford	29023	June 11, 2015	Expected 8/5/2015		October 23, 2015	Spaulding Turnpike (NH 16) Guardrail Replacement	\$537,000	\$537,000
Merrimack	40354	Work being completed by Bridge Maintenance	N/A		August 28, 2015	Concrete Slab Repair to Merrimack Exit 10 & 11 ramp plazas	25,000	\$25,000
29023 - Expected G&C Date Forwarded to AG's office for review 7/21/2015							\$10,051,000	\$9,112,000



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



William Cass, P.E.
Assistant Commissioner

July 31, 2015
 Division of Operations

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to Chapter 158, sub-paragraph I,(a), Laws of 2015, and Chapter 144:95, Laws of 2013, Transfer Among Accounts and Classes, authorize the Department of Transportation to transfer \$95,000 between Highway Fund accounts and classes effective upon Fiscal Committee and Governor and Council approval through December 31, 2015.

04-096-096-960515-2928	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Winter Maintenance			
Expenses:			
017 500147 FT Employees Special Payment	\$273,840	\$0	\$273,840
018 500106 Overtime	1,709,405	0	1,709,405
019 500105 Holiday Pay	16,554	0	16,554
020 500200 Current Expense	5,081,932	0	5,081,932
022 500255 Rents-Leases Other than State	3,361,807	(74,000)	3,287,807
023 500291 Heat, Electricity, Water	358,564	0	358,564
024 500225 Maint. Other than Bldg-Grounds	1,453	0	1,453
030 500311 Equipment New Replacement	115,964	74,000	189,964
039 500180 Telecommunications	46,350	0	46,350
047 500240 Own Forces Maint Bldgs & Grnds	5,150	0	5,150
048 500226 Contractual Maint Bldgs & Grnds	10,300	0	10,300
050 500109 Personal Service Temp	75,000	0	75,000
060 500601 Benefits	400,461	0	400,461
070 500704 In-State Travel Reimbursement	64,807	0	64,807
103 500741 Contracts for Op Services	10,655	0	10,655
Total	\$11,532,242	\$0	\$11,532,242
Source of Funds			
Revenue:			
004-403631 Intra Agency Transfers	\$100,000	\$0	\$100,000
000-000015 Highway Funds	11,432,242	\$0	11,432,242
Total	\$11,532,242	\$0	\$11,532,242

04-096-096-960515-3052	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Transportation Management Ctr			
Expenses:			
010 500100 Personal Services – Permanent	\$322,422	\$0	\$322,422
018 500106 Overtime	16,500	0	16,500
019 500105 Holiday Pay	4,000	0	4,000
020 500200 Current Expense	37,003	(6,000)	31,003
022 500255 Rents-Leases Other than State	14,008	0	14,008
023 500291 Heat, Electricity, Water	6,335	6,000	12,335
024 500225 Maint Other than Build-Grn	121,686	0	121,686
026 500251 Organizational Dues	125	0	125
028 500292 Transfers to General Services	48,073	0	48,073
030 500311 Equipment New/Replacement	22,090	0	22,090
037 500174 Technology-Hardware	61,229	0	61,229
038 500177 Technology-Software	59,823	0	59,823
039 500189 Telecommunications	32,120	0	32,120
046 500464 Eng Consultants	29,274	0	29,274
048 500226 Contractual Maint.-Build-Grnds	2,500	0	2,500
050 500109 Personal Service-Temp	54,816	0	54,816
060 500601 Benefits	187,802	0	187,802
066 500543 Employee Training	4,195	0	4,195
070 500703 In-State Travel Reimbursement	150	0	150
Total	\$1,024,151	\$0	\$1,024,151
Source of Funds			
Revenue:			
004 403631 Intra Agency Transfers	\$447,967	\$0	\$447,967
009 405698 Agency Income	18,497	0	18,497
000-000015 Highway Funds	557,687	0	557,687
Total	\$1,024,151	\$0	\$1,024,151

04-096-096-962015-3033	Current Budget FY2016	Requested Change	Revised Budget FY2016
Bridge Design Bureau			
Expenses:			
010 500100 Personal Services – Perm	\$1,037,385	\$0	\$1,037,385
018 500106 Overtime	37,500	0	37,500
020 500200 Current Expense	9,125	0	9,125
022 500255 Rents-Leases Other than State	2,062	0	2,062
026 500251 Organizational Dues	15,000	(15,000)	0
030 500301 Equipment New Replacement	13,171	0	13,171
038 500175 Technology - Software	4,450	15,000	19,450
039 500180 Telecommunications	5,750	0	5,750
050 500109 Personal Services – Temp	7,500	0	7,500
057 500535 Books Periodicals Subscription	2,000	0	2,000
060 500601 Benefits	563,259	0	563,259
070 500704 In-State Travel Reimbursement	125	0	125
400 500870 Construction Repair	595	0	595
Total	\$1,697,922	\$0	\$1,697,922

Source of Funds			
Revenue:			
000-409151 Federal Funds	\$561,813	\$0	\$561,813
009-405698 Agency Income	36,305	0	36,305
009-405699 Agency Income	142,185	0	142,185
000-000015 Highway Funds	957,619	0	957,619
Total	\$1,697,922	\$0	\$1,697,922

EXPLANATION

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services incurred in meeting the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

Winter Maintenance (2928) 0.9% Intra-Agency Transfer and 99.1% Highway Funds

- Class 030 Increase Equipment New Replacement by \$74,000. Increased equipment is necessary to purchase the new plows to equip trucks purchased on FY15 for the additional lanes of I-93 in time for the winter maintenance season. This equipment will be needed pre-season taking into consideration ordering and installation to have trucks ready for winter plowing.
- Class 022 Decrease Rents-Leases Other than State. Expenses will be managed to accommodate this request.

Transportation Management Center (3052) 46.43% Intra-Agency Transfers, 1.74% Agency Income, 51.83% Highway Funds

- Class 020 Decrease Current Expenses by \$6,000. Current expenses will be managed to accommodate this request.
- Class 023 Increase Heat, Electricity, and Water by \$6,000. This additional funding is necessary to cover electricity costs for Intelligent Transportation System (ITS) devices.

Bridge Design Bureau (3033) 33.09% Federal Funds, 10.51% Agency Income, 56.40% Highway Funds

- Class 026 Decrease Organizational dues by \$15,000 to align appropriation to correct class for AASHTOWare Bridge Management software.
- Class 038 Increase Technology – Software by \$15,000 to align appropriation to correct class for AASHTOWare Bridge Management software.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfers are to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.

RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.

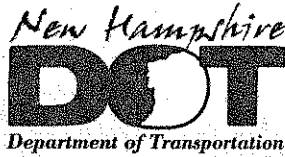
4. Identify the source of funds on all accounts listed on this transfer.
Source of funds is Federal Funds, Intra Agency Transfer, Highway Funds and Agency Income.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
Should funds lapse as a result of not approving this request, such funds will lapse to their respective account fund balances.
7. Are personal services involved?
No new positions are requested with this transfer.

Your approval of this resolution is respectfully requested.

Sincerely,



William Cass, P.E.
Acting Commissioner



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



WILLIAM CASS, P.E.
ASSISTANT COMMISSIONER

Bureau of Turnpikes
 August 3, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 158, sub-paragraph I,(a), Laws of 2015, and Chapter 144:95, Laws of 2013, Transfer Among Accounts and Classes, authorize the Department of Transportation to transfer funds within Turnpike Fund accounting units in the amount of \$84,500. The adjustments are summarized below and effective upon Fiscal Committee and Governor and Council approval through December 31, 2015.

04-096-096-961017-70220000	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Turnpikes Administration - Support			
Expenses:			
010 500100 Personal Services – Perm	\$831,741	\$0	\$831,741
017 500147 FT Employees Special Pay	2,100	0	2,100
018 500106 Overtime	60,000	0	60,000
019 500105 Holiday Pay	1,250	0	1,250
020 500200 Current Expense	45,025	(2,000)	43,025
022 500255 Rents-Leases Other than State	3,337	0	3,337
023 500291 Heat, Electricity, Water	4,827	0	4,827
024 500225 Maint Other than Bldg-Grnds	9,195	0	9,195
026 500251 Membership Fees	25,000	0	25,000
029 500290 Intra Agency Transfers	1,524,212	(42,500)	1,481,712
030 500311 Equipment	25,136	0	25,136
035 500000 Shared Services Support	23,151	0	23,151
037 500171 Technology Hardware	8,800	0	8,800
038 500175 Technology Software	41,086	7,500	48,586
039 500188 Telecommunications	23,184	0	23,184

040 500800 Indirect Costs	125,000	0	125,000
046 500463 Eng Consultants Non-Benefits	16,985	15,000	31,985
047 500240 Own Forces Maint - Bldg	2,500	0	2,500
048 500226 Contract Maint Bldgs & Grnds	8,250	0	8,250
049 500294 Transfers To Agencies	65,000	20,000	85,000
050 500109 Personal Services - Temporary	40,000	0	40,000
060 500601 Benefits	573,325	0	573,325
066 500543 Continuing Prof Education	5,000	0	5,000
069 500567 Promotional and Marketing Exp	0	2,000	2,000
070 500704 In-State Travel	400	0	400
080 500710 Out of State Travel	6,000	0	6,000
103 500741 Contracts for Operational Svc	5,417	0	5,417
255 500949 Cost of Issuing Bonds	375,000	0	375,000
403 500878 Audit	55,000	0	55,000
404 500880 Intra-Indirect Costs	1,380,788	0	1,380,788
Total	\$5,286,709	\$0	\$5,286,709
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$5,286,709	\$0	\$5,286,709

04-096-096-961017-70270000	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Central NH Tpk Maintenance			
Expenses:			
010 500100 Personal Services – Perm	\$478,709	\$0	\$478,709
017 500147 FT Employees Special Pay	14,700	0	14,700
018 500106 Overtime	175,000	0	175,000
019 500105 Holiday Pay	4,250	0	4,250
020 500200 Current Expense	595,217	(26,000)	569,217
022 500255 Rents-Leases Other than State	340,363	0	340,363
023 500291 Heat, Electricity, Water	146,002	0	146,002
024 500225 Maint Other than Bldg-Grnds	6,709	0	6,709
030 500311 Equipment	591,133	0	591,133
039 500188 Telecommunications	7,618	0	7,618
047 500240 Own Forces Maint	37,500	0	37,500
048 500226 Contract Maint Bldgs & Grnds	106,324	0	106,324
050 500109 Personal Services – Temp	43,500	0	43,500
060 500601 Benefits	401,856	0	401,856
068 500563 Remuneration	1,500	0	1,500
070 500704 In-State Travel	3,900	0	3,900
103 500741 Contracts for Operational Svc	36,223	0	36,223
400 500871 Construction Repair Material	0	26,000	26,000
Total	\$2,990,504	\$0	\$2,990,504
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$2,990,504	\$0	\$2,990,504

04-096-096-961017-70320000	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Eastern NH Blue Star Tpk Maintenance			
Expenses:			
010 500100 Personal Services – Perm	\$230,375	\$0	\$230,375
017 500147 FT Employees Special Pay	6,720	0	6,720
018 500106 Overtime	82,500	0	82,500
019 500105 Holiday Pay	2,575	0	2,575
020 500200 Current Expense	291,854	(4,000)	287,854
022 500255 Rents-Leases Other than State	153,126	0	153,126
023 500291 Heat, Electricity, Water	47,085	0	47,085
024 500225 Maint Other than Bldg-Grnds	5,660	0	5,660
030 500311 Equipment	99,943	0	99,943
037 500171 Technology Hardware	1,100	0	1,100
039 500188 Telecommunications	1,785	0	1,785
047 500240 Own Forces Maint	1,050	0	1,050
048 500226 Contract Maint Bldgs & Grnds	63,999	0	63,999
050 500109 Personal Services – Temp	19,000	0	19,000
060 500601 Benefits	206,362	0	206,362
068 500563 Remuneration	1,500	0	1,500
070 500704 In-State Travel	1,550	0	1,550
103 500741 Contracts for Operational Svc	30,775	0	30,775
400 500871 Construction Repair Material	0	4,000	4,000
Total	\$1,246,959	\$0	\$1,246,959
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$1,246,959	\$0	\$1,246,959

04-096-096-961017-70370000	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Eastern NH Tpk Spaulding Maintenance			
Expenses:			
010 500100 Personal Services – Perm	\$193,475	\$0	\$193,475
017 500147 FT Employees Special Pay	6,300	0	6,300
018 500106 Overtime	72,000	0	72,000
019 500105 Holiday Pay	3,800	0	3,800
020 500200 Current Expense	255,536	(10,000)	245,536
022 500255 Rents-Leases Other than State	58,030	0	58,030
023 500291 Heat, Electricity, Water	40,451	0	40,451
024 500225 Maint Other than Bldg-Grnds	4,673	0	4,673
030 500311 Equipment	921,483	0	921,483
037 500168 Technology Hardware	1,092	0	1,092
039 500188 Telecommunications	709	0	709
047 500240 Own Forces Maint	1,050	0	1,050
048 500226 Contract Maint Bldgs & Grnds	65,946	0	65,946
050 500109 Personal Services – Temp	15,500	0	15,500
060 500601 Benefits	129,360	0	129,360
068 500563 Remuneration	1,500	0	1,500

070 500704 In-State Travel	1,050	0	1,050
103 500741 Contracts for Operational Svcs	32,980	0	32,980
400 500871 Construction Repair Material	5,981	10,000	15,981
Total	\$1,810,916	\$0	\$1,810,916
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$1,810,916	\$0	\$1,810,916

EXPLANATION

The Department requests authorizations to transfer funds within the various accounts in order to pay for services incurred in meeting the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

NH Turnpike Administration - Support (70220000) – 100% Turnpike Funds:

- Class 020 Decrease Current Expense by \$2,000. This account will be managed to accommodate this request.
- Class 029 Decrease Intra-Agency Transfers by \$42,500. This account is expected to have a surplus based on Fiscal Year 2015 expenditures, due to lower than expected fleet maintenance expenditures.
- Class 038 Increase Technology Software by \$7,500. This will allow for payment of contract software license and maintenance services for the automated regional rideshare software. Trapeze RidePro software and hosting has been a dependable, secure internet service for the operation of NH rideshare services. This software benefits the Turnpike System in that it helps meet a mitigation requirement of the Newington-Dover construction project.
- Class 046 Create a non-budgeted Class 046 in the amount of \$15,000. This transfer will allow for payment of consultant fees related to consultant studies approved in Fiscal Year 2015 for Right of Way and Environmental engineering. The studies should be completed in September and include Turnpike Risk Assessment and architecture design for Turnpikes' spreader hanger. This request is for current consultant contracts. No new consultant contracts are being added.
- Class 049 Increase Transfers to Other State Agencies by \$20,000. This will allow for payment of State Police safety details that occur in the summer and fall to assist the Department with "Borrowed Lane" traffic control on I93 through Concord and Bow for the NH Motor Speedway NASCAR race. Each year NHDOT and the State Police Race coordinator reviews the proposed traffic control plan to attempt to reduce costs by decreasing hours or the number of personnel required. With the agreement approved in June 2013, the extra cost was not in Turnpikes' FY 2014-15 budget; therefore a transfer of additional funds is necessary.
- Class 069 Create a non-budgeted Class 069 in the amount of \$2,000. This transfer will allow for advertising of the Turnpikes' Toll Revenue Processing and Electrical Services contracts. There are no Statewide Service Contracts for these services.

Central NH Turnpike Maintenance (70270000) – 100% Turnpike Funds:

- Class 020 Decrease Current Expense by \$26,000. This account will be managed to accommodate this request.

Class 400 Create a non-budgeted Class 400 for Contractual Guardrail Replacement in the amount of \$26,000. This account will allow for payment of contract expenditures for the repair of guardrail damaged during motor vehicle accidents anticipated from July to December 2015.

East NH Blue Star Turnpike Maintenance (70320000) – 100% Turnpike Funds:

Class 020 Decrease Current Expense by \$4,000. This account will be managed to accommodate this request.

Class 400 Create a non-budgeted Class 400 in Accounting Unit 7032 for Contractual Guardrail Replacement in the amount of \$4,000. This account will allow for payment of contract expenditures for the repair of guardrail damaged during motor vehicle accidents anticipated from July to December 2015.

Eastern NH Turnpike Spaulding Maintenance (70370000) – 100% Turnpike Funds:

Class 020 Decrease Current Expense by \$10,000. This account will be managed to accommodate this request.

Class 400 Create a non-budgeted Class 400 in Accounting Unit 7037 for Contractual Guardrail Replacements in the amount of \$10,000. This account will allow for payment of contract expenditures for the repair of guardrail damaged during motor vehicle accidents anticipated from July to December 2015.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

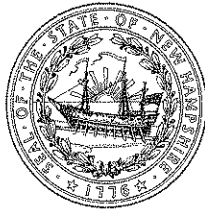
1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs.
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfers are to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.
RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing and maintaining a State transportation network. This transfer will facilitate the accomplishment of this responsibility.
4. Identify the source of funds on all accounts listed on this transfer.
Source of funds: Turnpike Funds.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
Funds would lapse back to the Turnpike Fund.
7. Are personal services involved?
No personal services are involved.

It is respectfully requested that this resolution be approved.

Sincerely,



William Cass, P.E.
Assistant Commissioner



FIS 15 183

JEFFRY A. PATTISON
Legislative Budget Assistant
(603) 271-3161

MICHAEL W. KANE, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

July 26, 2015

Fiscal Committee of the General Court
Representative Neal Kurk, Chairman
State House
Concord, New Hampshire 03301

Dear Chairman Kurk and Members of the Committee,

This letter is to inform you that I am resigning. My last day of work as Legislative Budget Assistant will be at close of business on Monday, August 31, 2015.

Sincerely,

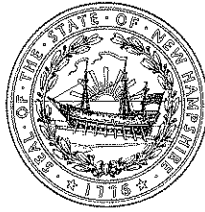
A handwritten signature in black ink that reads "Jeffrey A. Pattison".

Jeffrey A. Pattison
Legislative Budget Assistant

JAP/ttm

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
FISCAL YEAR 2016										
15-138	July'15	Cultural Resources, Department of	RSA 14:30-a, VI; RSA 124:15	-	594,691	-	594,691	3		Authorizes 3 part time positions (grants coordinator and 2 program specialists I)
		Cultural Resources, Department of Total		-	594,691	-	594,691	-	3	
15-141	July'15	Education, Department of	RSA 14:30-a, VI; RSA 124:15	-	1,084,997	-	1,084,997			Item extends the end date for 2 temporary full time positions (program specialist III and program assistant II) originally established in FIS 15-033
15-143	July'15	Education, Department of	RSA 14:30-a, VI; RSA 124:15	-	1,586,127	-	1,586,127			Item extends the end date for 3 temp full time (Administrator II, Program Specialist III, Program Assistant II) and one part time position (Program Specialist III) originally established in FIS 14-026
		Education, Department of Total		-	2,671,124	-	2,671,124	0	0	
15-117	July'15	Environmental Services, Department of	RSA 124:15	-	-	-	-			Item extends the end date for 5 temp full time positions (administrator IV, Administrator III, Civil Engineer VI, and 2 Environmentalist IV) originally established in FIS 13-246
		Environmental Services, Department of Total		-	-	-	-	0	0	

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established	Comments
15-152	July'15	Governor's Office	RSA 14:30-a, VI; RSA 124:15	-	-	112,500	112,500		Item extends the end date for one non-classified full time position originally established in FIS 14-196
		Governor's Office Total		-	-	112,500	112,500	-	-
15-120	July'15	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	124,150	34,328	158,478		
		DHHS - Office of the Commissioner Total		-	124,150	34,328	158,478	-	-
15-123	July'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,636,364	-	1,636,364		
		DHHS - Division of Public Health Services Total		-	1,636,364	-	1,636,364	-	-
15-139	July'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	2,500,000	-	2,500,000		
		DHHS - Office of Medicaid Business and Policy Total		-	2,500,000	-	2,500,000	-	-
15-153	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	444,179	-	444,179		Item extends the end date for consultants established in FIS 14-174
15-154	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	1,055,985	-	1,055,985		Item extends the end date for consultants established in FIS 13-247
15-155	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	529,678	-	529,678		Item provides approval for establishing consultant positions
		Insurance Department Total		-	2,029,842	-	2,029,842	-	-
15-114	July'15	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	106,177	-	106,177		Item provides approval for establishing consultant positions
15-118	July'15	Justice, Department of	RSA 124:15	-	-	-	-		Item establishes one part time program specialist IV position
		Justice, Department of Total		-	106,177	-	106,177	-	-
15-137	July'15	Pharmacy, Board of	RSA 124:15	-	-	-	-		end date for one temp full time Administrator I and one part time Administrator I as contained in FIS 14-015 and FIS 13-164
		Pharmacy, Board of Total		-	-	-	-	-	-



JEFFRY A. PATTISON
Legislative Budget Assistant
(603) 271-3161

MICHAEL W. KANE, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

August 12, 2015

Fiscal Committee of the General Court
The Honorable Neal M. Kurk, Chairman
State House
Concord, New Hampshire 03301

Dear Representative Kurk and Members of the Committee,

I am writing to inform you of actions taken under the authority granted to me to approve step increases for employees of the LBA Office. I approved step increases as of the increment date for the following employees:

Haley Paton: Effective August 23, 2015, a one step increase from grade G-5 to G-6.
Date of hire: May 31, 2013 Date of previous increment: August 23, 2014

Please let me know if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Jeffrey A. Pattison".

Jeffrey A. Pattison
Legislative Budget Assistant

JAP/scs

JOINT LEGISLATIVE FACILITIES COMMITTEE
 LEGISLATIVE BRANCH
 DETAIL OF BALANCE OF FUNDS AVAILABLE
 FISCAL YEAR 2015
 As of 06/30/2015

Legislative Branch:	Org/ Class	Balance						Balance Available	
		Forward	Appropriation	Income	Transfers	Expenditures	Encumbrance		Lapsed
Senate:	1170								
Personal srvs. - members	011		6,821.00			5,788.25		1,032.75	0.00
Personal srvs. - nonclassi	016		1,624,996.00 (E)		(25,000.00)	1,537,251.73		62,744.27	0.00
Current expenses	020		44,308.00		(119.00)	41,669.87		2,519.13	0.00
Rents-Leases other than state	022		9,500.00			8,776.64		723.36	0.00
Equipment	030	18,720.00	1,000.00			19,019.99		700.01	0.00
Telecommunications	039		24,192.00			15,064.87		9,127.13	0.00
Legal srvs.& consultants	046		77,000.00		5,500.00	81,505.60		994.40	0.00
Personal srvs. - temp/app	050		94,910.00 (E)		(5,500.00)	5,242.51		84,167.49	0.00
Benefits	060		618,427.00		25,000.00	609,965.00		33,462.00	0.00
Employee training	066		100.00		119.00	219.00		0.00	0.00
Travel:									
In state	070		155,000.00			113,422.86		41,577.14	0.00
Out of state	080		11,500.00			1,761.99		9,738.01	0.00
President's discretionary fund	285		4,499.00			2,182.60		2,316.40	0.00
Contingency	289		1.00					1.00	0.00
Total		18,720.00	2,672,254.00		0.00	2,441,870.91		249,103.09	0.00

Legislative Branch - continued:	Org/ Class	Balance						Balance Available	
		Forward	Appropriation	Income	Transfers	Expenditures	Encumbrance		Lapsed
House	1180								
Personal svcs. - members	011		123,100.00			78,846.34		44,253.66	0.00
Personal svcs. - nonclassi	016		1,646,892.00			1,579,787.76		67,104.24	0.00
Current expenses	020		55,000.00			45,304.82		9,695.18	0.00
Rents-Leases Other than State	022		4,200.00			3,615.17		584.83	0.00
Maint. Other than bldg/grnd	024	23,556.38	6,000.00			5,904.00	-23,556.38	96.00	0.00
Equipment	030		3,000.00			1,516.01		1,483.99	0.00
Telecommunications	039		30,000.00			25,479.78		4,520.22	0.00
Consultants	046		80,000.00			22,599.00		57,401.00	0.00
Personal svcs. - temp/app	050		215,691.00			168,831.79		46,859.21	0.00
Benefits	060		794,426.00			621,069.28		173,356.72	0.00
Employee training	066		300.00					300.00	0.00
Travel:								0.00	
In state	070		1,100,000.00			783,870.61		316,129.39	0.00
Out of state	080		100,000.00			55,968.73		44,031.27	0.00
Speaker's special fund	286		6,000.00			4,995.98		1,004.02	0.00
Democratic Leader's Account	287		3,500.00			3,242.87		257.13	0.00
Republican Leader's Account	288		3,500.00			1,382.40		2,117.60	0.00
Total		23,556.38	4,171,609.00 (E)			3,402,414.54	-23,556.38	769,194.46	0.00

Legislative Branch - continued:		Balance						Balance	
		Forward	Appropriation	Income	Transfers	Expenditures	Encumbrance	Lapsed	Available
Operations	1160								
Personal svcs. - nonclassi	016		220,485.00		2,500.00	219,249.24		3,735.76	0.00
Current expenses	020		3,000.00			643.39		2,356.61	0.00
Telecommunications	039		9,000.00			7,684.08		1,315.92	0.00
Benefits	060		154,438.00		(2,500.00)	137,342.46		14,595.54	0.00
Total		0.00	386,923.00		0.00	364,919.17		22,003.83	0.00
Joint Expenses	8677								
Current expenses	020		50,000.00			46,189.44		3,810.56	0.00
Rents-Leases Other Than State	022		10,000.00			7,805.87		2,194.13	0.00
Organizational Dues	026		128,000.00			126,761.00		1,239.00	0.00
Equipment New/Replacement	030		10,000.00					10,000.00	0.00
Consultants	046		3,000.00			695.14		2,304.86	0.00
Transfer to Other State Agencies	049		3,000.00			3,000.00		0.00	0.00
Legislative Printing & Binding	290		285,000.00			231,889.98		53,110.02	0.00
Joint Orientation	291		11,000.00			7,410.34		3,589.66	0.00
Total		0.00	500,000.00	0.00	0.00	423,751.77		76,248.23	0.00
Less estimated Revenue		1,378.49	-12,000.00	8,570.86 (A)					-2,050.65
Total		1,378.49	488,000.00	8,570.86	0.00	423,751.77		76,248.23	-2,050.65
Joint Legislative Historical Committee	8870-216	136,291.04	0.00			8,164.13			128,126.91
Flag Preservation Revenue	8870-3586			7,949.20					7,949.20
Total		136,291.04	0.00	7,949.20	0.00	8,164.13		0.00	136,076.11

Legislative Branch - continued:		Balance						Balance	
		Forward	Appropriation	Income	Transfers	Expenditures	Encumbrance	Lapsed	Available
Visitor's Center:	1229								
Personal svcs. - nonclassi	016		104,458.00		400.00	104,554.12		303.88	0.00
Current Expenses	020		750.00			370.21		379.79	0.00
Telecommunications	039		1,100.00			687.01		412.99	0.00
Benefits	060		55,861.00		(400.00)	50,407.88		5,053.12	0.00
Total			0.00		0.00	156,019.22		6,149.78	0.00
Visitor's Ctr. Revolving Fund (G)	1230								
Souvenir Purchases	106	8,375.62	0.00		38,138.00	39,412.92			7,100.70
Revenue	2016	38,138.00	0.00	53,591.89	(38,138.00)			10,718.38	42,873.51
Total		46,513.62	0.00	53,591.89 (B)	0.00	39,412.92		10,718.38	49,974.21
Legislative Accounting:	1166								
Personal svcs. - nonclassi	016		223,331.00		3,500.00	226,059.47		771.53	0.00
Current expenses	020		1,500.00			332.19		1,167.81	0.00
Telecommunications	039		900.00			672.65		227.35	0.00
Benefits	060		96,173.00		(3,500.00)	74,203.01		18,469.99	0.00
Total			0.00		0.00	301,267.32		20,636.68	0.00
General Court Info. Systems:	4654								
Personal svcs. - nonclassi	016		327,216.00 (E)			324,539.22		2,676.78	0.00
Current expenses	020		32,000.00			16,563.62		15,436.38	0.00
Technology - Hardware	037		35,000.00 (E)			23,816.72		11,183.28	0.00
Technology - Software	038		70,000.00 (E)			69,189.30		810.70	0.00
Telecommunications	039		2,500.00			1,531.35		968.65	0.00
Benefits	060		193,676.00			148,558.90		45,117.10	0.00
Total			0.00			584,199.11		76,192.89	0.00

Legislative Branch - continued:	Org/ Class	Balance							Balance Available
		Forward	Appropriation	Income	Transfers	Expenditures	Encumbrance	Lapsed	
Protective Services:	1164								
Personal srvs. - nonclassi	016		391,266.00		3,200.00	392,229.48		2,236.52	0.00
Current expenses	020		2,700.00			2,505.76		194.24	0.00
Telecommunications	039		4,300.00			3,271.66		1,028.34	0.00
Benefits	060		222,969.00		(3,200.00)	194,114.66		25,654.34	0.00
Total		0.00	621,235.00		0.00	592,121.56		29,113.44	0.00
Health Services:	1165								
Current expenses	020		1,500.00			1,342.08		157.92	0.00
Telecommunications	039		500.00			389.88		110.12	0.00
Personal srvs. - temp/app	050		69,708.00			39,222.90		30,485.10	0.00
Benefits	060		5,333.00			3,000.55		2,332.45	0.00
Total		0.00	77,041.00		0.00	43,955.41		33,085.59	0.00
Legislative Services:	1270								
Personal srvs. - nonclassi	016		1,701,618.00 (E)			1,630,436.11		71,181.89	0.00
Current expenses	020		19,300.00			18,815.20		484.80	0.00
Rents-Leases other than State	022		5,500.00			4,601.85		898.15	0.00
Telecommunications	039		7,000.00			6,299.99		700.01	0.00
Personal srvs. - temp/app	050		28,366.00			23,452.51		4,913.49	0.00
Benefits	060		731,654.00 (E)			665,421.51		66,232.49	0.00
Employee training	066		1,500.00			1,166.00		334.00	0.00
Printing and binding	290		8,000.00			6,822.16		1,177.84	0.00
Total		0.00	2,502,938.00			2,357,015.33		145,922.67	0.00
Less estimated revenue	009/2045	585.68	-1,000.00	585.00 ©					170.68
Total		585.68	2,501,938.00	585.00	0.00	2,357,015.33		145,922.67	170.68

Legislative Branch - continued:		Balance						Balance	
		Forward	Appropriation	Income	Transfers	Expenditures	Encumbrance	Lapsed	Available
Budget Division:	1221								
Personal svcs. - nonclassi	016		670,358.00		90,000.00	755,258.97		5,099.03	0.00
Current expenses	020		10,967.00			7,315.61		3,651.39	0.00
Rents-Leases other than State	022		6,000.00			5,909.00		91.00	0.00
Organizational Dues	026		100.00		1,500.00	1,025.00		575.00	0.00
Equipment	030		2,500.00		25,000.00	27,067.15		432.85	0.00
Telecommunications	039		3,033.00		1,000.00	3,043.27		989.73	0.00
Consultants	046		15,000.00			4,905.00		10,095.00	0.00
Personal svcs. - temp/app	050		48,055.00 (E)		(46,000.00)			2,055.00	0.00
Benefits	060		225,430.00		100,000.00	294,968.29		30,461.71	0.00
Employee training	066		3,500.00					3,500.00	0.00
In state travel	070		500.00			358.95		141.05	0.00
Out of state travel	080		100.00		3,500.00	3,255.94		344.06	0.00
Total		0.00	985,543.00		175,000.00	1,103,107.18		57,435.82	0.00

Legislative Budget Assistant:

Audit Division:	1222								
Personal svcs. - nonclassi	016		2,081,346.00 (E)		(175,000.00)	1,841,374.68		64,971.32	0.00
Current expenses	020		12,860.00		5,000.00	12,056.94		5,803.06	0.00
Rents-Leases other than State	022		100,000.00			97,524.00		2,476.00	0.00
Equipment	030		20,000.00			13,864.65		6,135.35	0.00
Telecommunications	039		2,040.00			1,881.67		158.33	0.00
Consultants	046		570,000.00		200,000.00	599,951.61		170,048.39	0.00
Personal svcs. - temp/app	050		16,268.00 (E)		(5,000.00)			11,268.00	0.00
Benefits	060		759,291.00 (E)		50,000.00	740,825.43		68,465.57	0.00
Employee training	066		40,000.00			13,001.03		26,998.97	0.00
In state travel	070		15,000.00			5,561.28		9,438.72	0.00
Out of state travel	080		100.00					100.00	0.00
Total		0.00	3,616,905.00	0.00	75,000.00	3,326,041.29		365,863.71	0.00
Less estimated revenue	006/1251	666,531.00	-488,205.00	630,697.00 (D)	(250,000.00)				559,023.00
Total		666,531.00	3,128,700.00	630,697.00	(175,000.00)	3,326,041.29		365,863.71	559,023.00

Total	893,576.21	16,177,708.00	1,013,933.95	0.00	15,144,259.86	-23,556.38	1,861,668.57	743,193.35
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- (A) Proceeds from the sale of legislative subscriptions, advance sheets, permanent journals, rosters, and royalties from Lexis Law Publishing.
- (B) Pursuant to Chapter 177:151 State House Visitor's Center Revolving Fund established - Proceeds from sales of souvenirs and expenditures from souvenir purchases transferred to V.C. Revolving account effective 10/18/06.
- (C) Proceeds from sales of photocopies and rulemaking registers.
- (D) Auditing fees
- (E) Pursuant to Chapter 143:12, laws of 2013 \$1,000,000 reduction. Senate \$180,000; House \$260,000; Joint \$155,000; OLS \$155,000; LBA \$250,000.

JOINT LEGISLATIVE FACILITIES COMMITTEE
 LEGISLATIVE BRANCH
 DETAIL OF BALANCE OF FUNDS AVAILABLE
 FISCAL YEAR 2016
 As of 07/31/2015

Legislative Branch:	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Senate:	1170							
Personal svcs. - members	011		3,410.00					3,410.00
Personal svcs. - nonclassi	016		866,777.00			165,252.25		701,524.75
Current expenses	020		22,154.00			1,391.91		20,762.09
Rents-Leases other than state	022		4,750.00			1,003.56		3,746.44
Equipment	030		500.00					500.00
Telecommunications	039		12,096.00					12,096.00
Legal svcs.& consultants	046		38,500.00			15,000.00		23,500.00
Personal svcs. - temp/app	050		52,459.00			95.88		52,363.12
Benefits	060		305,754.00			57,510.53		248,243.47
Employee training	066		50.00					50.00
Travel:								
In state	070		77,500.00			8,936.70		68,563.30
Out of state	080		5,750.00			239.52		5,510.48
President's discretionary fund	285		2,249.00					2,249.00
Contingency	289		0.00					0.00
Total		0.00	1,391,949.00	0.00	0.00	249,430.35	0.00	1,142,518.65

Legislative Branch - continued: House	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
	1180							
Personal srvs. - members	011		42,500.00					42,500.00
Personal srvs. - nonclassi	016		827,434.00			115,261.84		712,172.16
Current expenses	020		27,500.00			1,903.86		25,596.14
Rents-Leases Other than State	022		2,100.00			292.52		1,807.48
Maint. Other than bldg/grnd	024	23,556.38	3,000.00				23,556.38	3,000.00
Equipment	030		1,500.00					1,500.00
Telecommunications	039		15,000.00					15,000.00
Consultants	046		40,000.00					40,000.00
Personal srvs. - temp/app	050		131,113.00			9,017.75		122,095.25
Benefits	060		415,501.00			44,616.47		370,884.53
Employee training	066		150.00					150.00
Travel:								
In state	070		550,000.00			46,785.43		503,214.57
Out of state	080		50,000.00			2,241.70		47,758.30
Speaker's special fund	286		3,000.00			139.90		2,860.10
Democratic Leader's Account	287		1,750.00					1,750.00
Republican Leader's Account	288		1,750.00					1,750.00
Total		23,556.38	2,112,298.00	0.00	0.00	220,259.47	23,556.38	1,892,038.53

Legislative Branch - continued:		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Operations	1160							
Personal srvs. - nonclassi	016		109,921.00			20,283.78		89,637.22
Current expenses	020		1,500.00			20.50		1,479.50
Telecommunications	039		4,500.00			49.14		4,450.86
Benefits	060		77,172.00			10,069.55		67,102.45
Total		0.00	193,093.00		0.00	30,422.97	0.00	162,670.03
Joint Expenses	8677							
Current expenses	020		25,000.00			444.12		24,555.88
Rents-Leases Other Than State	022		5,000.00			928.81		4,071.19
Organizational Dues	026		64,000.00			64,000.00		0.00
Equipment New/Replacement	030		5,000.00			498.00		4,502.00
Consultants	046		1,500.00					1,500.00
Transfer to Other State Agencies	049		1,500.00					1,500.00
Legislative Printing & Binding	290		142,500.00			8,889.30		133,610.70
Joint Orientation	291		5,500.00					5,500.00
Total		0.00	250,000.00	0.00	0.00	74,760.23	0.00	175,239.77
Less estimated Revenue		-2,050.65	-6,000.00	251.52	(A)			-7,799.13
Total		-2,050.65	244,000.00	251.52	0.00	74,760.23	0.00	167,440.64
Joint Legislative Historical Committee	8870-216	128,126.91	10,000.00			1,700.00		136,426.91
Flag Preservation Revenue	8870-3586	7,949.20						7,949.20
Total		136,076.11	10,000.00	0.00	0.00	1,700.00	0.00	144,376.11

Legislative Branch - continued:		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Visitor's Center:		1229						
Personal srvs. - nonclassi	016		49,983.00			8,160.04		41,822.96
Current Expenses	020		375.00			18.65		356.35
Telecommunications	039		550.00					550.00
Benefits	060		27,486.00			4,005.63		23,480.37
Total		0.00	78,394.00		0.00	12,184.32	0.00	66,209.68
Visitor's Ctr. Revolving Fund (G)		1230						
Souvenir Purchases	106	7,100.70	0.00			466.00		6,634.70
Revenue	2016	42,873.51	0.00	2,741.69	(B)			45,615.20
Total		49,974.21	0.00	2,741.69	0.00	466.00	0.00	52,249.90
Legislative Accounting:		1166						
Personal srvs. - nonclassi	016		103,792.00			16,992.00		86,800.00
Current expenses	020		750.00					750.00
Telecommunications	039		450.00					450.00
Benefits	060		46,930.00			5,801.91		41,128.09
Total		0.00	151,922.00		0.00	22,793.91	0.00	129,128.09
General Court Info. Systems:		4654						
Personal srvs. - nonclassi	016		194,372.00			25,722.04		168,649.96
Current expenses	020		16,000.00			1,011.10		14,988.90
Technology - Hardware	037		40,000.00			1,756.72		38,243.28
Technology - Software	038		45,000.00			168.97	0.00	44,831.03
Telecommunications	039		1,250.00					1,250.00
Benefits	060		94,264.00			13,286.39		80,977.61
Total		0.00	390,886.00		0.00	41,945.22	0.00	348,940.78

Legislative Branch - continued:	Org/ Class	Balance			Transfers	Expenditures	Encumb rances	Balance Available
		Forward	Appropriation	Income				
Protective Services:	1164							
Personal srvs. - nonclassi	016		187,552.00			30,636.04		156,915.96
Current expenses	020		1,350.00					1,350.00
Telecommunications	039		2,150.00			4.45		2,145.55
Benefits	060		110,213.00			15,394.57		94,818.43
Total		0.00	301,265.00		0.00	46,035.06	0.00	255,229.94
Health Services:	1165							
Current expenses	020		750.00			49.25		700.75
Telecommunications	039		250.00					250.00
Personal srvs. - temp/app	050		29,927.00			3,018.73		26,908.27
Benefits	060		2,289.00			230.93		2,058.07
Total		0.00	33,216.00		0.00	3,298.91	0.00	29,917.09
Legislative Services:	1270							
Personal srvs. - nonclassi	016		796,654.00			125,092.68		671,561.32
Current expenses	020		9,650.00			1,217.28		8,432.72
Rents-Leases other than State	022		2,750.00			406.17		2,343.83
Telecommunications	039		3,500.00					3,500.00
Personal srvs. - temp/app	050		12,500.00			2,628.57		9,871.43
Benefits	060		399,547.00			52,453.67		347,093.33
Employee training	066		750.00					750.00
Printing and binding	290		4,000.00			79.77	0.00	3,920.23
Total		0.00	1,229,351.00		0.00	181,878.14	0.00	1,047,472.86
Less estimated revenue	009/2045	170.68	-500.00	195.00	©			-134.32
Total		170.68	1,228,851.00	195.00 ©	0.00	181,878.14	0.00	1,047,338.54

Legislative Branch - continued:		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Budget Division:	1221							
Personal svcs. - nonclassi	016		318,734.00			65,221.33		253,512.67
Current expenses	020		5,484.00			56.96		5,427.04
Rents-Leases other than State	022		3,000.00			422.75		2,577.25
Organizational Dues	026		50.00					50.00
Equipment	030		1,250.00					1,250.00
Telecommunications	039		1,516.00					1,516.00
Consultants	046		7,500.00			188.00		7,312.00
Personal svcs. - temp/app	050		44,027.00					44,027.00
Benefits	060		109,462.00			25,651.03		83,810.97
Employee training	066		1,750.00					1,750.00
In state travel	070		250.00					250.00
Out of state travel	080		50.00					50.00
Total		0.00	493,073.00		0.00	91,540.07	0.00	401,532.93

Legislative Budget Assistant:

Audit Division:	1222							
Personal svcs. - nonclassi	016		1,038,075.00			144,876.12		893,198.88
Current expenses	020		6,430.00			304.37		6,125.63
Rents-Leases other than State	022		50,000.00			48,762.00		1,238.00
Equipment	030		10,000.00					10,000.00
Telecommunications	039		1,020.00					1,020.00
Consultants	046		285,000.00					285,000.00
Personal svcs. - temp/app	050		24,974.00					24,974.00
Benefits	060		407,302.00			56,301.17		351,000.83
Employee training	066		20,000.00			130.00		19,870.00
In state travel	070		7,500.00			174.96		7,325.04
Out of state travel	080		50.00					50.00
Total		0.00	1,850,351.00	0.00	0.00	250,548.62	0.00	1,599,802.38
Less estimated revenue	006/1251	559,023.00	-244,102.00			(D)		314,921.00
Total		559,023.00	1,606,249.00	0.00	0.00	250,548.62	0.00	1,914,723.38

Total		766,749.73	8,235,196.00	3,188.21	0.00	1,227,263.27	23,556.38	7,754,314.29
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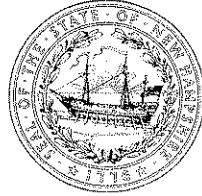
- (A) Proceeds from the sale of legislative subscriptions, advance sheets, permanent journals, and rosters, and royalties from Lexis Law Publishing.
- (B) Pursuant to Chapter 177:151 State House Visitor's Center Revolving Fund established - Proceeds from sales of souvenirs and expenditures from souvenir purchases transferred to V.C. Revolving account effective 10/18/06.
- (C) Proceeds from sales of photocopies and rulemaking registers.
- (D) Auditing fees

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 157

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

August 3, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

INFORMATIONAL ITEM

Dear Chairman Kurk:

Pursuant to RSA 21-M:5, VI, we submit the attached report of litigation expenditures for the period of July 1, 2014 through June 30, 2015.

If you should have any questions or need clarification, please let me know.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "J. Foster".

for Joseph A. Foster
Attorney General

Enc.
#1245749

Department of Justice - Litigation Expense by Object Code/Case
July 1, 2014 through June 30, 2015

FY 15 Budgeted appropriation	\$350,000
Brought Forward	\$373,145
Approved Fiscal - FIS# 15-043	<u>\$450,000</u>
Total appropriation FY 15	<u><u>\$1,173,145</u></u>

<u>Expense Description</u>	<u>Object Code</u>	<u>Amount</u>
Consultants - Litigation	0769	\$561,212
Court Services Comp	0770	\$105,913
Misc.	0768,0771,0772,0776,0778,0779,07	\$18,848
Hotel - Litigation	0773	\$7,162
Legal Consultants	0774	\$210,338
Transport of Things	0782	<u>\$10,086</u>
Total		<u>\$913,558</u>

<u>Case Name (over \$3,000 expended)</u>	<u>Bureau</u>	<u>Activity/number</u>	<u>Amount</u>
Amanda D fka Ellsworth, Barry, et al. v. N.H. Governor, et al.	Civil	201190148.3	\$143,122
Ludke, Leslie v Roger Sevigny et. Al	Civil	201191786	\$4,203
Northeast Rehabilitation Hospital v. Department of Revenue Administrat	Civil	201296709	\$28,800
Law Warehouses, Inc. v. NHSLC (Contract I)	Civil	2013102976	\$10,160
XTL-NH, Inc. v. NHSLC and EXEL, Inc.	Civil	2013103239	\$6,807
Hull, Dana v NH DHHS	Civil	2013105743	\$7,930
Fair Labor Standards Compliance	Civil	2014110846	\$63,536
St Joseph Hospital v Dept of Revenue	Civil	2014111657	\$53,545
U.S. DOJ Investigation of 911	Civil	2015113776	\$97,811
F. Cancer Fund of America Petition for Injunction (Multi-State Lit	Charitable Trusts	2014110918	\$4,853
State v. Philip Morris, USA, R.J. Reynolds, Inc. and Lorillard Tobacco	Consumer	200648912/20CP0114	\$44,609
Dartmouth Hitchcock Medical Center/Cheshire Medical Center Merger	Consumer	2014108488	\$72,426
Mazzaglia, Seth; State v.	Criminal	2012100859	\$12,573
Albro, Joyce v. NH Veterans Home	Criminal	2013104269	\$4,500
Riley, Kasey; State v.	Criminal	2013104472	\$10,292
Grant, William & Eleanor; Deaths of	Criminal	2013104727	\$3,580
Robarge, James; State v.	Criminal	2013104926	\$40,192
Dellinger, Robert; State v.	Criminal	2013107166	\$14,144
Bickham, Sedic, The Estate of v State	Criminal	2014108092	\$7,200
Richardson, Mark; State v.	Criminal	2014108993	\$10,295
Welch, Patina; State v.	Criminal	2014109886	\$12,050
Kibby, Nathaniel; State v.	Criminal	2014110499	\$49,009
Petition of the State of New Hampshire (McKenna)	Criminal	2015112664	\$3,272
Breest, Robert; State v.	Criminal	2013103959	\$9,141
Carter, Shawn; State v.	Criminal	2013104290	\$10,550
Richardson, Mark; State v.	Criminal	2014108993	\$6,545
Brown, Robert C. v State	Environmental	200975320	\$14,529
Hooksett Wastewater	Environmental	201190022	\$70,824
MOU PUC Refund Litigation	Environmental	2014111644	<u>\$23,156</u>
			\$839,656
Total Cases/payments under \$3,000			<u>73,902</u>
Total Expended for FY 2015			<u>\$913,558</u>

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 158

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

August 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

INFORMATIONAL ITEM

Pursuant to RSA 318-B:17-c, II, we submit the attached report on the grants provided by the Drug Forfeiture Fund. This fund consists of both judicial and administrative forfeitures of money and property seized in drug-related activity. In accordance with RSA 318-B:17-b, after deductions, 10% of the money is forwarded to the Division of Drug and Alcohol Prevention and Recovery, 45% is returned to the agency responsible for the seizure, and the balance is deposited into the Drug Forfeiture Fund. Grants are then made from this fund to agencies for drug use prevention and enforcement purposes.

In accordance with our statutory responsibilities, we respectfully submit this annual report on the Drug Forfeiture Fund.

Respectfully submitted,


Joseph A. Foster
Attorney General

JAF/
#1245750

State Drug Forfeiture Fund
July 1, 2014 through June 30, 2015

<u>Beginning</u> <u>Balance</u>	<u>Revenue</u> <u>Received</u>	<u>Expended</u>	<u>Balance</u> <u>6/30/2015</u>
\$527,822	\$109,446	\$126,918	\$510,350

Expenditures consist of new equipment purchased for the NH Attorney General's Drug Task Force totaling \$100,567 and disbursements to law enforcement agencies for drug forfeiture cases in the amount of \$25,684, plus misc. items of \$667.



Over \$1.6 billion to education

GOVERNOR Margaret Wood Hassan
CHAIRMAN Debra M. Douglas
COMMISSIONER Paul J. Holloway
COMMISSIONER David L. Gelinis
EXECUTIVE DIRECTOR Charles R. McIntyre

July 9, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

INFORMATIONAL ITEM

Pursuant to RSA 284:21-f, the Lottery Commission is reporting the transfers made in FY 2015 which were 100% Lottery funds.

Attached are the explanations of the transfers.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Charles R. McIntyre".

Charles R. McIntyre
Executive Director

CRM:dc
Attachment





Over \$1.6 billion to education

GOVERNOR Margaret Wood Hassan
CHAIRMAN Debra M. Douglas
COMMISSIONER Paul J. Holloway
COMMISSIONER David L. Gelinis
EXECUTIVE DIRECTOR Charles R. McIntyre

April 17, 2015

Timothy Hartshorn
Administrator IV
Bureau of Accounts
State House Annex
Concord, NH 03301

REQUESTED ACTION

- 1. Pursuant to RSA 284:21-F, Disbursements, authorize the NH Lottery Commission to transfer \$2646 into account 10290000 500147 from class 20 10290000-500244 and direct the Director, Division of Accounting Services, to adjust the budget accordingly. Source of funds: 100% Lottery Funds.

Class	Description	FY15 Modified Appropriation	Requested Change	New Total
17	FT Employees Special Payment	2,646.00	2,646.00	74,000.00

EXPLANATION

This transfer is to address a shortfall by the Lottery Commission in Class 17, Full time employee special payment. There is a shortfall in Class 17 because the Lottery Commission experienced a tremendous growth of sales in this quarter on our instant tickets. This quarter sales have gone up 10.22% and that has earned more incentive than planned on.

Justification:

The Lottery Commission experienced higher than expected sales in the third quarter which resulted in our sales representatives earning more incentive than originally planned for.

- A. Does this transfer involve continuing programs or one-time projects?
This will be a continuing program.
- B. Is this transfer required to maintain existing program levels or will it increase the program level?

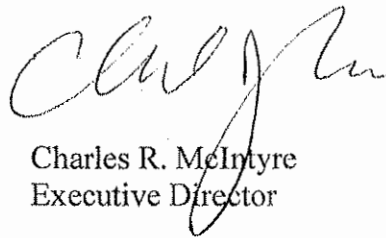


This transfer is required to maintain existing program levels.

- C. Cite any requirements which make this program mandatory.
None
- D. Identify the source of funds on all accounts listed on this transfer?
The source of funds is 100% Lottery funds.
- E. Will there be any effect on revenue as a result of this transfer?
There is no anticipated effect on revenue as a result of this transfer.
- F. Are funds expected to lapse if this transfer is not approved?
It is anticipated that some funds will lapse whether or not this transfer is approved.
- G. Are personnel services involved?
Yes

The NH Lottery Commission has conducted a review of our operations and expenses and this transfer will ensure available funds are used to support mission-critical projects at our agency.

Respectfully Submitted,



Charles R. McIntyre
Executive Director

Cc: Tammy Vaillancourt
Kassie Strong



Over \$1 billion to education

GOVERNOR John H. Lynch
CHAIRMAN Debra M. Douglas
COMMISSIONER Paul J. Holloway
COMMISSIONER Doug Scamman
EXECUTIVE DIRECTOR Charles R. McIntyre

January 14, 2015

Timothy Hartshorn
Administrator IV
Bureau of Accounts
State House Annex
Concord, NH 03301

REQUESTED ACTION

- 1. Pursuant to RSA 284:21-F, Disbursements, authorize the NH Lottery Commission to transfer \$88,800 into account 10290000 500106, 500109, 500128, 500126, and 500147 from class 20 10290000-500244 and direct the Director, Division of Accounting Services, to adjust the budget accordingly. Source of funds: 100% Lottery Funds.

Class	Description	FY15 Modified Appropriation	Requested Change	New Total
18	Overtime Pay	9,500.00	18,225.00	27,725.00
50	Personal Serv Temp	198,267.00	45,250.00	243,517.00
17	FT Employees Special Payment	46,354.00	25,000.00	71,354.00
12	Salary of Commissioners	19,328.00	500.00	19,828.00
11	Salary of Commissioner Chair	17,169.00	800.00	17,969.00
20	Current Expenses	585,375.00	-89,775.00	495,600.00

EXPLANATION

This transfer is to address a shortfall by the Lottery Commission in Class 11, 12, 17, 18, and 50, personal services. There is a shortfall in Class 50 because the Lottery Commission used more part-time employees than budgeted for. There is a small shortfall in classes 11 and 12 because of the 2.25% salary increase, effective 1/9/15. There is a shortfall in class 18 because our ICS employees are now paid out of overtime instead of class 10, with NH First system. There is a shortfall in 17 because our field staff increased sales so much this fiscal year that they have earned more incentive than planned on.



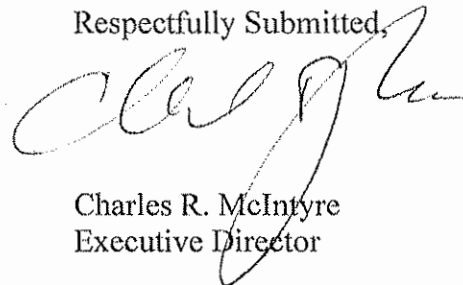
Justification:

Because the Lottery takes initiative to save as much money for the state's bottom line we have hired and used part-time employees more this fiscal year and saved money on benefits. We need an increase of staff to support our high percentage of increased sales. We have increased our sales significantly which leads to more incentives being paid to our sales staff. NH First pays our full-time ICS employees that work part-time at night through class 18 instead of 10 as budgeted. The 2.25% salary increase, effective 1/9/15 will make us slightly short in classes 11 and 12, our Commissioners and our Commission chairperson.

- A. Does this transfer involve continuing programs or one-time projects?
This will be a continuing program.
- B. Is this transfer required to maintain existing program levels or will it increase the program level?
This transfer is required to maintain existing program levels.
- C. Cite any requirements which make this program mandatory.
None
- D. Identify the source of funds on all accounts listed on this transfer?
The source of funds is 100% Lottery funds.
- E. Will there be any effect on revenue as a result of this transfer?
There is no anticipated effect on revenue as a result of this transfer.
- F. Are funds expected to lapse if this transfer is not approved?
It is anticipated that some funds will lapse whether or not this transfer is approved.
- G. Are personnel services involved?
Yes

The NH Lottery Commission has conducted a review of our operations and expenses and this transfer will ensure available funds are used to support mission-critical projects at our agency.

Respectfully Submitted,



Charles R. McIntyre
Executive Director

Cc: Tammy Vaillancourt
Kassie Strong



GOVERNOR Margaret Wood Hassan
CHAIRMAN Debra M. Douglas
COMMISSIONER Paul J. Holloway
COMMISSIONER David L. Gelinias
EXECUTIVE DIRECTOR Charles R. McIntyre

July 20, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

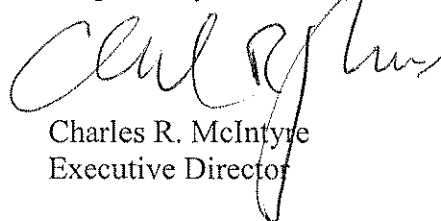
INFORMATIONAL ITEM

Pursuant to Chapter 144:15, Laws of 2013, requesting that the Lottery Commission shall report quarterly to the Fiscal Committee of the General Court on the status of the incentive employee recognition program for the sale of instant tickets.

- Fiscal year 2015 fourth quarter sales increased \$13,808,133 compared to the fourth fiscal quarter of 2014.

Please find attached the data sheet detailing the incentive recognition program for the fiscal period listed above.

Respectfully submitted,



Charles R. McIntyre
Executive Director

CRM:dc
Attachment





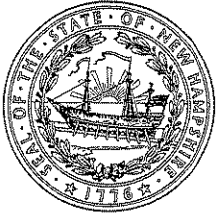
Over \$1.7 billion to education

Fiscal Year 2015 Rep Bonus

Rep #	Rep Name	FY 15 Q4 Instant Sales	FY 14 Q4 Instant Sales	Goal 3% for Bonus or 4.8% for Double Bonus	Bonus Amount	Additional Instant Sales
202	Jenn Rouse	\$17,235,372	\$16,264,022	5.97%	\$2,000	\$971,350
203	Gary Rivard**	5,490,490	5,350,979	2.61%	\$0	\$139,511
204	Julie O'Brien	22,765,245	20,536,069	10.85%	\$2,000	\$2,229,176
205	Eileen Gromelski	14,310,645	13,606,122	5.18%	\$2,000	\$704,523
206	Paul Sullivan	17,476,550	16,220,385	7.74%	\$2,000	\$1,256,165
207	Adam Hopkins**	18,353,130	17,454,348	5.15%	\$0	\$898,782
208	Diane Floyd	19,176,594	17,497,441	9.60%	\$2,000	\$1,679,153
209	Karen O'Brien	18,081,923	17,210,663	5.06%	\$2,000	\$871,260
210	Laura Towle	18,222,868	17,414,351	4.64%	\$1,000	\$808,517
211	James Downey	19,992,048	18,490,792	8.12%	\$2,000	\$1,501,256
212	Heather Stone	19,462,680	17,548,824	10.91%	\$2,000	\$1,913,856
213	Tom Rues	17,911,516	17,076,932	4.89%	\$2,000	\$834,584
	TOTAL	208,479,061	194,670,928	7.09%	\$19,000	\$13,808,133

**Gary Eligible for Bonus FY 16 Q3

**Adam Eligible for Bonus FY 17 Q1



STATE OF NEW HAMPSHIRE
 DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
 DIVISION OF ECONOMIC DEVELOPMENT
 172 Pembroke Road P.O. Box 1856 Concord, New Hampshire 03302-1856

603-271-2341
 FAX: 603-271-6784
 www.nheconomy.com

July 27, 2015

The Honorable Neal Kurk, Chairman
 Fiscal Committee of the NH General Court
 State House, Room 102
 107 North Main Street
 Concord, New Hampshire 03301

INFORMATIONAL ITEM

Pursuant to RSA 162-N:9 the following is a report detailing activity for the Economic Revitalization Zones (ERZ) Tax Credit Program, as administered by the Department of Resources and Economic Development, for calendar year 2014.

Several new ERZs were applied for and received designation. A list of all ERZs in the state is attached.

- In 2014, a total of 13 new ERZs in 8 different communities were established. These include Antrim (1), Derry (1), Greenland (1), Lancaster (3), Manchester (2), New Boston (1), Rochester (2) and Stratham (2).
- The ERZ Tax Credit was actively utilized in 2014. Much of the interest is the result of promotional efforts of department staff and effective marketing on the part of the communities with ERZs. For 2014, 36 companies were approved for tax credits totaling \$825,000.00, of which \$645,108 could be used against 2014 business taxes. This is based on investments approximating \$47,186,846 which amounts to \$57.20 for every \$1.00 of tax credits awarded. The projects resulted in the creation of 699 jobs, with \$1,180 in tax credits awarded for every job.
- The 36 applicants requested a total of \$1,508,786 in tax credits. According to statute, \$825,000 is available for disbursement, thus applicants received a proportional share of the available amount (54.7%) for each award. Of the 36 applicants, one requested the maximum credit of \$240,000.
- In calendar year 2015, through July 1st, a total of 8 new ERZs were established in 2 different communities: Barrington (7) and Milton (1).

As per a 2014 performance audit of the division, we are in the process of drafting administrative rules for the ERZ program, and anticipate completion of this process by year's end. If you have any questions regarding this information, please feel free to contact Carmen Lorentz, Director of the Division of Economic Development.

Sincerely,

Jeffrey J. Rose
 Commissioner



TDD ACCESS: RELAY NH 1-800-735-2964 recycled paper

DIVISION OF ECONOMIC DEVELOPMENT 603-271-2341

Approved New Hampshire Economic Revitalization (ERZ) Zones NH Division of Economic Development

(Revised 6/9/2015 61 Towns, 172 Zones)

Amherst

- 1) Route 101 ERZ (approved 9/12)
- 2) Route 101A ERZ (approved 9/12)
- 3) The Meeting Place ERZ (approved 9/12)
- 4) LaBelle Winery ERZ (approved 9/12)

Contact: 603-673-6041

Antrim

- 1) Antrim Mills Development, Map 1A Lot 170-1, Main Street and High Street (approved 2/06)
- 2) 128 Concord Street (Approved 9/14)

Contact: 603-588-6785

Auburn

- 1) Wellington Business Park (approved 9/09)

Contact: 603-483-5052 x111

Barnstead

- 1) 72 South Barnstead Road (approved 5/11)
- 2) Suncook River Realty Trust LLC, located at 27 Depot Street (approved 5/11)

Contact: 603-269-4017 x104

Barrington

- 1) Route 9 East and Route 125 South (approved 6/15)
- 2) Route 9 West and Route 125 North (approved 6/15)
- 3) Route 9 West and Route 125 South (approved 6/15)
- 4) Route 125 North and Tolend Road (approved 6/15)
- 5) Route 125 South and Pierce Road (approved 6/15)
- 6) Route 4 West (approved 6/15)
- 7) Redemption Road (approved 6/15)

Contact: 603-664-0195

Bedford

- 1) South River ERZ (approved 6/13)
- 2) Route 114 ERZ (approved 6/13)
- 3) Route 101 East ERZ (approved 6/13)
- 4) Route 101 Central ERZ (approved 6/13)
- 5) Route 101 West ERZ (approved 6/13)

Contact: 603-472-5242

Berlin

- 1a) East Milan Road Sites: Maynesboro Industrial Park (approved 12/04)
- 1b) East Milan Road Sites: Potential land for industrial park expansion (approved 12/04)
- 2) Downtown Berlin (approved 12/04)
- 3a) Route 110 Sites of the former Bass Shoe Building and adjacent properties (approved 12/04)
- 3b) 25 acres of the Berlin Industrial Development & Park Authority property (approved 12/04)

Contact: 603-752-7532

Bow

- 1) Dow Road Limited Industrial Area (approved 3/12)
- 2) Bow Business Zone (approved 6/12)
- 3) South Street Area (approved 4/13)

Contact: 603-228-1187 x10

Bristol

- 1) Town of Bristol ERZ 1 (approved 9/12)
- 2) Town of Bristol ERZ 2 (approved 9/12)

Contact: 603-744-3354 x14

Chesterfield*Contact: 603-363-4624*

- 1) Chesterfield Industrial Park (approved 4/10)

Claremont*Contact: 603-542-7002*

- 1) The Syd Clarke, Ashley Landing, and Riverbend Industrial Parks, and the River Road Tax Incremental Finance District (approved 6-05)
- 2) Downtown TIF/Crop Zone (approved 6-05)
- 3) Claremont Airport (approved 7-09)
- 4) Sugar River (approved 7-09)
- 5) Twistback Road (approved 7-09)

Colebrook*Contact: 603-237-4142 or 4070*

- 1) The Colebrook Business District (approved 3/05)

Concord

- 1) Penacook Tax Increment Finance District (approved 8/11)
- 2) Whitney Road Corridor (approved 2/13)

*Contact: 603-225-8595***Conway**

- 1) 1571 East Main Street (approved 3/07)
- 2) Technology Village (approved 7/10)

*Contact: 603-447-3811***Derry**

- 1.) Downtown (approved 8/10)
- 2.) Route 28/Manchester Rd (approved 8/10)
- 3.) ERZ Area E, Route 28 Manchester Road (approved 5/14)

*Contact: 603-432-6100 x5403***Dover**

- 1) Central Business District (approved 6/09)
- 2) Industrial Park (approved 6/09)
- 3) Locust Street (approved 6/09)
- 4) Mast Road Site (approved 12/10)
- 5) Sixth Street Site (approved 12/10)

*Contact: 603-516-6008***Durham**

- 1) Durham Downtown Economic Revitalization Zone (approved 5/10)

*Contact: 603-868-5571***Exeter**

- 1) Epping Road Corridor (approved 2/12)

*Contact: 603-778-0591***Farmington**

- 1) 56 Davidson Rd, the former Collins Aikman site (approved 1/10)
- 2) Sarah Greenfield industrial Park (approved 1/3/13)
- 3) Main St. from Elm St. to Blouin St.; Central St. from Main St. to Lilac St. (approved 1/3/13)

*Contact: 603-755-2774 x37***Franklin**

- 1) Franklin Industrial Park (Off of South Main Street) (approved 6/09)

*Contact: 603-934-3900***Gilford**

- 1) State Route 11-A at 3 and 6 Sawmill Road (approved 2/06)
- 2) Lakes Business Park, Phase 2 (approved 7/11)

*Contact: 603-527-4700***Goffstown**

- 1) Gentle Slopes Industrial Park (approved 4/10)
- 2) Tatrod Drive Industrial Park (approved 4/10)
- 3) Pond View Industrial Park (approved 4/10)
- 4) Benchmark Site (approved 4/10)

Contact: 603-497-8990 x118

- Gorham** *Contact: 603-466-3322*
 1) Glen Road Industrial Park (approved 4/08)
 2) Lancaster Rd/B-G Rd/Fraser Papers District (approved 4/08)
- Greenland** *Contact: 603-431-7111*
 1) Route 33 Area (approved 1/14)
- Haverhill** *Contact: 603-787-6800*
 1) North Haverhill Water & Light District (approved 12/04)
 2) Woodsville Fire District (approved 12/04)
- Henniker** *Contact: 603-428-3221 x225*
 1) Heavy Commercial Zone –CH, Old Concord Road (approved 7/12)
- Hinsdale** *Contact: 603-336-5710*
 1) Roadside Commercial District (approved 8/06)
 2) Commercial/Industrial District and Business District (approved 8/06)
- Hooksett** *Contact: 603-485-8471*
 1) Former Chinese Food Restaurant (approved 12/09)
 2) The Valley Industrial Park (approved 12/09)
 3) Exit 11 - W. River Rd., Hackett Hill Rd., and Cate Rd. (approved 12/09)
 4) Exit 10 – W. River Rd., Technology Dr., and Kimball Dr. (approved 12/09)
 5) Londonderry Turnpike – Londonderry Tpke., Eastpoint Dr., and Sutton Circle (approved 12/09)
- Hudson** *Contact: 603-886-6024*
 1) Clement Road Industrial Park (approved 11/08)
 2) Sagamore Industrial Park (approved 11/08)
 3) 267 Lowell Road (approved 4/13)
- Jaffrey** *Contact: 603-532-7880*
 1) Drumlin Industrial Park – Airport - Millipore (approved 6/06 & 12/04)
 2) Stone Arch Bridge Industrial Park (approved 12/04)
 3) 39 Webster St (approved 12/04)
 4) Elite Laundry Site, 4 Laundry Rd. (approved 8/13)
- Keene** *Contact: 603-357-9804*
 1) Black Brook Corporate Park (approved 11/06)
 2) Black Brook North Park and other adjacent properties (approved 11/06)
- Laconia** *Contact: 603-527-1270*
 1) Lakes Business Park (approved 8/11)
 2) O'Shea Industrial Park (approved 11/11)
 3) Downtown District (approved 5/12)
- Lancaster** *Contact: 603-788-3391*
 1) Bridge Street (approved 12/14)
 2) Central Business District (approved 12/14)
 3) North Main Street (approved 12/14)
- Lincoln** *Contact: 603-745-8527*
 1) Industrial Park/ Route 3 Corridor (approved 2/12)
- Londonderry** *Contact: 603-432-1100*
 1) Pettengill Road (approved 7/09)
 2) Route 28/Jack Bridge Road/Page Road (approved 7/12)
 3) Pettengill Road Expanded Area (approved 12/13)

Manchester*Contact: 603-624-6505*

- 1) Census Tracts 6, 14, 19, 25 & 26 (approved 12/04)
- 2) Census Tracts 4 and 5 (approved 3/05)
- 3) Census Tracts 2.01, 2.02, 3, 13, 15, 16, 17, 18, 20 & 21 (approved 3/09)
- 4) East Industrial Park Drive Area (approved 11/14)
- 5) Sundial Avenue (approved 11/14)

Merrimack*Contact: 603-424-2331*

- 1) 40 Continental Boulevard (approved 3/10)
- 2) 6, 8, 10-21 Continental Boulevard (approved 12/10)
- 3) 57-59 Daniel Webster Highway (approved 12/10)
- 4) 526 & 534 DW Highway and 6 William Street (approved 5/12)
- 5) 360 DW Highway (approved 5/12)

Milford*Contact: 603-249-0620*

- 1) Economic Revitalization Zone (approved 10/11)
- 2) Powers Street Economic Revitalization Zone (approved 12/11)

Milton*Contact: 603-652-4501*

- 1) Former Ray's Marine site and downtown area sites (approved 3/15)

Nashua*Contact: 603-589-3098*

- 1) Census Tracts 105, 106, 107, & 108 (approved 10/06)
- 2) Westwood Industrial Park, Tax Lots H-575, H-576, H-633, H-634 & H-645 (approved 12/07)
- 3) Spit Brook Rd (approved 10/09)
- 4) Southwood-Trafalgar (approved 10/09)
- 5) Northeastern Boulevard (approved 7/10)
- 6) Amherst Street (approved 7/10)
- 7) Perimeter Road (approved 7/11)
- 8) Southwood -Trafalgar, Tax Lots G-19, G-591, G-596, and G-597 (approved 2/13)

Newport*Contact: 603-863-6278*

- 1) North Main Street United Construction Site (approved 2/11)
- 2) Bald Mountain TIF District (approved 2/11)
- 3) Newport Mills (approved 2/11)
- 4) Arlington Sample Brook Property (approved 3/11)
- 5) Former Autoserve Dealership (approved 3/11)

New Boston*Contact: 603-487-2500*

- 1) Chestnut Hill Road, Map 15 Lot 32-5 (approved 12/14)

Newton*Contact: 603-382-4405 x14*

- 1) Puzzle Lane Industrial Park (approved 12-09)

Northfield*Contact: 603-286-7039*

- 1) Exit 19/Freudenberg (approved 6/13)
- 2) Route 140 (approved 6/13)
- 3) Scribner Road ERZ (approved 6/13)

Northumberland*Contact: 603-636-1450*

- 1) Commercial – Map U-01, U-02, U-03, U-07, R-03, R-05, R-06, R-08, R-18 (approved 3/06)
- 2) Light Industrial – Map R-03, R-04, R-15, R17 (approved 3/06)
- 3) Heavy Industrial – Map U-02, U-05 (approved 3/06)

Ossipee*Contact: 603-539-4181*

- 1) Route 16 Ossipee Corridor (approved 10/13)
- 2) Center Ossipee Village (approved 10/13)

Peterborough

Contact: 603-924-8000 x116

- 1) North Route 202 Business/Industrial District (approved 4/09)
- 2) South Route 202 Business/Industrial District (approved 4/09)

Pittsfield

Contact: 603-435-6773

- 1) Downtown Pittsfield (approved 9/09)
- 2) Route 107 Corridor (approved 5/10)

Plaistow

Contact: 603-382-5200 x13

- 1) Town of Plaistow Economic Revitalization Zone (approved 9/09)

Portsmouth

Contact: 603-610-7220

- 1) High Liner Avenue (approved 9/09)
- 2) Pease Tradeport (approved 10/09)
- 3) Commerce Way Business Park (approved 7/10)
- 4) Heritage-Constitution Industrial Park (approved 7/12)

Raymond*Contact 603-895-4735*

- 1) Exit 4 (approved 3/11)
- 2) Exit 5 (approved 3/11)
- 3) Southern Area of Old Southside Road (approved 3/11)
- 4) Northern Area of Old Southside Road (approved 3/11)
- 5) Chester Road (approved 3/11)

Rochester*Contact: 603-335-7522*

- 1) Granite State Business Park, Airport Dr. (approved 10/08)
- 2) Granite Ridge Development District (approved 10/12)
- 3) Cocheco Revitalization Zone (approved 2/13)
- 4) Milton Road ERZ (approved 9/13)
- 5) Downtown Revitalization Zone (approved 4/30/14)
- 6) Gonic Mill Revitalization Zone (approved 4/30/14)

Salem*Contact: 603-890-2007*

- 1) Former Cisco/Celestica Plant, 9 Northeastern Boulevard (approved 11/10)
- 2) Former Macy's Location at the Mall at Rockingham Park (approved 3/11)
- 3) Depot Intersection Redevelopment Northwest, Tuscan Village (approved 5/11)
- 4) Keewaydin Drive Extension (approved 5/11)
- 5) West Side I-93 Exit 2 (approved 6/13)

Seabrook*Contact: 603-474-3311*

- 1) Commercial Zone 2 – East (approved 1/13)
- 2) Commercial Zone 2 – West (approved 1/13)
- 3) Commercial Zone 3 – East (approved 1/13)
- 4) Commercial Zone 3 – West (approved 1/13)

Somersworth*Contact: 603-692-9502*

- 1) Business and Historic Mill District (approved 5/09)
- 2) Route 108 Transportation Corridor (approved 5/09)

Stratford*Contact: 603-922-5533*

- 1) 43 and 127 Washburn Rd (approved 12/06)

Stratham*Contact: 603-772-7391*

- 1) Stratham Flexible / Mixed Use Development District (approved 12/14)
- 2) Stratham Industrial Zoning District (approved 12/14)

Swansey*Contact: 603-352-7411*

- 1) Swansey Revenue Development District / Economic Revitalization Zone (SRDD/ERZ)
(approved 2/10)
- 2) Homestead Woolen Mills District / Economic Revitalization Zone (HWMD/ERZ)
(approved 2/10)

Walpole*Contact: 603-756-3672*

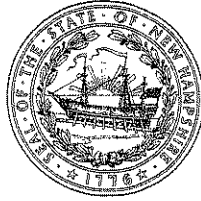
- 1) Industrial Park Road Zone (approved 12/09)
- 2) Meadows Along Main Commercial / Industrial Zone (approved 12/09)
- 3) Whitcomb-Lane Construction Zone (approved 12/09)
- 4) Walpole Downtown Commercial Zone (approved 12/09)

Winchester*Contact: 603-239-4951*

- 1) AC Lawrence Leather Tannery (approved 2/06)

Wolfeboro*Contact: 603-569-8161*

- 1) Wolfeboro Business Park (approved 9/12)
- 2) ERZ #2 – Center Street (Route 28) Lechner Street and Pine Street (approved 6/13)



William F. Dwyer
STATE TREASURER

**THE STATE OF NEW HAMPSHIRE
STATE TREASURY**

25 CAPITOL STREET, ROOM 121
CONCORD, N.H. 03301
603-271-2621
FAX 603-271-3922
E-mail: bdwyer@treasury.state.nh.us
TDD Access: Relay NH 1-800-735-2964

August 5, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

INFORMATIONAL ITEM

Pursuant to RSA 6-B:2,VII, it is hereby requested that you accept the attached quarterly report of the New Hampshire State Treasury for the period ended June 30, 2015.

EXPLANATION

I am pleased to present the second quarter (calendar year 2015) Quarterly Balance Report, summarizing the total amount of funds in the State Treasury, the amount belonging to each separate fund, the funds on deposit, investments held, and the interest income earned thereon. The mission of the New Hampshire State Treasury is to deliver professional financial management services to state government, the legislature, and New Hampshire citizens.

Sincerely,

William F. Dwyer
State Treasurer

CC: Joseph B. Bouchard, Assistant Commissioner, Department of Administrative Services

Attachments: Treasury Quarterly Balance Report – June 30, 2015



Treasury Quarterly Balance Report

JUNE 30, 2015 (Q4, FY15)

RSA 6-B:2 (VII)

General Ledger by Separate Fund

General Fund	\$	413,836,044
Liquor	\$	2,722,883
Lottery	\$	5,140,204
Racing/Gaming	\$	435,950
Highway	\$	113,886,983
Turnpike	\$	156,998,519
DES-SRF	\$	253,053,578
Fish & Game	\$	5,486,807
Capital Fund	\$	61,035,554
Education	\$	(89,383,585)
Employee Benefit	\$	45,539,984
<u>Sub-total</u>	\$	<u>968,752,923</u>
T&A*	\$	36,023,185
PEAP*	\$	<u>21,342,535</u>
<u>TOTAL</u>	\$	<u>1,026,118,643</u> **

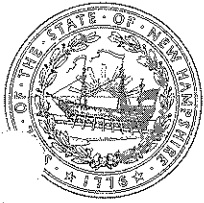
Deposits and Investments Held

Funds on Deposit	\$477,500,210
Investments Held	\$543,038,623
<u>TOTAL</u>	<u>\$ 1,020,538,832</u> **
<u>Net Interest Income</u>	\$ 178,998.62
<u>FY15 Interest Income</u>	\$ 973,301.23

*Trust and Agency Accounts (T&A) and Pre-escheat Abandoned Property (PEAP) securities are not reported in the General Ledger.

** The variance between the two totals results from timing differences between accounting entries and actual cash transactions, as well as the reporting of certain enterprise funds. The State Treasury reconciles General Ledger to Bank Statements.

S://CashManagement/QuaterlyReport/RSA6-B:2



State of New Hampshire

FIS 15 182

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

VICKI V. QUIRAM
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

August 26, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Dear Representative Kurk:

INFORMATIONAL ITEM

In accordance with Chapter 319:32, Laws of 2003, State Employee Health Insurance; Administrative Services Reporting, I respectfully submit this report regarding the State's self-funded Health Benefits Program (HBP).

A. Program Activity:

Cash Basis: The beginning Cumulative Cash Fund Balance as of July 1, 2015 was \$51.2m. To this balance subtract \$12.4m, which represents Revenue less Expenditures from July 1, 2015 through July 31, 2015. The Ending Cumulative Cash Fund Balance at July 31, 2015 is \$38.8m.

	<i>FY 2016</i> <i>(000's)</i>
Cumulative Cash Fund Balance (<i>July 1, 2015</i>).....	<u>\$51,239</u>
Plus: Program Revenue Collected.....	<u>\$13,177</u>
Less: Total Expenditures	<u>\$ 25,628</u>
Revenue less Expenditures (<i>July 1 – July 31, 2015</i>).....	<u>\$(12,451)</u>
Cumulative Cash Fund Balance (<i>July 31, 2015</i>).....	<u>\$ 38,788</u>

Source: NH FIRST

Accrual Basis: The above amounts are cash basis only and do not take into consideration IBNR, statutory reserve, accounts payable or receivables. To arrive at a true fund balance as of July 31, 2015, we must start with the Cumulative Cash Fund Balance as of that date and subtract the IBNR (Incurred but not Reported) reserve and the statutory reserve. Then we must add outstanding receivables earned and realized or realizable and payables incurred as of July 31, 2015.

Fiscal Committee of the General Court
 August 26, 2015

	<i>FY 2016</i>
	<i>(000's)</i>
Cumulative Cash Fund Balance <i>(July 31, 2015)</i>	<u>\$ 38,788</u>
Add: Program Revenue Earned <i>(estimate as of July 31, 2015)</i>	\$ 7,702
Less: Program Expenses Incurred <i>(estimate as of July 31, 2015)</i>	\$ 5,722
Less: IBNR & Statutory Reserve*.....	<u>\$ 30,275</u>
<i>*FY 2015 IBNR actuarial estimate will be received in late August</i>	
Cumulative Accrual Fund Balance <i>(July 31, 2015)</i>	<u>\$ 10,493</u>

As indicated above, the Program's cumulative accrual fund balance as of July 31, 2015 is \$10.5m and encompasses surplus for retirees and actives.

It is important to note that working rates are set on a calendar year basis based on an average rate for the midpoint of the year. Accordingly, working rates are expected to generate a surplus at the beginning of the calendar year that may be spent down in the last six months of the year.

- B. Dependent Eligibility Verification Project (DEVP): DAS contracted with Secova, Inc. to verify the current eligibility of all dependents participating in the active employee or retiree health benefit plan. 7,329 employees out of 7,532 employees (97.30%) with dependents on the health benefit plan completed the process to verify their dependents eligibility. 3,634 out of 3,778 retiree subscribers with dependents (96.19%) completed the process to verify their dependents eligibility. At this point in the project, DAS is reviewing each employee and retiree who did not complete the process to verify their dependents and will terminate dependents where appropriate. Once this process is complete, DAS will issue a final report on the DEVP.

- C. Increase in Prescription Drug Cost Trend: DAS is working with its health benefit actuaries, the Segal Company, to review the cost trends assumptions underlying its cost-projections for FY16 and 17. At this point in time, Segal projects a five (5) percent increase in the prescription drug cost trend which is driven by the cost of new medications that are being approved by the FDA and are now available for prescribing. Accordingly, in FY 16 and 17, prescription drug cost trends for active employees and < 65 retirees are projected to increase from 8% to 13% and prescription drug cost trends for >65 employees are projected to increase from 7% to 12%.

Along with all other health care purchasers, our state is entering into a period of volatility with respect to prescription drug trends. New high cost, specialty drugs are coming onto the market that treat, and even cure, serious health conditions. DAS is working with our Pharmacy Benefits Manager (PBM), Express Scripts, to ensure that Prior Authorization processes are in place that determine the safety and efficacy of a particular drug for an HBP participant. Current projections of increased cost will become more accurate or otherwise informed over time based on our experience.

DAS will need to closely monitor its prescription drug costs and be responsive to its actual HBP experience. If projections prove to be conservative and we do not experience the projected increase in costs, DAS will adjust its working rates or premiums, downward in order to spend down surplus. However, if DAS finds that expenses are running ahead of these increased projections, it will need to increase the working rates to make sure that it can pay our health care bills.

- D. Working Rate Adjustment: Taking into consideration the increased prescription drug trend, DAS estimates a shortfall between the budgeted working rates for FYs 16 and 17 and the updated projected health care costs for participants of the active employee health plan.

As a mechanism to meet its health care expenses, DAS will replace the calendar year 2015 (CY 15) working rates with the new actuarially prepared FY16 working rates for active participants, effective August 21, 2015 and through the end of the calendar year 2015. The new FY16 working rates for active participants are based off claims experience through April 2015, a medical trend rate of 5.5% and a pharmacy trend rate of 13%. Compared to the CY 15 monthly working rate, the monthly HMO plan rate will increase approximately 2%, the monthly POS plan rate will increase approximately 11%, and the NHTA HMO and POS plan rates will increase approximately 2.5%.

If this trend continues, agencies will experience an increase of \$3.5 million in health benefit expenses over amounts calculated based on working rates utilized in the state budget for FYs 16-17 for covered employees in Class 60. Part-time employees will experience an increase in the bi-weekly contribution based on the part-time prorated contribution share to the health benefit. Individuals and entities that are statutorily authorized to participate in the health benefit plan, such as legislators, the New Hampshire Retirement System and Community Development Finance Authority, will also pay these rate increases depending on the participant's choice of plan. Just as participants of the State's self-funded health benefit plan have reaped the benefit of downward cost trends plan in the form of working rate adjustments and holidays and a Health Benefits Savings Incentive Payment, they now will have to pay more to ensure that DAS can pay the increases in health care claims. Full time employees, whose benefits are collectively bargained, will not experience a change in bi-weekly contributions to the health benefit.

In addition, DAS will proceed with the normal process to prepare the calendar year 2016 health benefit working rates for an implementation date of January 1, 2016.

- E. Retiree Health Benefits: DAS is in the process of analyzing the FY 16/17 retiree health budget by reviewing enrollment and cost trends. The proposed FY 16 budget would have been funded at 97% of FY 15 and the proposed FY 17 budget would have been funded at 100% of FY 15. During the budget process, DAS estimated that it would experience a \$5.5 million deficit in its retiree health budget based on its then projected growth in costs and numbers of individuals participating in the retiree health plan. Consequently, during

the budget process, DAS presented a "point in time" plan to the legislature including the types of plan design changes that would need to occur in order for DAS to operate the retiree health benefit plan within budget as required by law. The DAS plan proposed use of \$1.951 million in surplus to help meet the projected deficit and also to allow DAS the time to analyze the budget following its passage to design and carry out a communication and education plan that would assist retirees in understanding the changes in health benefits that would occur. Some of the changes included in the plan include increasing the deductible from \$500/\$1000 to \$1000/\$2000; increasing out of pocket maximums from \$1000 in network/\$2000 out-of-network to \$1500/\$3000; increasing a wide variety of copays for medical services from \$10 to \$15; increasing copays for retail pharmacy from \$10/\$20/\$35 to \$10/\$25/\$40 (31 day supply) and increasing mail order pharmacy copays from \$1/\$40/\$70 to \$10/\$50/\$80 (90 day supply), and increasing the premium contribution for participants in the <65 (non Medicare) plan from 12.5% of premium to 15% of premium.

Given the prescription drug cost increases referenced above, DAS projects that what had been a \$5.5 million deficit will grow to a minimum of \$9.6 million. In order to complete its projections, DAS is awaiting final retiree health enrollment data for the month August 2015, a month when we typically experience among the highest increases in enrollment in the plan, which could push the projected deficit higher.

DAS is exploring several different options to address this higher deficit. DAS has requested updated modeling from Express Scripts and Anthem. Review of modeling options is an iterative process and it will take time to arrive at a plan design that will address the retiree health deficit while also causing the least amount of impact to retirees. DAS is considering including premium contribution increases for all retirees, which will require expeditious changes to existing law, copay increases for medical and pharmacy benefits, deductible increases, and maximum out-of-pocket increases. There are currently 3,082 <65 (non Medicare) retirees and 8,826 >65 (Medicare) retirees. As a further alternative, DAS is working with its health care consultants to investigate options such as private retiree health care exchanges, limited networks and other limitations on retiree health reimbursement. DAS is also meeting with the New Hampshire Retirement System to discuss these issues.

Finally, it is important to keep in mind that health care projections are inherently volatile. Any number of factors can alter DAS's projections. Enrollment numbers are of particular concern since at present 33% of the state's workforce is eligible to retire. Our medical and pharmacy claims experience drive our costs trends that are also influenced by advancements in medical technology and new drugs coming to market. This means that any retirement cost plan DAS presents to the Fiscal Committee will be subject to change at any time if any one of these factors changes. DAS is committed to meeting its responsibility to bring required changes in the retiree health plan to the Fiscal Committee.


While working on these issues, DAS will use the Retiree Health Surplus to address the projected shortfall in retiree health for the remainder of the calendar year.

Fiscal Committee of the General Court
August 26, 2015

- F. Retiree Health Commission: Pursuant to RSA 100- A:56, the Retiree Health Commission met on July 29, 2015 to review the assumptions that the State's actuaries will employ in the actuarial evaluation of the state's Other Post Employment Benefit (OPEB). The OPEB report will be provided to the Governor, Speaker of the House, and Senate President by December 31, 2015.

I am available to address any questions you may have.

Respectfully Submitted,


Vicki V. Quiram
Commissioner

Attachments

<i>PLAN Actives</i>	<i>FY 2015 Fund Balance</i>	<i>Jul-15</i>	<i>ALL FUNDS FY 2016</i>
Revenue	\$	10,855,064	\$ 10,855,064
Expenditures	\$	17,540,840	\$ 17,540,840
Net Plan Activity	\$	(6,685,777)	\$ (6,685,777)
Cummulative Plan Activity	\$ 29,780,537	\$ 23,094,760	\$ 23,094,760

<i>Troopers</i>			
Revenue	\$	251,747	\$ 251,747
Expenditures	\$	318,157	\$ 318,157
Net Plan Activity	\$	(66,410)	\$ (66,410)
Cummulative Plan Activity	\$ 4,097,210	\$ 4,030,800	\$ 4,030,800

<i>Retiree - U65</i>			
Revenue	\$	2,188,157	\$ 2,188,157
Expenditures	\$	3,364,974	\$ 3,364,974
Net Plan Activity	\$	(1,176,818)	\$ (1,176,818)
Cummulative Plan Activity	\$	(1,176,818)	\$ (1,176,818)

<i>Retiree - O65</i>			
Revenue		(117,745)	(117,745)
Expenditures		4,404,185	4,404,185
Net Plan Activity		(4,521,930)	(4,521,930)
Cummulative Plan Activity		(4,521,930)	(4,521,930)
Total Retirees	\$ 17,361,780	\$ (5,698,748)	\$ (5,698,748)

<i>Plan Summary Information:</i>			
Total Program Revenue			
001 GHRS	\$	16,695,431	\$ 16,695,431
005 Cobra/NHRS	\$	1,421,985	\$ 1,421,985
006 Rx Rebate	\$	(5,948,418)	\$ (5,948,418)
007 Interest Earned			\$ -
008 Employee Contributions	\$	831,772	\$ 831,772
009 Non-GHRS	\$	176,451	\$ 176,451
007 Performance Guarantees/Recov	\$	-	\$ -
Total Combined Revenue	\$	13,177,222	\$ 13,177,222
Total Program Expenditures			
HB Employee Salary Costs	\$	-	\$ -
HB Employee Benefit Costs	\$	-	\$ -
Medical Claims	\$	19,223,759	\$ 19,223,759
Medical Administration	\$	580,788	\$ 580,788
Enrollment Services	\$	-	\$ -
Exercise Incentive	\$	-	\$ -
Consulting	\$	73,547	\$ 73,547
Pharmacy Claims	\$	5,458,246	\$ 5,458,246
Pharmacy Administration	\$	89,590	\$ 89,590
HRA Claims	\$	124,539	\$ 124,539

<i>PLAN Actives</i>	FY 2015 Fund Balance	Jul-15	ALL FUNDS FY 2016
HRA Administration	\$	11,198	\$ 11,198
Other Expenses	\$	66,491	\$ 66,491
Vaccination Fee		-	\$ -
Total Combined Expenses	\$	25,628,157	\$ 25,628,157
Net Plan Fund Activity	\$	(12,450,935)	\$ (12,450,935)
Cummulative Net Fund Activity	\$ 51,239,527	\$ 38,788,592	\$ 38,788,592
		IBNR	\$ (13,372,000)
		Statutory Reserve (≥5%)	
		Actives	\$ (9,218,000)
		Troopers	\$ (3,604,000)
		Retirees	\$ (4,081,000)
		Total Statutory Reserve	\$ (16,903,000)
		Fund Balance less IBNR & Reserve	\$ 8,513,592
		Add Receivables as of 7/31/15	\$ 7,702,043
		Less Payables as of 7/31/15	\$ (5,722,262)
		Accrual Fund Balance	\$ 10,493,373

<i>DENTAL</i>	FY15 Fund Balance	Jul-15	FY16 All Fund YTD
DENTAL Revenue - PLAN		800,256	800,256
DENTAL Expense - PLAN		772,782	772,782
Net Plan Fund Activity - PLAN		27,474	27,474
Cummulative Fund Balance	\$ 1,035,123	\$ 1,062,597	\$ 1,062,597
		Less:	
		IBNR	(296,000)
		Statutory Reserve (≥5%)	(584,000)
			\$ 182,597
		Add Receivables as of 7/31/15	\$ 78,105
		Less Payables as of 7/31/15	\$ (229,197)
		Accrual Fund Balance	\$ 31,506